Delivering Carrier Business Edit Declined Processing
Best Practice

Required processing by Delivering Carrier and Receiving Carrier
1. All Delivering carriers will send a TXLife 127 response message to each TXLife 127 message they receive
2. All Delivering carriers will send an TXLife 1128 request message to each 12702 or 12704 request message they receive and accept with the latest status update
   Note: message rejects for technical reasons by the delivering carrier are omitted.
3. All Receiving carriers will be prepared to process and act upon (if necessary) the TXLife1128 message received from the Delivering carrier.

The following represents the Best Practice for providing delivering carrier business edit ‘declined’ information on the TXLife 1128 request message:
   Note: Other delivering carrier status can be found in the Replacement Best Practice document for Requirement Status

Scenario A - Not Transferable at all or via DTCC Replacements

1) No Cash Value

TXLife 1128 request:
CorrelationGUID State: FINAL
Requirement Code: 655 – Replaced Policy Funds
Requirement Status: 6 - DECLINED
Requirement Substatus: 33 – No Cash Value

2) Unable to Release-Collaterally Assigned

TXLife 1128 request:
CorrelationGUID State: FINAL
Requirement Code: 655 – Replaced Policy Funds
Requirement Status: 6 - DECLINED
Requirement Substatus: 34 – Unable to Release Funds – Policy Collaterally Assigned

3) Unable to Release Funds- Contract Annuitized

TXLife 1128 request:
CorrelationGUID State: FINAL
Requirement Code: 655 – Replaced Policy Funds
Requirement Status: 6 - DECLINED
Requirement Substatus: 35 – Unable to Release Funds – Policy Annuitized
4) Contract is Inactive

**TXLife 1128 request:**
- CorrelationGUID State: FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: 6 - DECLINED
- Requirement Substatus: 36 – No Active Policy on Record

5) Policy Number provided is unrecognizable or was not found by the ceding carrier

**TXLife 1128 request:**
- CorrelationGUID State: FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: 6 - DECLINED
- Requirement Substatus: TBD – Policy cannot be located

6) Death Claim Pending

**TXLife 1128 request:**
- CorrelationGUID State: FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: 6 - DECLINED or 8 - CANCEL
- Requirement Substatus: 47 – Carrier Notified of a Death Claim

7) Ceding Carrier is a non-processing entity

**TXLife 1128 request:**
- CorrelationGUID State: FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: 6 - DECLINED
- Requirement Substatus: 43 – Ceding Carrier is a non-processing entity

Note: (process will be handled manually from the start by the receiving carrier)

8) TBD - Contracts with future surrender date are not processed

**TXLife 1128 request:**
- CorrelationGUID State: FINAL
- Requirement Code: 655
- Requirement Status: 6
- Requirement Substatus: TBD - Contracts with future surrender date are not processed

Scenario B - Format not Recognizable/Supported

9) **TXLife 127 response:** 107 - The receiver does not support the Mime Type.

Note: This is sample of a technical edit. This type of edit will be reported as a <Result Info Code> on the TXLife127 response message (not the TXLife 1128 request message).

Scenario C - Correct Carrier Family - not correct NSCC participant number
10) Policy is eligible but was transmitted to incorrect participant’s family carrier.
   Note: Use <Requirement Detail> to identify the correct family participant

**TXLife 1128 request:**

- CorrelationGUID State: FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: 6 - DECLINED
- Requirement Substatus: 49 – Request sent to incorrect firm
- Requirement Detail: identify the correct family participant number for new TXLife 127 transmission

11) Ceding Carrier is a non-processing entity, ppwk has been forwarded to proper entity

**TXLife 1128 request:**

- CorrelationGUID State: FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: 6 - DECLINED
- Requirement Substatus: 44 – Ceding Carrier is a non-processing entity, ppwk has been forwarded to proper entity

Scenario D - Good - Replacement message was accepted successful

**12) TXLife 127 response:** Result Code = 1, Result Info Code - not used

**TXLife 1128 request:**

- CorrelationGUID State: ONGOING or FINAL
- Requirement Code: 655 – Replaced Policy Funds
- Requirement Status: valid value based on delivering carrier status
- Requirement Substatus: valid value based on delivering carrier status

or

Any of the TXLife 1128 request statuses reported above for rejections.