

COST BASIS USER MASTER FILE MRO

AUTOROUTE PRODUCT ID: 02561666 Production (02991666 Test)

RECORD LENGTH: 200

RECORD TYPE: FIXED

REVISION DATE: 6/02/2014 All changes from previous edition, shown in red

FIELD NAME	LENGTH	START	END	TYPE	COMMENTS
CBRS User Account Number	8	1	8	A/N	The number of the CBRS user account.
Filler	6	9	14	A/N	Reserved for future use – spaces.
CBRS Account Name	50	15	64	A/N	The name associated with the CBRS user account
Active Firm Number	8	65	72	A/N	When Firm Type is AGTNBR , this number is the user's Transfer Agent Number When Firm Type is CBRACT , this number is the user's CBRS User Account Number When Firm Type is NSCPRT , this number is the user's NSCC Participant Number When Firm Type is DTCPRT , this number is the user's DTC Participant Number
Active Firm Type	6	73	78	A/N	The type of user in the Active Firm Number field. Values: AGTNBR = Transfer Agent CBRACT = CBRS only user [There is no related DTC, NSCC, or Transfer Agent number for this user.] DTCPRT = DTC Participant NSCPRT = NSCC Participant
CBRS Account Start Date Effective Date	10	79	88	A/N	Format: CCYY-MM-DD <i>For an active record, this is the start date of the CBRS account.</i> <i>For an inactive record, this is the known start date of the inactive record.</i>
Exempt Recipient Accounts	1	89	89	A/N	Values: Y/N. Default value is N. <u>Note:</u> This field allows CBRS users to indicate whether they want retirement accounts excluded from the basis they receive. The values mean the following: <ul style="list-style-type: none"> • Y: Exclude retirement accounts from basis sent to this user • N: Do not exclude retirement accounts from basis sent to this user •
Search Firm Number	8	90	97	A/N	For an Active record, this is the same number as the Active Firm Number. For an Inactive record, this number corresponds to the inactive CBRS user. <i>Cost basis records for an inactive user should be sent to the Active Firm Number/Type.</i>

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					<ul style="list-style-type: none"> This field will be populated with the OCC number for Search Firm type of: DTCOCC This field will be blank when the Search Firm type is DTCFED –the FED Number and FED Short Name must both be used to identify the active CBRS number
Search Firm Type	6	98	103	A/N	<p>Type of user in the Search Firm ID Number field.</p> <p>Values: AGTNBR = Transfer Agent CBRACT = CBRS only user [There is no related DTC, NSCC, or Transfer Agent number for this user.] DTCPRT = DTC Participant NSCPRT = NSCC Participant DTCOCC = DTC Participant who is also an OCC Member</p> <ul style="list-style-type: none"> The OCC number will be in Search Firm Number field <p>DTCFED = DTC Participant who is also a FED Member</p> <ul style="list-style-type: none"> The Search Firm Number field be blank, and use of both the FED number and FED Short Name fields to identify the Active Firm number
Active/Inactive	1	104	104	A/N	<p>Values: A = Active CBRS user</p> <ul style="list-style-type: none"> If CBRS Active- used when the active account number is populated the Search Firm Number field. <p>I = Inactive CBRS user</p> <ul style="list-style-type: none"> If CBRS Inactive- Firm that does not have active CBRS account
FED Number	9	105	113	N	<p>ABA Number used by Federal Reserve</p> <p><i>This field is used in combination with the FED Short Name</i></p>
FED Short Name	18	114	131	A	<p>Short name used at Federal Reserve</p> <p><i>This field is used in combination with the FED Number</i></p>
Filler	69	132	200	A/N	Reserved for future use – spaces