I&RS Review Board

ENHANCEMENT REQUEST FORM

Note: Do not complete gray shaded

Submission Date: 7/9/2013

Name/Phone #/E-mail Address:
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Requesting Company Name(s):

Type of Change: ___ X ___ New

____ Mod to Existing

____ Legal Requirement

_____ Code List Add

Priority: ___ X ___ Low (can wait until next release)

____ Medium (Sooner than next release)

____ High (Immediate action)

Application:

_____ ACATS/IPS

_____ Financial Activity Reporting (FAR)

_____ Access Platforms

_____ Fund Transfers (FTR)

_____ Asset Pricing (AAP)

_____ InForce Transactions (IFT)

_____ Applications/Sub Pay (APP/SUB)

_____ Licensing & Appointments (LNA)

____ Attachments (ATT)

_____ Positions and Valuations (POV)

_____ Commissions (COM)

_____ Replacements (RPL)

Date Reviewed by Board: August 6, 2013

Decision Date: August 6, 2013

1) Overall Scope of the Enhancement (should include the Business Reason for the change):

Receiver Control Table Enhancements:

1. When updating the RCT, please provide a response confirming and displaying the update that was made with the data that was entered: Receiver’s participant id, Sender’s participant id, transaction type and indicator values.
2. Provide ability to create detail reports from RCT data by receiver’s participant id showing by sender’s participant id, transaction and indicator values. Making the resulting report downloadable in either a PDF or Excel spreadsheet.

2) **Recommended Record Layout Changes:**

Report elements should be the values that are enterable on the screen.

3) **Business Scenario – (Used to determine Best Practices)**

4) **Standard Usage – (Should include specific field/code usage)**

5) **Status Update:**

   DTCC will include these enhancements in the March 2014 business requirements.

   **Approved for March 2014**

**Revision History**

<table>
<thead>
<tr>
<th>Date</th>
<th>By</th>
<th>Version</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>08/02/2013</td>
<td>Jon Volpe</td>
<td>0.1</td>
<td>Added enhance# and review date</td>
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<tr>
<td>08/07/2013</td>
<td>Jon Volpe</td>
<td>1.0</td>
<td>Updated status</td>
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