SECURING TODAY. SHAPING TOMORROW.

DTCC, through its subsidiaries, provides industry-leading solutions that help secure and shape the future growth and development of the global financial marketplace.

For more than 40 years, DTCC has served as a premier post-trade market infrastructure for the industry, advancing the automation, centralization, global financial services standardization and streamlining of processes critical to the markets’ safety and soundness.

Today, we stand at the center of global trading activity, processing trillions of dollars of securities transactions on a daily basis. We serve as the centralized clearinghouse for more than 50 exchanges and equity trading platforms in the U.S., maintaining multiple data and operating centers worldwide and providing strong business continuity and around-the-clock support. Our depository provides custody and asset servicing for securities issued from 131 countries. In addition, DTCC’s global trade repository record more than U.S. $500 trillion in gross notional value of transactions made worldwide.

We provide services across multiple asset classes, including:
- Clearing • Settlement • Asset servicing
- Global data management • Information services

These services are provided for a wide range of securities products, such as:
- Equities • Corporate and municipal bonds
- Government and mortgage-backed securities • Derivatives
- Mutual funds and other pooled investment vehicles
- Money market instruments • Alternative investment products
- Insurance • Syndicated loans

Our goal is to drive positive change. User-owned and governed, we leverage our unique position in the industry to anticipate the markets’ future needs and respond with solutions that lower costs, improve efficiency, reduce risk and increase transparency for the industry we serve.

DATA AND REPOSITORY SERVICES

DTCC has a solid reputation for proactively responding to the industry’s evolving needs with advanced solutions to secure today’s financial marketplace and drive future growth. This is evident in the work we are doing to provide the industry with reliable, state-of-the-art data and repository services.

Working in tandem with the industry, regulators and DTCC counterparts, our Data and Repository Services leverage data to mitigate risk, enhance transparency and drive down costs in financial markets globally. Backed by a utility-based, user-governed business model, and proven data management expertise, our aim is to support the industry’s call for a one-stop, comprehensive suite of data solutions.

Whether you are looking for a solution to support mandatory regulatory reporting requirements for derivatives, improve operational efficiencies for outsourced data management services, including client onboarding and transaction processing, assign legal entity identifiers (LEIs); or maintain and communicate account and standing settlement instructions (SSIs), DTCC has the solution for you.

GLOBAL TRADE REPOSITORY (GTR)

The Global Trade Repository supports mandatory regulatory reporting requirements as well as voluntary reporting of derivatives. The GTR, which holds detailed data on derivatives transactions globally, gives market participants and regulators an unprecedented degree of transparency into this U.S. $650 trillion market. Our repositories span three continents and provide data to regulators and market participants across multiple asset classes in a common format, and at a moment’s notice. This advanced capability allows trading firms the efficiency of reporting once to meet all their global requirements.

REFERENCE DATA SERVICES

DTCC offers clients world-class data management services and expertise they can trust. Leveraging a user-owned and governed business model, DTCC provides clients with a comprehensive and growing suite of solutions.

Avox

Avox, a wholly owned subsidiary of DTCC, provides legal entity data services. Avox leads the field in reference data, validating business entity reference data on more than 1 million entities in its advanced database. Using sources in 235 jurisdictions, Avox updates more than 150,000 data records each week, earning it recognition as “Best Counterparty Data Provider” by Inside Reference Data for several years running.

Global Markets Entity Identifier (GMEI®) Utility

DTCC’s Legal Entity Identifier solution, the Global Markets Entity Identifier (GMEI) utility — formerly known as the CRIC Utility, developed in conjunction with SWIFT® – is helping the industry solve the longstanding problem of how to provide readily accessible data for the unique identification of legal entities that engage in financial transactions. Through the inclusion of pre-LEIs on regulatory reporting to swap and data repositories, DTCC’s solution helps regulators and market participants better understand and aggregate counterparty exposures, enhance market transparency and manage systemic risk. As an added benefit, due to global acceptance of pre-LEIs issued by various pre-LOUs (Local Operating Units), the identifiers assigned by DTCC can be used for required regulatory reporting to regulators around the world.

Omgeo ALERT™

DTCC and Omgeo work together on critical initiatives, including providing the industry a user-governed global utility to store and communicate the “golden copy” of standing settlement instructions (SSIs). Enabling market participants to consolidate SNI information across all counterparties, matching platforms and asset classes into one database thereby reducing risk and
cost, leveraging scale, and driving consistency and standardization of processes. Omgeo ALERTSM, the industry’s largest and most compliant web-based database for the maintenance and communication of SSIs, will serve as the foundation for this service.

Omgeo’s services also include automatic enrichment by its transaction products ensuring the most accurate account and standing settlement instructions are provided, an additional central repository storing and disseminating pre-Legal Entity Identifiers (pre-LEIs) on a fund by fund basis fulfilling derivative reporting obligations as well as operational performance measurement tools providing the ability to monitor and analyze operational performance against industry standards, as well as counterparty timeliness and performance.

Services include:
• Account & SSI Database
• Automatic SSI Enrichment

DATA PRODUCTS

DTCC offers clients world-class data products, including databases, benchmarking, indices, and analytic products, by leveraging its data-rich infrastructure while always protecting the confidentiality of its contributors.

Omgeo BenchmarksSM
Omgeo Benchmarks is a robust data analytics solution that measures the performance of post-trade operations. This further enables clients to leverage their existing investment in Omgeo post-trade transaction solutions and continually enhance operational efficiency via:

Trade Analytics – a cloud based (or online/web) service for measuring efficiencies, timings and accuracy of both the client and their counterparties

Business Intelligence Reports – tailored reporting and consulting to measure efficiencies, timings and accuracy of both the client and their counterparties alongside their peers, as well as the overall market

GCF Repo Index®
The DTCC GCF Repo Index® is the first and only index that tracks the average daily interest rate paid for the most-traded general collateral finance repurchase agreement (GCF Repo®) contracts for the U.S. Treasury, federal agency and mortgage-backed securities issued by Fannie Mae and Freddie Mac. The index differs from existing benchmarks in that it is not based on subjective rate estimates; instead, it reflects actual, fully collateralized and centrally cleared repo transactions. As a result, it is a more accurate reflection of funding costs than LIBOR and other benchmarks that are based on estimated costs.

Exchange Traded Funds (ETF) Portfolio Service
Through DTCC’s subsidiary, National Securities Clearing Corporation (NSCC), ETF market participants have access to an automated and a centralized platform, for ETF processing. DTCC’s streamlined approach gives users the ability to review, either on-line or in a data file, the ETF’s portfolio constituents, which are also used to automate the creation and redemption of ETF shares and their subsequent settlement. Additionally, this ETF information can be distributed automatically through a variety of secured transmission options, giving clients immediate access to the portfolio files. This creates efficiencies and reduces cost and risk while facilitating improved business processing.

Analytic Reporting for Annuities
Analytic Reporting for Annuities, a service of DTCC’s Insurance & Retirement Services business, differentiates itself from competitors by mining real, objective data from annuity transactions—instead of subjective survey data—to provide clients with more accurate market information and detailed benchmarking. Business intelligence features include a research portal for unrivaled access to aggregated annuity transaction data and the functionality to conduct targeted territory analysis down to the ZIP code level.