## I&RS Review Board

### ENHANCEMENT REQUEST FORM

<table>
<thead>
<tr>
<th>Note: Do not complete gray shaded</th>
<th>Request Number: IPS00453</th>
</tr>
</thead>
</table>

**Submission Date:**
October 31, 2013

**Name/Phone #/E-mail Address:**
Zack Nyberg – 763-765-5611

**Requesting Company Name(s):**
Allianz Life

**Type of Change:**
- [X] New
- [ ] Mod to Existing
- [ ] Legal Requirement
- [ ] Code List Add
- [ ] Low (can wait until next release)
- [ ] Medium (Sooner than next release)
- [ ] High (Immediate action)

**Application:**
- [X] Financial Activity Reporting (FAR)
- [ ] Fund Transfers (FTR)
- [ ] InForce Transactions (IFT)
- [X] Licensing & Appointments (LNA)
- [X] Positions and Valuations (POV)
- [ ] Replacements (RPL)

<table>
<thead>
<tr>
<th>Date Reviewed by Board:</th>
<th>Decision Date:</th>
</tr>
</thead>
</table>

1) **Overall Scope of the Enhancement (should include the Business Reason for the change):**

During the validation of the producer against the NIPR’s Producer Database (PDB), it has been determined that the LNA file needs to support special characters in the representative’s first and last names.

e.g. Mary Jones-Doe or Mary-Beth Jones
2) **Recommended Record Layout Changes:**

1. Modify all files to allow special characters in the first and last name of the agent.
   a. Modify item # 6202 (Last Name) & # 6203 (First Name) on LNA 53/03 – Producer Name record
   b. Modify item # 3305 (Last Name) & #3306 (First Name) on POV 13/05 – Contract Agent record
   c. Modify item # 5048 (Last Name) & # 5049 (First Name) on FAR 43/04 – Contract record
   d. Modify item # 2405 (Last Name) & # 2406 (First Name) on COM 22/05 – Contract Recipient record
   e. Modify item #4155 (Last Name) & #4156 (First Name) on the APP/SUB 33/35-27 – Agent record.

3) **Business Scenario – (Used to determine Best Practices)**

While special characters are allowed in the agent name only certain characters are acceptable – hyphens.

   e.g. Mary Jones-Doe or Mary-Beth Jones

4) **Standard Usage – (Should include specific field/code usage)**

When submitting legal names please include special characters are needed to ensure the name is appropriately validated against the state’s database for license verification.

5) **Status Update:**

   * November Meeting: On Hold pending more research by

   * December 2013 - Per submitter, enhancement is withdrawn.

---

**Revision History**

<table>
<thead>
<tr>
<th>Date</th>
<th>By</th>
<th>Version</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Date</td>
<td>Name</td>
<td>Version</td>
<td>Notes</td>
</tr>
<tr>
<td>----------</td>
<td>------------</td>
<td>---------</td>
<td>--------------------</td>
</tr>
<tr>
<td>11-7-13</td>
<td>Jeanann Smith</td>
<td>0.1</td>
<td>Added Ench# and review date.</td>
</tr>
<tr>
<td>11/29/13</td>
<td>Jon Volpe</td>
<td>0.2</td>
<td>Updated status</td>
</tr>
<tr>
<td>12/27/13</td>
<td>Jon Volpe</td>
<td>0.3</td>
<td>Updated status</td>
</tr>
</tbody>
</table>