

## **File Transmission Layouts for Open Commitment Summary Report RJE Format**

### **Purpose**

The Open Commitment Summary Report is an extract of the Open Commitment Report providing key class level and final totals.

### **Frequency**

Daily, both A.M. and P.M. passes, Weekly (Friday) and Monthly (Month-end).

\*All physical records are of equal length -- 80 bytes. However, multiple physical records often comprise the information on one logical record. When a logical record spans multiple physical records, an additional sequence number is used to identify the correct record structure.

\*There are 5 different logical record types, each uniquely identified by card code, as follows: card codes 01 thru 04, & 99.

### **ACCOUNT/REPORT HEADER RECORD (CARD CODE 01)**

(1 physical record per logical)

Control record which signifies the beginning of the report for a given account. Contains recipient account and report identification items.

### **CUSIP HEADER RECORD (CARD CODE 02)**

(1 physical record per logical)

Control record which signifies the beginning of the next class.

### **CUSIP FOOTER RECORD (CARD CODE 03)**

(2 physical records per logical)

Detail record containing statistics (mainly totals) about trade activity within this CUSIP for the recipient account.

### **REPORT FOOTER RECORD (CARD CODE 04)**

(3 physical records per logical)

Contains trade statistics for the entire report (one account only) based on the age and status of the individual trades.

**TRAILER CONTROL RECORD (CARD CODE 99)**

(1 physical record per logical)

Trailer record indicates the end of the report for the recipient account.

FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - HEADER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT1-CARD-CODE	2	A/N	PIC X(02).	Header Record, Card Code = '01'
RPT-OCS-DT1-RPT-ID	8	A/N	PIC X(08).	Report ID = 'MB4911-A'
RPT-OCS-DT1-ACC-AGG-ID				
RPT-OCS-DT1-PARTICIPANT-ID	3	N	PIC 9(03).	Participant ID Number
RPT-OCS-DT1-AGG-NBR	2	A/N	PIC 9(02).	Aggregate
RPT-OCS-DT1-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
RPT-OCS-DT1-PARTICIPANT-NAME	40	A/N	PIC X(40).	Participant Name
RPT-OCS-DT1-BUS-DATE	8	A/N	PIC X(08).	Date of Report (YYYYMMDD)
RPT-OCS-DT1-PASS	1	A/N	PIC X(01).	Pass Indicator (A = Morning or P = Evening)
FILLER	<u>12</u>	A/N	PIC X(12).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - CUSIP / CLASS HEADER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT2-CARD-CODE	2	A/N	PIC X(02).	CUSIP Header, Card Code = '02'
RPT-OCS-DT2-SEQUENCE-NO	1	A	PIC X(01)	Physical Record Sequence = 1
RPT-OCS-DT2-STTL-YY	4	A/N	PIC X(04).	Settlement Year (YYYY)
RPT-OCS-DT2-STTL-MM	2	A/N	PIC X(02).	Settlement Month (MM)
RPT-OCS-DT2-CUSIP	9	A/N	PIC X(09).	CUSIP
FILLER	1	A	PIC X(01).	Filler
RPT-OCS-DT2-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
RPT-OCS-DT2-CUSIP-DESC	40	A/N	PIC X(40).	CUSIP Description
RPT-OCS-DT2-MKT-PRC	15	N	PIC 9(03)V9(12).	Market Price
FILLER	<u>2</u>	A/N	PIC X(02).	Filler
	<u>80</u>			

## FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - CUSIP / CLASS FOOTER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT3-CARD-CODE	2	A/N	PIC X(02).	CUSIP Footer, Card Code = '03'
RPT-OCS-DT3-SEQUENCE-NO	1	A	PIC X(01)	Physical Record Sequence = 1
RPT-OCS-DT3-STTL-YY	4	A/N	PIC X(04).	Settlement Year (YYYY)
RPT-OCS-DT3-STTL-MM	2	A/N	PIC X(02).	Settlement Month (MM)
RPT-OCS-DT3-CUSIP	9	A/N	PIC X(09).	CUSIP
FILLER	1	A	PIC X(01).	Filler
RPT-OCS-DT3-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
RPT-OCS-DT3-BOP	13	N	PIC 9(11)V9(02).	Buy Open Par Value
RPT-OCS-DT3-BCON	13	N	PIC 9(11)V9(02).	Buy Settlement Value
RPT-OCS-DT3-BPL	13	N	PIC 9(11)V9(02).	Buy Profit /Loss
RPT-OCS-DT3-BPL-CRDR	1	A	PIC X(01).	Buy Profit /Loss Indicator (C=Credit, D=Debit)
RPT-OCS-DT3-SOP	13	N	PIC 9(11)V9(02).	Sell Open Par Value
FILLER	4	A/N	PIC X(04).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - CUSIP / CLASS FOOTER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT3-CARD-CODE	2	A/N	PIC X(02).	CUSIP Footer, Card Code = '03'
RPT-OCS-DT3-SEQUENCE-NO	1	A	PIC X(01)	Physical Record Sequence = 2
RPT-OCS-DT3-SCON	13	N	PIC 9(11)V9(02).	Sell Settlement Value
RPT-OCS-DT3-SPL	13	N	PIC 9(11)V9(02).	Sell Profit / Loss
RPT-OCS-DT3-SPL-CRDR	1	A	PIC X(01).	Sell Profit /Loss Indicator (C=Credit, D=Debit)
FILLER	<u>50</u>	A/N	PIC X(50).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - REPORT FOOTER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT4-CARD-CODE	2	A/N	PIC X(02).	Report Footer, Card Code = '04 '
RPT-OCS-DT4-SEQUENCE-NO	1	A	PIC X(01)	Physical Record Sequence = 1
FILLER	16	A/N	PIC X(16)	Filler
RPT-OCS-DT4-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
RPT-OCS-DT4-FW-BUY-ITM	4	A/N	PIC X(04).	Forward Buy Item Count
RPT-OCS-DT4-FW-BUY-OPAR	13	N	PIC 9(11)V9(02).	Forward Buy Open Par Value
RPT-OCS-DT4-FW-BUY-STTL	13	N	PIC 9(11)V9(02).	Forward Buy Settlement Value
RPT-OCS-DT4-FW-SEL-ITM	4	A/N	PIC X(04).	Forward Sell Item Count
RPT-OCS-DT4-FW-SEL-OPAR	13	N	PIC 9(11)V9(02).	Forward Sell Open Par Value
FILLER	10	A/N	PIC X(10).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - REPORT FOOTER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT4-CARD-CODE	2	A/N	PIC X(02).	Report Footer Card Code, = '04'
RPT-OCS-DT4-SEQUENCE-NO	1	A	PIC X(01)	Physical Record Sequence = 2
RPT-OCS-DT4-FW-SEL-STTL	13	N	PIC 9(11)V9(02).	Forward Sell Settlement Value
RPT-OCS-DT4-FL-BUY-ITM	4	A/N	PIC X(04).	Fail Buy Item Count
RPT-OCS-DT4-FL-BUY-OPAR	13	N	PIC 9(11)V9(02).	Fail Buy Open Par Value
RPT-OCS-DT4-FL-BUY-STTL	13	N	PIC 9(11)V9(02).	Fail Buy Settlement Value
RPT-OCS-DT4-FL-SEL-ITM	4	A/N	PIC X(04).	Fail Sell Item Count
RPT-OCS-DT4-FL-SEL-OPAR	13	N	PIC 9(11)V9(02).	Fail Sell Open Par Value
RPT-OCS-DT4-FL-SEL-STTL	13	N	PIC 9(11)V9(02).	Fail Sell Settlement Value
RPT-OCS-DT4-AF-BUY-ITM	4	A/N	PIC X(04).	Aged Fail Buy Item Count
	<u>80</u>			



FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - REPORT FOOTER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT4-CARD-CODE	2	A/N	PIC X(02).	Report Footer, Card Code = '04'
RPT-OCS-DT4-SEQUENCE-NO	1	A	PIC X(01)	Physical Record Sequence = 3
RPT-OCS-DT4-AF-BUY-OPAR	13	N	PIC 9(11)V9(02).	Aged Fail Buy Open Par Value
RPT-OCS-DT4-AF-BUY-STTL	13	N	PIC 9(11)V9(02).	Aged Fail Buy Settlement Value
RPT-OCS-DT4-AF-SEL-ITM	4	A/N	PIC X(04).	Aged Fail Sell Item Count
RPT-OCS-DT4-AF-SEL-OPAR	13	N	PIC 9(11)V9(02).	Aged Fail Sell Open Par Value
RPT-OCS-DT4-AF-SEL-STTL	13	N	PIC 9(11)V9(02).	Aged Fail Sell Settlement Value
FILLER	21	A/N	PIC X(21).	Filler
	<u>80</u>			

FILE TRANSMISSION LAYOUT - OPEN COMMITMENT SUMMARY - RJE FORMAT - REPORT TRAILER RECORD

Field Name	Length	Type	Cobol Picture	Description/Comments
RPT-OCS-DT99-CARD-CODE	2	A/N	PIC X(02).	Report Trailer, Card Code = '99'
FILLER	13	A	PIC X(13).	Filler
RPT-OCS-DT99-ACCOUNT	4	A/N	PIC X(04).	Account Symbol
FILLER	1	A	PIC X(01).	Filler
RPT-OCS-DT99-LOGICAL-COUNT	7	N	PIC 9(07).	Logical Record Count
FILLER	1	A	PIC X(01).	Filler
RPT-OCS-DT99-PHYSICAL-COUNT	7	N	PIC 9(07).	Physical Record Count
FILLER	<u>45</u>	A	PIC X(45).	Filler
	<u>80</u>			