

The Depository Trust & Clearing Corporation

INTEGRATION BUSINESS CONSULTING SERVICES – EMEA

OVERVIEW

We are committed to partnering with our clients to address their business needs and help drive best practices across the financial services industry. With today's ever-changing market, increasing your operational efficiency and reducing risk have never been more important. To ensure your firm is utilizing DTCC's services and products in the most optimal fashion to drive efficiency, DTCC offers business consulting services through our global Integration team.

Our business consulting services team helps clients in a variety of ways. First and foremost, we take the time to fully understand your current challenges. We help you 'take a step back' to evaluate your daily operations, delve into your current situation, and then clearly define areas of focus and opportunities to address these challenges. Our consulting services comprise CTM™ and ALERT®, including the following focus areas:

FEATURES AND FUNCTIONS

Are you aware of all the features and functions currently available? Our services are constantly being enhanced, so it's important to ensure you are aware of all functionality and how to best utilize.

- Settlement notification via CTM
- ALERT enrichment and QDI reports
- Message submission & match profile tolerances
- CTM dashboard and BRMG updates
- Omnibus Settlement
- Acronym/BIC setup and configurations

ASSET CLASSES

Are you aware of all the asset classes currently supported?

- **Full asset class coverage:** Equity, Fixed income, TBAs, Repos, Equity Swaps

BEST PRACTICE USAGE

Are you following our best practice guidelines? We have several market specific best practice guides and codes of practice that enable you to get the most out of the services.

- Market specific (i.e. Brazil, Indonesia, Singapore, Malaysia)
- Field specific (i.e. PSET/PSAFE matching requirements)
- Commission and Proration
- Cross Border Settlement
- Fixed Income Code of Practice
- Prime Broker Accounts

EFFICIENCY ANALYSIS

Are your matching and affirmation rates as high as they can be? Using DTCC matching solutions can help increase your SDA rates close to a global average of 95%*. It's proven that Same Day Affirmation (SDA) is a key enabler to shortening settlement cycles and reducing risk.

- There is growing agreement among policymakers, regulators and market participants alike that shorter settlement cycles benefit the industry and investors, since faster and more efficient settlement practices reduce counterparty risk exposure and promote the efficient use of capital.
- With many major markets already on T+2, industry participants worldwide are now focused on the operational impact of supporting this important move.

WORKFLOW ANALYSIS

Review overall system efficiency

- Are you using DTCC's solutions to their fullest potential?
- Are you sending through your full volume?
- Analyze Matching and Affirmation rates - if less than 90% then explore why?
- Are you constantly reaching out to the same counterparty?
- Are there questions about the vendor interface connecting to DTCC?
- Are there times when you are forced to go manual?

DTCC's business consulting services is here as your business partner - to help your firm fully optimize the use of DTCC's services in support of your quest to improve overall business operations, increase efficiency and reduce risk. We provide both onsite and remote consulting, depending on what is most effective for your firm and staff.

HOW THE CONSULTING PROCESS WORKS

- **Assess your current usage:** Your DTCC integration consultant will conduct a thorough review of your current DTCC suite of services with a focus on existing and historical matching and affirmation rates.
- **Provide Recommendations for Improvement:** Your DTCC integration consultant will then create a comprehensive report documenting your specific areas for improved efficiency, as well as the proposed recommendations that are customized to your situation.
- **Implement:** Your DTCC integration consultant will guide you through any changes that you elect to implement as part of the process.

"We would like to thank the consulting team for reaching out to us, and doing an onsite visit. We gained a lot of valuable information from your team coming onsite that I don't think we would have received if we just did WebEx. Not only did we learn more about the products that we are using, but you also helped us cut back on the amount of manual trade matching that we have been doing for years. Thanks again for working through all of our pain points with us." **Melissa Burk, CenterSquare Investment Management**

FOR MORE INFORMATION

Please email us at BusinessConsultingEMEA@dtcc.com
or visit us on the web at www.dtcc.com/integration

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