

03701 – CORRESPONDENT CLEARING INPUT FORMAT

12/19/08

Description	MRO	Length	Start	End	Type	Comments
Submitters Participant Number	360-363	4	1	4	A/N	Required NSCC Clearing Number
Future Use		8	5	12	A/N	Spaces
Description		8	13	20	A/N	Optional TRADE (left justified)
Buyer Participant Number	13-16 70-73	4	21	24	A/N	Required NSCC Clearing Number
Future Use		5	25	29	A/N	Spaces
Trade Type	For "C" 338	1	30	30	A/N	Optional Space = Original Trade C = Correction A = As-Of, Not displayed on MRO output
Sellers Participant Number	13-16 70-73	4	31	34	A/N	Required NSCC Clearing Number
Future Use		3	35	37	A/N	Spaces
Stock/Bond Indicator	152 - 157	1	38	38	A/N	Optional S = Stock B = Bond
When Issued Indicator	233	1	39	39	A/N	Required Space = Regular Way W = When Issued
Coupon/Registered Indicator		1	40	40	A/N	Optional C = Coupon R = Registered I = Interchangeable
Share Quantity	160-170	9	41	49	N	Required 999,999,999
Future Use		2	50	51	A/N	Spaces
Unit Price	172-183	12	52	63	N	Required 999,999.999999 (52 - 57 Unit Price - Dollars) (58 - 63 Unit Price - Cents)
Future Use		1	64	64	A/N	Spaces
Trade Date	217-224	8	65	72	A/N	Required CCYMMDD
Settlement Date	225-232	8	73	80	A/N	Required CCYMMDD
Future Use		5	81	85	A/N	Spaces
First Money	185-200	16	86	101	N	Optional 99,999,999,999,999.99 (Shares * Unit Price) This field overrides (Shares * Unit Price) when First Money submitted

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CUSIP	115-123	9	102	110	A/N	Required S&P Assigned
Submitter Buy/Sell Indicator		1	111	111	A/N	Required 1 = Submitter Is Buyer 2 = Submitter Is Seller
Commission	339-346	8	112	119	N	Optional 999,999.99 or Zero
State Tax	353-359	6	120	125	N	Optional 9,999.99 or Zero
Reg Fee	347-352	6	126	131	N	Optional 9,999.99 or Zero
Accrued Interest	241-249	8	132	139	N	Optional 999,999.99 or Zero
Net Money	250-265	16	140	155	N	Optional 99,999,999,999.99 Overrides (Shares * Unit Price and First Money fields) when submitted
Exchange	109-112	1	156	156	A/N	Required Market Of Execution 1 = NYSE 2 = NYSE Alternext US 3 = Cincinnati (National) 4 = Pacific (ARCA) 5 = Midwest (Chicago) 6 = Philadelphia 7 = CBOE 8 = OTC 9 = Other
Future Use		2	157	158	A/N	Spaces
Currency Indicator	201-203	3	159	161	A/N	Required USD = US Dollars
Entering Buy Broker	47-50	4	162	165	A/N	Required a.k.a. Introducing Buy Broker
Entering Sell Broker	47-50	4	166	169	A/N	Required a.k.a. Introducing Sell Broker
Contract Control Number	296-325	15	170	184	A/N	Optional User Defined (Submitter)
Time of Execution	204-209	6	185	190	A/N	Optional HHMMSS
Reversal Indicator	338	1	191	191	A/N	Optional Submitted Firm responsible to reverse Buy/Sell firm from original trade Space = Normal R = Reversal

Description	MRO	Length	Start	End	Type	Comments
Settlement Type	236-238	3	192	194	A/N	Optional Blank = Regular Way CA = Cash ND = Next Day Nnn = Delayed Delivery (Sellers Option) number of business days to 180
Future Use		6	195	200	A/N	