

File Transmission Layouts for Broker Commission Report NDM Format

Purpose

The Broker Commission Report reflects all commissions and related trade data.

Frequency

Monthly - Month-end.

*All records are of equal length - 122 bytes.

*There are 3 different logical record types, each uniquely identified by card code, as follows: card codes 01 through 02 & 99.

ACCOUNT / REPORT HEADER RECORD (CARD CODE 01)

Control record that signifies the beginning of the report for a given account. Contains recipient account and report identification items.

BROKER COMMISSION DETAIL RECORD (CARD CODE 02)

Detail record containing commission information on a single trade formatted for the Broker accounts only.

TRAILER CONTROL RECORD (CARD CODE 99)

Trailer record indicates the end of the report for the recipient account.

FILE TRANSMISSION LAYOUT - BROKER COMMISSION - NDM FORMAT - HEADER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT01-CARD-CODE	2	A/N	PIC X(02).	Header Record Card Code = '01'
RPT-CM-DT01-REPORT-ID	8	A/N	PIC X(08).	Report ID = 'MB7431-A'
RPT-CM-DT01-PARTICIPANT-ID	3	N	PIC 9(03).	Participant ID
RPT-CM-DT01-AGGREGATE-NUMBER	2	A/N	PIC 9(02).	Aggregate
RPT-CM-DT01-ACCOUNT-ID	4	A/N	PIC X(04).	Account Symbol
RPT-CM-DT01-PARTICIPANT-NAME	40	A/N	PIC X(40).	Participant Name
RPT-CM-DT01-RUN-DATE	8	A/N	PIC X(08).	Date of Report (YYYYMMDD)
RPT-CM-DT01-PASS	1	A/N	PIC X(01).	Pass Indicator (A = Morning, P = Evening)
FILLER	54	A/N	PIC X(54).	Filler
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FILE TRANSMISSION LAYOUT - BROKER COMMISSION - NDM FORMAT - DETAIL RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT02-CARD-CODE	2	A/N	PIC X(02).	Detail Record Card Code = '02'
RPT-CM-DT02-ACCT-ID	4	A/N	PIC X(04).	Broker Account Symbol
RPT-CM-DT02-FILLER	4	A/N	PIC X(04).	Filler
RPT-CM-DT02-CONTRA-ACCT-ID	4	A/N	PIC X(04).	Contra Account
RPT-CM-DT02-BUYER-SELLER-IND	1	A/N	PIC X(01).	B = Buy, S = Sell
RPT-CM-DT02-SETTLEMENT-YEAR	4	N	PIC 9(04).	Settlement Year (YYYY)
RPT-CM-DT02-SETTLEMENT-MONTH	2	N	PIC 9(02).	Settlement Month (MM)
RPT-CM-DT02-CUSIP	9	A/N	PIC X(09).	CUSIP
FILLER	1	A	PIC X(01)	Filler
RPT-CM-DT02-TRADE-DATE	8	A/N	PIC X(08).	Trade Date (YYYYMMDD)
RPT-CM-DT02-TRADE-ID.				
RPT-CM-DT02-TRADE-PREFIX-NBR	4	N	PIC 9(04).	Trade Number Prefix
RPT-CM-DT02-TRADE-SUFFIX-NBR	6	N	PIC 9(06).	Trade Number Suffix
RPT-CM-DT02-INTERNAL-TRADE-NBR	15	A/N	PIC X(15).	Internal XREF/Trade #
RPT-CM-DT02-PAR-VALUE	13	N	PIC 9(11)V9(02).	Par Value
RPT-CM-DT02-DEALER-PRICE	15	N	PIC 9(03)V9(12).	Dealer Price - In Decimal
RPT-CM-DT02-STTL-PRICE	15	N	PIC 9(03)V9(12).	Settlement Price - In Decimal
RPT-CM-DT02-CMSN-FLAT-FEE	11	N	PIC 9(09)V9(02).	Commission Charged
FILLER	4	A/N	PIC X(04).	Filler
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FILE TRANSMISSION LAYOUT - BROKER COMMISSION - NDM FORMAT - REPORT TRAILER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-CM-DT99-CARD-CODE	2	A/N	PIC X(02).	Report Trailer Card Code, = '99'
FILLER	13	A	PIC X(13).	Filler
RPT-CM-DT99-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
FILLER	1	A	PIC X(01).	Filler
RPT-CM-DT99-LOGICAL-COUNT	7	N	PIC 9(07).	Logical Record Count
FILLER	1	A	PIC X(01).	Filler
RPT-CM-DT99-PHYSICAL-COUNT	7	N	PIC 9(07).	Physical Record Count
FILLER	87	A	PIC X(87).	Filler
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