



TRADE CONFIRMATION SOLUTIONS FOR BRAZIL

OVERVIEW

AUTOMATION & STANDARDIZATION FOR THE SELL-SIDE

CTM™ is the global standard for post-trade matching of cross-border and domestic equity, fixed income. Given today's economic uncertainty, Brazilian trade participants are under pressure to lower cost and risk. DTCC provides worldclass solutions that not only process trades faster, but process trades better. The largest global market participants view central matching via the CTM platform as the most efficient way to streamline trade flows and achieve higher levels of automation.

WHY CHOOSE THE CTM SOLUTION?

Central matching is the securities industry's logical next step in the evolution of straight-through processing (STP). A streamlined central matching process accelerates the critical steps of the post-trade/pre-settlement process, giving your firm more time to proactively resolve exceptions. Central matching also enhances your ability to achieve same and/or next day settlement and increases your ability to handle volume peaks.

With the CTM service, you no longer need to rely on manual methods (fax or email) to receive allocations from your investment manager and match / confirm trade details. The centralized CTM system facilitates electronic trade confirmation amongst all market participants, globally and locally. The CTM solution delivers standardization to eliminate the need to support the various formats that investment managers may require when sending confirms. The solution also provides exception-only processing and real-time settlement instruction enrichment.

CTM central matching facilitates significantly higher same day affirmation (SDA) rates.

SIMPLIFIED EXCEPTION PROCESSING

The robust and browser-based CTM user interface (UI) puts the power in your hands. Instead of handling exceptions manually with your counterparty, the CTM platform enables you to instantly modify and repair trade exceptions to facilitate matching. Reject, amend and cancel trades, as well as view trade statuses all through a secure Internet connection. You can also integrate exception processing into your own system via our direct interface options.

END-TO-END EXCEPTION PROCESSING

Reduce manual processing and the maintenance costs of multiple applications and achieve STP of matched trades via a single platform. The CTM service provides seamless connectivity from execution to settlement including direct interfaces to

various sell-side vendor partners. And when used in conjunction with ALERT®, clients can automatically append instructions to trades ensuring all account information is accurate.

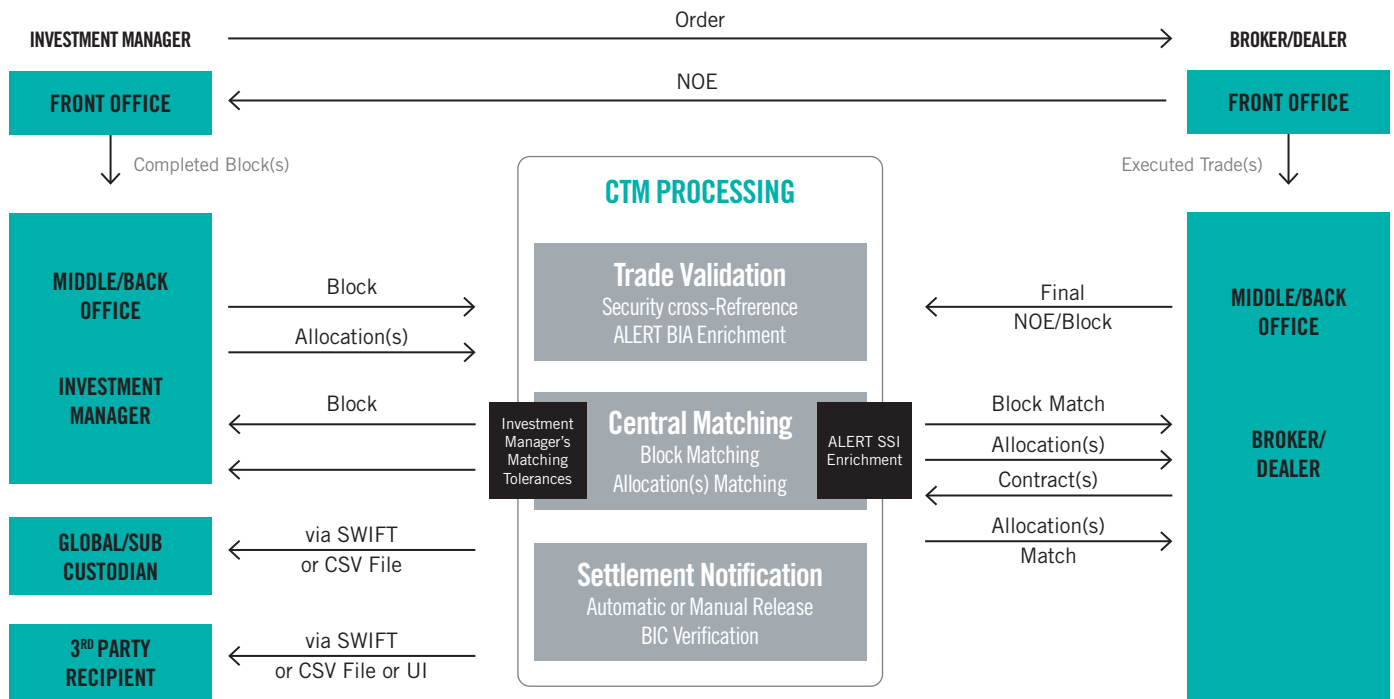
SSI ENRICHMENT VIA ALERT

ALERT is the industry's largest and most compliant web-based global database for the maintenance and communication of settlement and account instructions (SSI). The industry estimates that 30% of trade failures are a direct result of inaccurate settlement instructions. This number is largely fueled by firms using manual processes for the management of settlement instructions. ALERT significantly reduces trade failure by enabling a community of investment managers, broker/dealers and custodian banks to share accurate SSIs automatically worldwide. By automatically enriching your CTM transaction from ALERT, you ensure that the most accurate SSIs are included on your trade.

BENEFITS OF AUTOMATION VIA DTCC

- Receive allocations and send confirmations in one standardized, automated format and centrally match / confirm using a single central solution to reduce risk, cost and end of day time pressures
- Automatically enrich trades with your broker delivery instructions and cross-reference Broker Internal Accounts (BIAs) via ALERT to decrease trade failure
- Resolve exceptions in a timely/efficient manner through the CTM user interface or your own proprietary interface
- Access a vast, international CTM client community – just under 1,000 buy-side firms
- The CTM solution provides an online historical trade audit trail and enables your compliance with regulatory requirements
- Access to the CTM platform via various sell-side vendor partners

HOW THE SERVICE WORKS



FOR MORE INFORMATION

Please email us at solutions@dtcc.com

or visit us on the web at www.dtcc.com/ctm

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