

File Transmission Layout for Broker Trade Input NDM 148 Byte Effective Q4 2011

Field Name	Length	Type	Cobol Picture	Description/Comments
CARD-CODE	2	A/N	PIC X(02).	Trade Create transactions, Card Code = '02'
RECORD-NO	6	N	PIC 9(06).	Record number of the transaction
TRANSACTION-DETAIL-RECORD.				
SUBMITTER-ACCOUNT-ID	4	A	PIC X(04).	Submitting Account Symbol
SELLER-ACCOUNT-ID	4	A	PIC X(04).	Seller Account Symbol
BUYER-ACCOUNT-ID	4	A	PIC X(04).	Buyer Account Symbol
CUSIP-CATEGORY-CODE.	9	A/N	PIC X(09).	CUSIP
SETTLEMENT-DATE.				
				Settlement date; greater or equal to current date not exceeding two years in the future.
SETTLEMENT-YEAR	4	N	PIC 9(04).	Settlement year (YYYY)
SETTLEMENT-MONTH	2	N	PIC 9(02).	Settlement month (MM)
SETTLEMENT-DAY	2	A/N	PIC X(02).	Settlement day (DD, required for TFTD, CMPC, CMPT). If SBOD or OPTN, value = zeroes or spaces.
TRADE-DATE	8	N	PIC 9(08).	Trade Date (YYYYMMDD); less than or equal to current date not exceeding one year prior.
SERVICE-TYPE	4	A	PIC X(04).	Trade Type - TFTD, SBOD, CMPT, CMPC, OPTN
TRADE-PRICE.	Trade Price			
TRADE-PRICE.	12	N	PIC 9(03)V9(09)	Trade price in decimal format for trades
PAR-VALUE	13	N	PIC 9(11)V9(02).	Par value
OPTION-TYPE	4	A	PIC X(04).	Option type = 'CALL' or 'PUTS'. If trade type not = OPTN, value = spaces.
OPTION-EXPIRY-DATE	8	A/N	PIC X(08).	Expiry date for the option (YYYYMMDD) , not greater than settlement date. If trade type not = OPTN, value = zeroes or spaces.
SUBMITTER-INTL-XREF	15	A/N	PIC X(15).	Internal XREF/Trade # (optional).
SPECIAL-INSTRUCTNS-FLAG	1	A	PIC X(01).	Indicator for special instructions (Y=Yes, N or space =No).
BUY-COMMISSION-FLAT-FEE	11	N	PIC 9(09)V9(02).	Buyer Commission flat fee. Whole numbers only.
SELL-COMMISSION-FLAT-FEE	11	N	PIC 9(09)V9(02).	Seller Commission flat fee. Whole numbers only.

Field Name	Length	Type	Cobol Picture	Description/Comments
BROKER-ACCOUNT-ID	4	A	PIC X(04).	Broker's Account symbol
BROKER-GIVE-UP-PERIOD	2	N	PIC 9(02).	Broker Give up period in 1-9 days
RATE BASED COMMISSION				Mutually exclusive with buyer commission flat fee.
BUYER COMMISSION RATE	9	N	PIC V9(09)	Commission in decimal format
SELLER COMMISSION RATE	9	N	PIC V9(09)	Commission in decimal format
Total Record Length	148			