I&RS Review Board

ENHANCEMENT REQUEST FORM

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<thead>
<tr>
<th>Note: Do not complete gray shaded</th>
<th>Request Number: IPS00446</th>
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</table>

**Submission Date:** 6/28/2013

**Name/Phone #/E-mail Address:**
Jennifer Yerly (804) 281-6618  
Jennifer.yerly@genworth.com

**Requesting Company Name(s):**

**Type of Change:**  
- [X] New
- [ ] Mod to Existing
- [ ] Legal Requirement
- [ ] Code List Add

**Priority:**
- [X] Low (can wait until next release)
- [ ] Medium (Sooner than next release)
- [ ] High (Immediate action)

**Application:**
- [ ] ACATS/IPS
- [ ] Access Platforms
- [ ] Asset Pricing (AAP)
- [ ] Applications/Sub Pay (APP/SUB)
- [X] Attachments (ATT)
- [ ] Commissions (COM)
- [ ] Financial Activity Reporting (FAR)
- [ ] Fund Transfers (FTR)
- [ ] InForce Transactions (IFT)
- [ ] Licensing & Appointments (LNA)
- [ ] Positions and Valuations (POV)
- [ ] Replacements (RPL)

**Date Reviewed by Board:** July 9, 2013  
**Decision Date:** 08/06/2013

1) **Overall Scope of the Enhancement (should include the Business Reason for the change):**

Add additional control/functionality to separate how the Attachments (ATT) and Access Online Attachments (IAT) use the Receiver Control Table (RCT), thus allowing the receiver to control the type of attachments they allow. There are differences between Attachments (ATT) sent through associated to OE tickets versus what is being loaded and sent from Access Online Attachments. Supporting one, does not guarantee support for the other.
2) **Recommended Record Layout Changes:**

Requesting change to Attachments Receiver Control table, in addition to requesting how Access Online Attachments uses the RCT information.

3) **Business Scenario – (Used to determine Best Practices)**

Requesting that at least one new “Transaction Source” indicator is presented to identify if the receiver is able to support Access Online Attachments. If there is only one new indicator, the default should be the Attachments (ATT).

Two indicators may be better so as to have better clarification as to what is being turned on or off.

See attached proposed screen print.

4) **Standard Usage – (Should include specific field/code usage)**

5) **Status Update:**

Genworth proposed adding a function to the Attachments Receiver Control Table that allows receivers to control how the sender transmits Attachments, through Access or through full Attachments. Genworth is not able to accept attachments through Access as the coding is different from full Attachments. When they receive through Access it fails their edits and must be printed. There was also discussion on making other improvements to the Receiver Control Table, such as search capabilities and a submission response. Genworth will submit another enhancement request.

DTCC is still discussing internally the feasibility of this enhancement.

**ON HOLD – Pending further DTCC analysis**

**August Meeting** – After analysis DTCC determined that do to the scope of this change it is not feasible at this time.

**Enhancement is not approved at this time.**
## Revision History

<table>
<thead>
<tr>
<th>Date</th>
<th>By</th>
<th>Version</th>
<th>Description</th>
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<tbody>
<tr>
<td>07/08/2013</td>
<td>Jon Volpe</td>
<td>0.1</td>
<td>Added review date and enhance#</td>
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<tr>
<td>07/11/2013</td>
<td>Jon Volpe</td>
<td>0.2</td>
<td>Updated status</td>
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<tr>
<td>08/02/2013</td>
<td>Jon Volpe</td>
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