

| CNS Seg Exemptions | | | | | Optional Header |
|--|---------------|--------------|------------|-------------|--|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Future Use | 10 | 1 | 10 | A | Reserved |
| File Description (Left - justified) | 13 | 11 | 23 | A/N | CNS-SEG-EDIT only 12 characters but length is 13 |
| Date | 6 | 24 | 29 | A/N | MMDDYY |
| Future Use | 1 | 30 | 30 | A | Reserved |
| Optional Date Y2k | 8 | 31 | 38 | A/N | MMDDCCYY |
| Future Use | 42 | 39 | 80 | A | Reserved |
| 11/04/14 | | | | | END OF RECORD |

| CNS Seg Exemptions | | | | | Exemption Detail |
|--|---------------|--------------|------------|-------------|--|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Exemption input is only accepted for Sub-Account A and S. |
| CUSIP | 9 | 6 | 14 | A/N | |
| Constant | 3 | 15 | 17 | N | Always = 000 |
| Quantity | 9 | 18 | 26 | N | 999,999,999 Quantity to be exempted |
| Level Exemption | 1 | 27 | 27 | N | 1 or 2 Note: Both Exemption Level 1 and 2 can be processed for Sub-Account A. Only Exemption Level 1 can be processed for Sub-Account S. |
| Future Use | 53 | 28 | 80 | A | Reserved |
| 11/04/14 | | | | | END OF RECORD |

| CNS Seg Exemptions | | | | | Level 1 Trailer 1 |
|--|---------------|--------------|------------|-------------|--|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Exemption input is only accepted for Sub-Account A and S. |
| Constant | 12 | 6 | 17 | N | Always = 999999999999 |
| Record Count | 9 | 18 | 26 | N | 999,999,999 Number of Level 1 exemptions including header and trailer |
| Record Id | 2 | 27 | 28 | N | Always = 81 |
| Future Use | 52 | 29 | 80 | A | Reserved |
| 11/04/2014 | | | | | END OF RECORD |

| CNS Seg Exemptions | | | | | Level 1 Trailer 2 |
|--|---------------|--------------|------------|-------------|--|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Exemption input is only accepted for Sub-Account A and S. |
| Constant | 12 | 6 | 17 | N | Always = 999999999999 |
| Record Count | 9 | 18 | 26 | N | 999,999,999 Total quantity for Level 1 exemptions |
| Record Id | 2 | 27 | 28 | N | Always = 91 |
| Future Use | 52 | 29 | 80 | A | Reserved |
| 11/04/2014 | | | | | END OF RECORD |

| CNS Seg Exemptions | | | | | Level 2 Trailer 1 |
|--|---------------|--------------|------------|-------------|--|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Exemption input is only accepted for Sub-Account A and S. |
| Constant | 12 | 6 | 17 | N | Always = 999999999999 |
| Record Count | 9 | 18 | 26 | N | 999,999,999 Number of Level 2 exemptions including header and trailer |
| Record Id | 2 | 27 | 28 | N | Always = 82 |
| Future Use | 52 | 29 | 80 | A | Reserved |
| 11/04/2014 | | | | | END OF RECORD |

| CNS Seg Exemptions | | | | | Level 2 Trailer 2 |
|--|---------------|--------------|------------|-------------|--|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Exemption input is only accepted for Sub-Account A and S. |
| Constant | 12 | 6 | 17 | N | Always = 999999999999 |
| Record Count | 9 | 18 | 26 | N | 999,999,999 Total quantity for Level 2 exemptions |
| Record Id | 2 | 27 | 28 | N | Always = 92 |
| Future Use | 52 | 29 | 80 | A | Reserved |
| 11/04/2014 | | | | | END OF RECORD |

| CNS Seg Exemption Input (Priority Overrides) | | | | | Priority Detail |
|--|---------------|--------------|------------|-------------|---|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Priority Override input is only accepted for Sub-Account A and E |
| CUSIP | 9 | 6 | 14 | A/N | |
| Constant | 3 | 15 | 17 | N | Always = 000 |
| Future Use | 8 | 18 | 25 | A | Reserved |
| Record ID | 1 | 26 | 26 | N | 1 |
| Night Priority | 2 | 27 | 28 | N | 64 = High priority, night 68 = Normal priority. night |
| Day Priority | 2 | 29 | 30 | N | 64 = High priority, day 68 = Normal priority. day |
| Future Use | 50 | 31 | 80 | A | Reserved |
| 11/04/2014 | | | | | END OF RECORD |

| CNS Seg Exemption Input (Priority Overrides) | | | | | Priority Trailer |
|--|---------------|--------------|------------|-------------|---|
| DATATRAK ID 06121 - Original & Y2k Format | | | | | Record Length 80 |
| Description | Length | Start | End | Type | Comments |
| Participant Clearing Number | 4 | 1 | 4 | A/N | Assigned by NSCC |
| Participant Sub-Account | 1 | 5 | 5 | A/N | A = Regular Account B = Open C = Formerly Stock Borrow D = Depos. Receivable E = Fully Paid For F = Open G = Reorg #1 H = Reorg #2 I - Q = Open R = ACATS Long S = ACATS Short T - Z = Open Note: Priority Override input is only accepted for Sub-Account A and E |
| Constant | 12 | 6 | 17 | N | Always = 999999999999 |

| | | | | | |
|--------------|----|----|----|---|--------------------------------|
| Record Count | 9 | 18 | 26 | N | 999,999,999 Number of priority |
| Record Id | 2 | 27 | 28 | N | Always = 84 |
| Future Use | 52 | 29 | 80 | A | Reserved |
| 11/04/2014 | | | | | <i>END OF RECORD</i> |