

DTCC

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COST BASIS REPORTING SERVICE

COST BASIS USER MASTER FILE MRO

VERSION 1.0
SEPTEMBER 18, 2017



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Service: Cost Basis Reporting Service (CBRS)

Title: Cost Basis User Master File MRO

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Cost Basis User Master File MRO

AUTOROUTE PRODUCT ID: 02561666

RECORD LENGTH: 200

RECORD TYPE: FIXED

REVISION DATE: 9/18/2017 – All changes from previous edition shown in red.

Field Name	Length	Start	End	Type	Comments
CBRS User Account Number	8	1	8	A/N	The number of the CBRS user account.
Filler	6	9	14	A/N	Reserved for future use – spaces.
CBRS Account Name	50	15	64	A/N	The name associated with the CBRS user account.
Active Firm Number	8	65	72	A/N	When Firm Type is AGTNBR , this number is the user's Transfer Agent Number. When Firm Type is CBRACKT , this number is the user's CBRS User Account Number. When Firm Type is NSCPRT , this number is the user's NSCC Participant Number. When Firm Type is DTCPRT , this number is the user's DTC Participant Number.
Active Firm Type	6	73	78	A/N	The type of user in the Active Firm Number field. Values: AGTNBR = Transfer Agent CBRACKT = CBRS only user [There is no related DTC, NSCC, or Transfer Agent number for this user.] DTCPRT = DTC Participant NSCPRT = NSCC Participant
Effective Date	10	79	88	A/N	Format: CCYY-MM-DD <i>For an active record, this is the start date of the CBRS account.</i> <i>For an inactive record, this is the known start date of the inactive record.</i>
Exempt Recipient Accounts	1	89	89	A/N	Values: Y/N. Default value is N. Note: This field allows CBRS users to indicate whether they want retirement accounts excluded from the basis they receive. The values mean the following: Y: Exclude retirement accounts from basis sent to this user. N: Do not exclude retirement accounts from basis sent to this user.

Search Firm Number	8	90	97	A/N	<p>For an Active record, this is the same number as the Active Firm Number.</p> <p>For an Inactive record, this number corresponds to the inactive CBRS user.</p> <p><i>Cost basis records for an inactive user should be sent to the Active Firm Number/Type.</i></p> <p>This field will be populated with the OCC number for Search Firm type of: DTCOCC</p> <p>This field will be blank when the Search Firm type is DTCFED – the FED Number and FED Short Name must both be used to identify the active CBRS number.</p> <p>This field will be populated with the AIP number for Search Firm type of: NSCAIP</p>
Search Firm Type	6	98	103	A/N	<p>Type of user in the Search Firm ID Number field.</p> <p>Values:</p> <p>AGTNBR = Transfer Agent</p> <p>CBRACT = CBRS only user [There is no related DTC, NSCC, or Transfer Agent number for this user.]</p> <p>DTCPRT = DTC Participant</p> <p>NSCPRT = NSCC Participant</p> <p>DTCOCC = DTC Participant who is also an OCC Member</p> <p>The OCC number will be in Search Firm Number field.</p> <p>DTCFED = DTC Participant who is also a FED Member</p> <p>The Search Firm Number field be blank, and use of both the FED number and FED Short Name fields to identify the Active Firm number.</p> <p>NSCAIP = NSCC Member who is also an AIP Member</p> <p>The AIP number will be in Search Firm Number field.</p>
Active/Inactive	1	104	104	A/N	<p>Values:</p> <p>A = Active CBRS user</p> <p>If CBRS Active – used when the active account number is populated the Search Firm Number field.</p> <p>I = Inactive CBRS user</p> <p>If CBRS Inactive – Firm that does not have active CBRS account.</p>
FED Number	9	105	113	N	<p>ABA Number used by Federal Reserve.</p> <p><i>This field is used in combination with the FED Short Name.</i></p>
FED Short Name	18	114	131	A	<p>Short name used at Federal Reserve</p> <p><i>This field is used in combination with the FED Number.</i></p>
Filler	69	132	200	A/N	Reserved for future use – spaces.

FOR MORE INFORMATION

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