



Securing Today. Shaping Tomorrow.®

## Alternative Investment Product Services

# AIP Client List

## Weekly Update

2018 - 11 - 12

*AIP is an offering of National Securities Clearing Corporation, a subsidiary of  
The Depository Trust & Clearing Corporation*

DTCC Non-Confidential (Green)



. DTCC Internal (Green)

## AIP Member List (Distributors - Broker-Dealers/ Custodians / Clearing Firms)

Participant Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>American Enterprise Investment Services Inc. {Ameriprise}</b>	Firm	<b>3433</b>	2/17/2012
<b>American Portfolios Financial Services, Inc.</b>	Firm	<b>9846</b>	12/21/2015
<b>Cetera Investment Services LLC</b>	Firm	<b>1768</b>	9/5/2018
<b>Charles Schwab &amp; Co, Inc.</b>	Firm	<b>3401</b>	12/31/2009
<b>Cor Clearing LLC</b>	Firm	<b>0648</b>	10/31/2016
<b>Crowdkey, Inc.</b>	Firm	<b>7291</b>	12/13/2016
<b>Dempsey Lord Smith, LLC</b>	Firm	<b>5261</b>	11/7/2016
<b>Edward D. Jones &amp; Co., L.P.</b>	Firm	<b>1871</b>	1/16/2013
<b>Entrust Administration Inc</b>	Firm	<b>3415</b>	5/11/2018
<b>H. Beck, Inc.</b>	Firm	<b>3911</b>	9/19/2018
<b>HD Vest Investment Securities , Inc</b>	Firm	<b>7242</b>	7/24/2018
<b>Hilltop Holdings, Inc. (formerly Southwest Securities, Inc.)</b>	Firm	<b>1956</b>	4/19/2013
<b>INTL FCStone Financial Inc.</b>	Firm	<b>8045</b>	10/20/2017
<b>J.W.Korth &amp; Company Limited Partnership</b>	Firm	<b>9893</b>	3/3/2016
<b>LPL Financial LLC</b>	Firm	<b>3477</b>	6/19/2013
<b>LPL Financial LLC (AXA)</b>	Firm	<b>6989</b>	8/24/2015

## AIP Member List (Distributors - Broker-Dealers/ Custodians / Clearing Firms)

Participant Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>MG Trust Company, LLC</b>	Firm	<b>1531</b>	5/26/2015
<b>Morgan Stanley Smith Barney LLC</b>	Firm	<b>1287</b>	8/29/2014
<b>National Financial Services LLC (NFS)</b>	Firm	<b>3409</b>	2/22/2011
<b>National Securities Corporation</b>	Firm	<b>9389</b>	5/12/2016
<b>Orion Advisor Services, LLC.</b>	Firm	<b>8843</b>	6/8/2018
<b>Pershing LLC</b>	Firm	<b>0838</b>	10/1/2008
<b>Provident Trust Group, LLC</b>	Firm	<b>1237</b>	7/11/2014
<b>RBC Capital Markets, LLC</b>	Firm	<b>3428</b>	2/11/2011
<b>TD Ameritrade Clearing, Inc</b>	Firm	<b>3867</b>	7/6/2011
<b>UBS Financial Services Inc.</b>	Firm	<b>1896</b>	8/18/2014
<b>Vantage Retirement Plans, LLC</b>	Firm	<b>3733</b>	9/25/2018
<b>Wells Fargo Clearing Services, LLC</b>	Firm	<b>9467</b>	3/17/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>A.W. Jones Advisors, LLC</b>	Fund	<b>8290</b>	10/6/2017
<b>Alera Management Group, LLC</b>	Fund	<b>8884</b>	8/18/2016
<b>Align Affordable Housing Bond Fund, L.P.</b>	Fund	<b>1537</b>	2/22/2018
<b>AllianceBernstein Investments, Inc.</b>	Fund	<b>1236</b>	5/27/2014
<b>ALPS Alternative Investment Services, LLC</b>	Fund Administrator	<b>8078</b>	1/17/2018
<b>ALPS ALTERNATIVE INVESTMENT SERVICES, LLC/ARROWMARK INCOME OPPORTUNITY FUND QP LP</b>	Fund (Under ALPS)	<b>7585</b>	1/22/2018
<b>Altegris Portfolio Management, Inc.</b>	Fund	<b>3476</b>	1/30/2012
<b>Amerifunds Diversified Funding LLC</b>	Fund	<b>1090</b>	6/10/2013
<b>AMG Funds LLC</b>	Fund	<b>6988</b>	11/9/2015
<b>Angelo, Gordon &amp; Co., L.P.</b>	Fund Administrator	<b>1078</b>	6/9/2017
<b>ANGELO, GORDON &amp; CO., L.P./AG Mortgage Value Partners Master Fund, L.P.</b>	Fund (under Angelo)	<b>1731</b>	6/9/2017
<b>ANGELO, GORDON &amp; CO., L.P./AG Super Fund International, LTD.</b>	Fund (under Angelo)	<b>1725</b>	6/9/2017
<b>ANGELO, GORDON &amp; CO., L.P./AG Super Fund, L.P.</b>	Fund (under Angelo)	<b>1689</b>	6/9/2017
<b>APRO II, LLC</b>	Fund	<b>1532</b>	5/28/2014

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>APS/Hill Street Partners LLC</b>	Fund	<b>6968</b>	7/28/2015
<b>AR Global Investments, LLC</b>	Fund Administrator	<b>9376</b>	5/9/2016
<b>AR Global Investments, LLC - American Finance Trust, Inc. (formerly American Realty Capital Trust V, Inc.)</b>	Fund (under AR Global)	<b>9416</b>	5/18/2016
<b>AR Global Investments, LLC - American Realty Capital Global Trust II, Inc.</b>	Fund (under AR Global)	<b>9423</b>	5/18/2016
<b>AR Global Investments, LLC - American Realty Capital Healthcare Trust III, Inc.</b>	Fund (under AR Global)	<b>9425</b>	5/18/2016
<b>AR Global Investments, LLC - American Realty Capital New York City REIT, Inc.</b>	Fund (under AR Global)	<b>9421</b>	5/18/2016
<b>AR Global Investments, LLC - American Realty Capital Retail Centers of America, Inc.</b>	Fund (under AR Global)	<b>9415</b>	5/18/2016
<b>AR Global Investments, LLC - Business Development Corporation of America</b>	Fund (under AR Global)	<b>9414</b>	5/18/2016
<b>AR Global Investments, LLC - Healthcare Trust, Inc. (formerly American Realty Capital Healthcare Trust II, Inc.)</b>	Fund (under AR Global)	<b>9417</b>	5/18/2016
<b>AR Global Investments, LLC - Realty Finance Trust, Inc.</b>	Fund (under AR Global)	<b>9418</b>	5/18/2016
<b>Arixa Management, LLC</b>	Fund	<b>1083</b>	7/26/2017
<b>Artivest Advisors LLC/Artivest Direct - Mackay Shields LLC</b>	Fund (under Artivest)	<b>1580</b>	11/20/2017
<b>Artivest Advisors, LLC</b>	Fund Administrator	<b>9820</b>	11/6/2015
<b>Artivest Advisors, LLC - Artivest Advisors, LLC</b>	Fund (under Artivest)	<b>9369</b>	3/29/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

<b>Name</b>	<b>Participant Type</b>	<b>NSCC AIP Number</b>	<b>Membership Live Date</b>
<b>Ascentia Real Estate Holding Company, LLC</b>	Fund	<b>1742</b>	8/27/2014
<b>Ategra Capital Management LLC</b>	Fund	<b>3494</b>	8/8/2018
<b>Atel Securities Corporation</b>	Fund	<b>1846</b>	10/26/2012
<b>Atlas Fund Services (USA) LLC</b>	Fund Administrator	<b>3078</b>	3/27/2017
<b>Atlas Fund Services (USA) LLC/Aston Fund</b>	Fund (under Atlas Fund	<b>8511</b>	4/12/2017
<b>Atlas Fund Services (USA) LLC/MPT USD Segregated Portfolio</b>	Fund (under Atlas Fund	<b>7519</b>	8/24/2017
<b>Atlas Fund Services (USA) LLC/Oakview Value Offshore Fund, Ltd</b>	Fund (under Atlas Fund	<b>8510</b>	4/12/2017
<b>Attain Portfolio Advisors, LLC</b>	Fund	<b>1941</b>	5/7/2013
<b>AXYS Capital LLC</b>	Fund	<b>1456</b>	12/27/2013
<b>Baceline Investments, LLC</b>	Fund	<b>1646</b>	6/10/2014
<b>Bank of New York Mellon</b>	Fund Administrator	<b>2809</b>	10/1/2008
<b>Bank of New York Mellon - Abbey Capital</b>	Fund (under BNY)	<b>1292</b>	10/23/2013
<b>Bank of New York Mellon - Alpine Associates</b>	Fund (under BNY)	<b>8097</b>	1/24/2017
<b>Bank of New York Mellon - Alpine Associates</b>	Fund (under BNY)	<b>2021</b>	1/24/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Bank of New York Mellon - Beach Point Capital</b>	Fund (under BNY)	<b>6844</b>	4/1/2015
<b>Bank of New York Mellon - Evanston Capital Management LLC</b>	Fund (under BNY)	<b>6867</b>	4/1/2015
<b>Bank of New York Mellon - Grosvenor Capital Management, LP</b>	Fund (under BNY)	<b>6973</b>	6/16/2015
<b>Bank of New York Mellon - Ironwood</b>	Fund (under BNY)	<b>1658</b>	5/15/2017
<b>Bank of New York Mellon - K2 Advisors</b>	Fund (under BNY)	<b>9426</b>	5/19/2016
<b>Bank of New York Mellon - MAN Investments (USA) Corp</b>	Fund (under BNY)	<b>9431</b>	5/26/2016
<b>Bank of New York Mellon - Morgan Stanley Smith Barney LLC</b>	Fund (under BNY)	<b>1671</b>	6/25/2014
<b>Bank of New York Mellon - Mt. Lucas</b>	Fund (under BNY)	<b>1797</b>	9/19/2012
<b>Bank of New York Mellon - Post Advisory Group, LLC</b>	Fund (under BNY)	<b>9368</b>	4/6/2016
<b>Bank of New York Mellon - Ramius Advisors LLC</b>	Fund (under BNY)	<b>9830</b>	10/13/2015
<b>Bank of New York Mellon - SkyBridge</b>	Fund (under BNY)	<b>1798</b>	9/19/2012
<b>Bank of New York Mellon -Oppenheimer Asset Management Inc</b>	Fund (under BNY)	<b>9429</b>	5/26/2016
<b>Bank of New York Mellon/Verde Asset Management</b>	Fund (under BNY)	<b>6765</b>	1/31/2018
<b>Baru Capital Partners, LLC</b>	Fund	<b>1217</b>	6/13/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Benefit Street Partners, LLC</b>	Fund Administrator	<b>3653</b>	2/1/2017
<b>Benefit Street Partners, LLC - Benefit Street Partners Realty Trust, Inc.</b>	Fund (under Benefit St)	<b>1227</b>	3/7/2017
<b>Benefit Street Partners, LLC - Business Development Corporation of America</b>	Fund (under Benefit St)	<b>1228</b>	3/7/2017
<b>BiscayneAmericas Advisers, LLC</b>	Fund	<b>6884</b>	6/4/2015
<b>Bitwise Asset Management, Inc</b>	Fund	<b>3173</b>	8/1/2018
<b>Black Creek Industrial REIT IV Inc.</b>	Fund	<b>8231</b>	6/15/2017
<b>Blackrock Investments, LLC</b>	Fund Administrator	<b>1881</b>	9/27/2016
<b>Blackrock Investments, LLC - Belfry Fund, L.P. - Core Portfolio</b>	Fund (under Blackrock)	<b>5824</b>	9/28/2016
<b>BlackRock Investments, LLC/Belfry Fund, L.P. - EP Portfolio</b>	Fund (under Blackrock)	<b>2600</b>	6/27/2018
<b>Blackstone Advisory Partners L.P.</b>	Fund Administrator	<b>8219</b>	12/15/2016
<b>Blackstone Advisory Partners L.P. - Blackstone Real Estate Income Trust, Inc.</b>	Fund (under Blackstone)	<b>2529</b>	1/23/2017
<b>Blackstone Advisory Partners L.P. - Blackstone Advisory Partners L.P. (BAAF)</b>	Fund (under Blackstone)	<b>1733</b>	1/15/2015
<b>Blackstone Advisory Partners L.P. - Blackstone Advisory Partners L.P. (BREIF)</b>	Fund (under Blackstone)	<b>9862</b>	11/27/2015
<b>Blackstone Advisory Partners L.P./Blackstone/GSO Floating Rate Enhanced Income Fund</b>	Fund (under Blackstone)	<b>3457</b>	11/8/2018



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Bluebird Asset Management LLC</b>	Fund	<b>1672</b>	7/29/2014
<b>Bluerock Fund Advisor, LLC</b>	Fund Administrator	<b>5402</b>	2/1/2018
<b>Bluerock Fund Advisor, LLC/Total Income+ Real Estate Fund</b>	Fund (Under Bluerock)	<b>6702</b>	2/6/2018
<b>BMO Asset Management Corp</b>	Fund	<b>5877</b>	5/16/2018
<b>BRELF III, LLC</b>	Fund	<b>3901</b>	8/22/2018
<b>Broadmark Real Estate Management II, LLC</b>	Fund	<b>9872</b>	12/22/2015
<b>Broadscope Fund Administrators, LLC</b>	Fund Administrator	<b>8258</b>	12/14/2016
<b>Bulldog Management LLC</b>	Fund	<b>9814</b>	2/22/2017
<b>Burlington Capital Multifamily Equity Fund VI, LLC</b>	Fund	<b>1549</b>	1/31/2018
<b>Buttonwood Group Advisors, LLC</b>	Fund	<b>6875</b>	7/24/2015
<b>Cache Private Capital Management, LLC</b>	Fund Administrator	<b>3668</b>	9/24/2018
<b>Cache Private Capital Management, LLC/Cache Private Capital Diversified Fund, LLC</b>	Fund (under Cache)	<b>3922</b>	9/28/2018
<b>Cache Private Capital Management, LLC/Prospera Growth Fund, LLC</b>	Fund (under Cache)	<b>3923</b>	9/28/2018
<b>CALCAP Income Fund I LLC</b>	Fund	<b>3780</b>	9/5/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Calibogue Capital, LLC</b>	Fund	<b>3187</b>	7/24/2018
<b>Campbell &amp; Company, Inc.</b>	Fund	<b>1796</b>	9/14/2012
<b>Cantor Fitzgerald Investors, LLC</b>	Fund Administrator	<b>3623</b>	5/5/2017
<b>Cantor Fitzgerald Investors, LLC/CF NorTerra Canyon Multifamily DST</b>	Fund (under Cantor)	<b>3196</b>	7/19/2018
<b>Cantor Fitzgerald Investors, LLC/CF Star Multifamily Portfolio DST</b>	Fund (under Cantor)	<b>3197</b>	7/19/2018
<b>Cantor Fitzgerald Investors, LLC/CF Towson Multifamily DST</b>	Fund (under Cantor)	<b>3747</b>	9/4/2018
<b>Cantor Fitzgerald Investors, LLC/Rodin Global Property Trust, Inc.</b>	Fund (under Cantor)	<b>1235</b>	6/8/2017
<b>Cantor Fitzgerald Investors, LLC/Rodin Income Trust, Inc.</b>	Fund (under Cantor)	<b>3193</b>	7/19/2018
<b>Canyon Capital Advisors LLC</b>	Fund	<b>7450</b>	7/5/2017
<b>Capital Integration Systems {CAIS}</b>	Fund Administrator	<b>1836</b>	9/27/2012
<b>Capital Integration Systems {CAIS} - CAIS Funds</b>	Fund (under CAIS)	<b>3470</b>	3/15/2011
<b>CapStack Partners LLC</b>	Fund Administrator	<b>1323</b>	5/11/2017
<b>Carlson Capital, L.P.</b>	Fund	<b>4544</b>	1/31/2018
<b>Carter Validus Mission Critical REIT, Inc.</b>	Fund	<b>1785</b>	8/8/2012

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Catenary Alternatives Asset Management, LLC</b>	Fund	<b>8059</b>	3/5/2015
<b>Cedar Street Asset Management LLC</b>	Fund	<b>1579</b>	11/20/2017
<b>Chatham Capital Holdings, Inc</b>	Fund	<b>1632</b>	7/7/2014
<b>Christina Real Estate Investors 2, LLC</b>	Fund	<b>3669</b>	8/29/2018
<b>CIBC Bank and Trust Company (Cayman) Limited</b>	Fund Administrator	<b>8050</b>	2/2/2015
<b>CIBC Bank and Trust Company (Cayman) Limited - Aggressive Segregated Portfolio</b>	Fund (under CIBC)	<b>6848</b>	2/23/2015
<b>CION Investment Group, LLC</b>	Fund Administrator	<b>2283</b>	3/13/2017
<b>CION Investment Group, LLC - CION Ares Diversified Fund - A</b>	Fund (under CION)	<b>2290</b>	5/1/2017
<b>CION Investment Group, LLC/CION Ares Diversified Credit Fund – C</b>	Fund (under CION)	<b>9190</b>	3/27/2018
<b>CION Investment Group, LLC/CION Ares Diversified Credit Fund – I</b>	Fund (under CION)	<b>9191</b>	3/27/2018
<b>CION Investment Group, LLC/CION Ares Diversified Credit Fund – L</b>	Fund (under CION)	<b>9193</b>	3/27/2018
<b>CIR Feeder Fund Management, LLC</b>	Fund	<b>8110</b>	12/13/2016
<b>CNL Capital Markets Corp</b>	Fund Administrator	<b>3662</b>	3/23/2012
<b>CNL Capital Markets Corp - Chambers Street Properties</b>	Fund (under CNL)	<b>3656</b>	3/23/2012

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
CNL Capital Markets Corp - CNL Growth Properties II, A Shares	Fund (under CNL)	9305	2/9/2016
CNL Capital Markets Corp - CNL Growth Properties II, I Shares	Fund (under CNL)	9306	2/9/2016
CNL Capital Markets Corp - CNL Growth Properties II, LLC Tier 1	Fund (under CNL)	9885	1/11/2016
CNL Capital Markets Corp - CNL Growth Properties II, LLC Tier 1Q	Fund (under CNL)	9301	1/28/2016
CNL Capital Markets Corp - CNL Growth Properties II, LLC Tier 2	Fund (under CNL)	9886	1/11/2016
CNL Capital Markets Corp - CNL Growth Properties II, LLC Tier 2Q	Fund (under CNL)	9302	1/28/2016
CNL Capital Markets Corp - CNL Growth Properties II, LLC Tier 3	Fund (under CNL)	9887	1/11/2016
CNL Capital Markets Corp - CNL Growth Properties II, LLC Tier 3Q	Fund (under CNL)	9303	1/28/2016
CNL Capital Markets Corp - CNL Growth Properties II, T Shares	Fund (under CNL)	9307	2/9/2016
CNL Capital Markets Corp - CNL Healthcare Trust	Fund (under CNL)	3661	3/23/2012
CNL Capital Markets Corp - CNL Lifestyle Properties Inc.	Fund (under CNL)	3599	3/23/2012
CNL Capital Markets Corp - CNL Strategic Capital, LLC	Fund (under CNL)	8066	7/31/2017
CNL Capital Markets Corp - Corporate Capital Trust	Fund (under CNL)	3659	3/23/2012
CNL Capital Markets Corp - Corporate Capital Trust II, Inc.	Fund (under CNL)	9304	2/9/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>CNL Capital Markets Corp - Global Growth Trust, Inc.</b>	Fund (under CNL)	<b>3657</b>	3/23/2012
<b>CNL Capital Markets Corp - Global Income Trust, Inc.</b>	Fund (under CNL)	<b>3658</b>	3/23/2012
<b>CNL Capital Markets Corp/CNL Strategic Capital, LLC - A Shares</b>	Fund (under CNL)	<b>8227</b>	9/22/2017
<b>CNL Capital Markets LLC/CNL Growth Properties III, LLC</b>	Fund (under CNL)	<b>3072</b>	6/27/2018
<b>CNL Capital Markets LLC/CNL Growth Properties III, LLC Qual</b>	Fund (under CNL)	<b>3284</b>	6/27/2018
<b>Cobalt Capital Inc.</b>	Fund	<b>1753</b>	5/16/2012
<b>Cohen Real Estate Advisors, LLC</b>	Fund Administrator	<b>3148</b>	8/8/2018
<b>Cohen Real Estate Advisors, LLC/Cohen Real Estate Fund 2017, LLLP</b>	Fund (under Cohen Real)	<b>3591</b>	8/17/2018
<b>Cole Capital Corporation</b>	Fund	<b>3403</b>	11/21/2011
<b>Colony S2K Servicing, LLC</b>	Fund Administrator	<b>8062</b>	11/28/2016
<b>Colony S2K Servicing, LLC - Procaccianti Hotel REIT, Inc.</b>	Fund (under Colony S2K)	<b>7339</b>	1/19/2017
<b>Concise Capital Management L.P.</b>	Fund	<b>1882</b>	2/22/2013
<b>Conifer Financial Services</b>	Fund Administrator	<b>3135</b>	4/29/2015
<b>Conifer Financial Services - Cobblestone</b>	Fund (under Conifer)	<b>9808</b>	9/18/2015

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Conifer Financial Services - Greylock</b>	Fund (under Conifer)	<b>9822</b>	10/7/2015
<b>Conifer Financial Services - Hillview Global Alpha Fund Offshore, Ltd.</b>	Fund (under Conifer)	<b>9827</b>	10/8/2015
<b>Conifer Financial Services - Hillview Global Alpha Fund, LP</b>	Fund (under Conifer)	<b>9828</b>	10/8/2015
<b>Conifer Financial Services - Hillview Special Opportunities Fund, LP</b>	Fund (under Conifer)	<b>9826</b>	10/8/2015
<b>Conifer Financial Services - Sonen Global Fixed Income Fund</b>	Fund (under Conifer)	<b>9825</b>	10/8/2015
<b>Conifer Financial Services - Sonen Global Public Equity Fund</b>	Fund (under Conifer)	<b>9824</b>	10/8/2015
<b>Core Capital Management, LLC</b>	Fund	<b>1138</b>	6/11/2013
<b>Cortland Fund Services LLC/JCR Income Plus Fund IV, L.P.</b>	Fund (under Cortland)	<b>0167</b>	11/17/2017
<b>Cortland Fund Services LLC/Lingerfelt Commonwealth Value Fund II, L.P.</b>	Fund (under Cortland)	<b>3561</b>	6/14/2018
<b>Cortland Fund Services LLC/Momentum Real Estate Fund II, LLC</b>	Fund (under Cortland)	<b>3378</b>	8/6/2018
<b>Cortland Fund Services LLC/Momentum Real Estate Fund III, LLC</b>	Fund (under Cortland)	<b>3390</b>	8/6/2018
<b>Cortland Fund Services LLC/Momentum Real Estate Fund, LLC</b>	Fund (under Cortland)	<b>3372</b>	8/6/2018
<b>Cortland Fund Services, LLC</b>	Fund Administrator	<b>1863</b>	12/19/2012
<b>Cortland Fund Services, LLC - ASI Capital Income Fund, LLC</b>	Fund (under Cortland)	<b>7009</b>	12/30/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Cortland Fund Services, LLC - ASI Healthcare Capital Partners I, L.P.</b>	Fund (under Cortland)	<b>7319</b>	12/30/2016
<b>Cortland Fund Services, LLC - Castellan Real Estate Income Fund II LP</b>	Fund (under Cortland)	<b>8515</b>	4/17/2017
<b>Cortland Fund Services, LLC - First Capital Advisors Multi-Family Real Estate Fund, LP</b>	Fund (under Cortland)	<b>7327</b>	10/27/2016
<b>Cortland Fund Services, LLC - JCR Commercial Real Estate Finance Fund IIIA, L.P.</b>	Fund (under Cortland)	<b>1473</b>	1/10/2014
<b>Cortland Fund Services, LLC - JCR Commercial Real Estate Finance Fund IIIB,L.P.</b>	Fund (under Cortland)	<b>1474</b>	1/10/2014
<b>Cortland Fund Services, LLC - LC Medical Assets, LLLP</b>	Fund (under Cortland)	<b>7315</b>	12/30/2016
<b>Cortland Fund Services, LLC - Lingerfelt Commonwealth</b>	Fund (under Cortland)	<b>9468</b>	7/5/2016
<b>Cortland Fund Services, LLC - Mosaic Real Estate Credit Offshore, LP</b>	Fund (under Cortland)	<b>2401</b>	9/7/2016
<b>Cortland Fund Services, LLC - Mosaic Real Estate Credit TE, LLC</b>	Fund (under Cortland)	<b>7326</b>	9/7/2016
<b>Cortland Fund Services, LLC - Mosaic Real Estate Credit, LLC</b>	Fund (under Cortland)	<b>6985</b>	6/22/2015
<b>Cortland Fund Services, LLC - Rock Medical Assets, LLC</b>	Fund (under Cortland)	<b>7316</b>	12/30/2016
<b>Cortland Fund Services, LLC - Singerman Real Estate Opportunity Fund I, L.P.</b>	Fund (under Cortland)	<b>1728</b>	3/13/2017
<b>Cortland Fund Services, LLC - Singerman Real Estate Opportunity Fund I-A, L.P.</b>	Fund (under Cortland)	<b>1807</b>	3/13/2017
<b>Cortland Fund Services, LLC - SRE Opportunity Fund II, L.P.</b>	Fund (under Cortland)	<b>1826</b>	3/13/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Cortland Fund Services, LLC - SRE Opportunity Fund II-A, L.P.</b>	Fund (under Cortland)	<b>1952</b>	3/13/2017
<b>Cortland Fund Services, LLC - Stellus Credit Master Fund II, LLC</b>	Fund (under Cortland)	<b>1065</b>	7/6/2017
<b>Cortland Fund Services, LLC - Stonehill Strategic Hotel Credit Opportunity Fund II, L.P.</b>	Fund (under Cortland)	<b>3886</b>	9/21/2016
<b>Cortland Fund Services, LLC - Stonehill Strategic Hotel Credit Opportunity Fund QP, L.P.</b>	Fund (under Cortland)	<b>2088</b>	9/21/2016
<b>Cortland Fund Services, LLC - Stonehill Strategic Hotel Credit Opportunity Fund, L.P.</b>	Fund (under Cortland)	<b>3558</b>	9/21/2016
<b>Cortland Fund Services, LLC - Stonehill Strategic Hotel Credit Opportunity QP Fund II, L.P.</b>	Fund (under Cortland)	<b>3851</b>	9/21/2016
<b>Cortland Fund Services, LLC - Thorofare Asset Based Lending Fund II, L.P.</b>	Fund (under Cortland)	<b>1662</b>	1/10/2014
<b>Cortland Fund Services, LLC - Thorofare Asset Based Lending Fund III, L.P.</b>	Fund (under Cortland)	<b>1459</b>	12/13/2013
<b>Cortland Fund Services, LLC - Thorofare Asset Based Lending Fund IV, L.P.</b>	Fund (under Cortland)	<b>6788</b>	8/25/2015
<b>Cortland Fund Services, LLC/ Inspired Healthcare Capital Fund LP</b>	Fund (under Cortland)	<b>9408</b>	7/28/2017
<b>Cortland Fund Services, LLC/ Stellus Credit Offshore Fund II, LP</b>	Fund (under Cortland)	<b>7430</b>	7/6/2017
<b>Cortland Fund Services, LLC/Monroe Capital Fund SCSp SICAV-RAIF – Private Credit Fund III</b>	Fund (under Cortland)	<b>8854</b>	6/5/2018
<b>Cortland Fund Services, LLC/Thorofare Asset Based Lending Fund V, L.P.</b>	Fund (under Cortland)	<b>1889</b>	9/6/2017
<b>Cottonwood Residential Inc.</b>	Fund Administrator	<b>9478</b>	7/18/2016



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Cottonwood Residential Inc. - Cottonwood Communities, Inc.</b>	Fund (under Cottonwood)	<b>6515</b>	3/28/2017
<b>Cottonwood Residential Inc. - Cottonwood Multifamily Opportunity Fund, Inc.</b>	Fund (under Cottonwood)	<b>6543</b>	1/10/2018
<b>Cottonwood Residential Inc. - Cottonwood Multifamily REIT I, Inc</b>	Fund (under Cottonwood)	<b>1502</b>	7/19/2016
<b>Cottonwood Residential Inc. - Cottonwood Multifamily REIT II, Inc</b>	Fund (under Cottonwood)	<b>1021</b>	2/17/2017
<b>Cottonwood Residential Inc. - Cottonwood Residential Inc., Series 2016 Preferred Stock</b>	Fund (under Cottonwood)	<b>2270</b>	7/19/2016
<b>Cottonwood Residential Inc. - Cottonwood Residential Preferred Stock, RIA</b>	Fund (under Cottonwood)	<b>1025</b>	2/17/2017
<b>Cottonwood Residential Inc. - Cottonwood Residential, Inc., Common Non-Voting</b>	Fund (under Cottonwood)	<b>5038</b>	7/19/2016
<b>Cottonwood Residential Inc. - Cottonwood Residential, O.P., LP</b>	Fund (under Cottonwood)	<b>5266</b>	7/25/2016
<b>Cottonwood Residential Inc./Cottonwood Residential O.P., LP 2017 6.25% Unsecured Notes</b>	Fund (under Cottonwood)	<b>9175</b>	3/8/2018
<b>Cottonwood Residential, Inc./CR II 2016 Preferred</b>	Fund (under Cottonwood)	<b>3947</b>	10/18/2018
<b>Cottonwood Residential, Inc./CR II 2017 Preferred</b>	Fund (under Cottonwood)	<b>3948</b>	10/18/2018
<b>Courage Capital Management LLC</b>	Fund	<b>1886</b>	4/23/2013
<b>Credit Andorra S.A.</b>	Fund Administrator	<b>6537</b>	9/1/2017
<b>Credit Andorra S.A. - CAA - Skyline</b>	Fund (under Credit	<b>8174</b>	9/13/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

<b>Name</b>	<b>Participant Type</b>	<b>NSSC AIP Number</b>	<b>Membership Live Date</b>
<b>Credit Andorra S.A./Banque de Patrimoines Privés</b>	Fund (under Credit)	<b>3566</b>	8/13/2018
<b>Crescent Capital Group LP</b>	Fund	<b>3776</b>	9/18/2018
<b>Crescent Securities Group, Inc.</b>	Fund Administrator	<b>5112</b>	9/8/2016
<b>Crescent Securities Group, Inc. - Archon Capital, LP</b>	Fund (under Crescent)	<b>7298</b>	9/14/2016
<b>Crescent Securities Group, Inc./Archon Capital Growth &amp; Income, LP</b>	Fund (under Crescent)	<b>3940</b>	10/10/2018
<b>Crescent Securities Group, Inc./Red Oak Capital Fund, LLC</b>	Fund (under Crescent)	<b>3938</b>	10/10/2018
<b>Crystal Capital Partners LLC</b>	Fund	<b>3473</b>	9/6/2011
<b>Cunat, Inc.</b>	Fund	<b>1508</b>	9/20/2016
<b>DC Industrial Liquidating Trust</b>	Fund	<b>9829</b>	10/19/2015
<b>Dearborn Capital Management LLC</b>	Fund	<b>3425</b>	10/4/2013
<b>Deer Creek Capital Partners, LLC</b>	Fund	<b>7294</b>	12/8/2017
<b>Deer Isle Financial, LLC</b>	Fund	<b>9840</b>	11/27/2015
<b>Derby and Company, Inc.</b>	Fund	<b>1522</b>	4/22/2014
<b>Dividend Capital Diversified Property Fund Inc.</b>	Fund	<b>1738</b>	9/19/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>DLP Capital Advisors, LLC</b>	Fund	<b>0884</b>	9/21/2016
<b>EAS Accounting Services, CPA LLC</b>	Fund	<b>6512</b>	2/6/2018
<b>Eaton Vance Distributors, Inc.</b>	Fund	<b>9877</b>	2/1/2016
<b>Emerson Equity LLC</b>	Fund Administrator	<b>9438</b>	6/29/2016
<b>Emerson Equity LLC - Rockspring Capital BD/RIA</b>	Fund (under Emerson)	<b>9465</b>	6/30/2016
<b>Emerson Equity LLC/Inspired Healthcare Capital</b>	Fund (under Emerson)	<b>6500</b>	1/17/2018
<b>Equinox Alternative Investment Services Holding Limited</b>	Fund Administrator	<b>6883</b>	4/24/2015
<b>Equinox Alternative Investment Services Holding Limited - Gargoyle Enhanced Alpha Fund L.P.</b>	Fund (under Equinox)	<b>6892</b>	5/8/2015
<b>Equinox Alternative Investment Services Holding Limited - Gargoyle Hedge Value (CI) Fund L.P.</b>	Fund (under Equinox)	<b>6891</b>	5/8/2015
<b>Equinox Alternative Investment Services Holding Limited - Gargoyle Hedge Value (QP) Fund L.P.</b>	Fund (under Equinox)	<b>6890</b>	5/8/2015
<b>Equinox Alternative Investment Services Holdings Ltd/ChapelGate Credit Opportunity Fund Limited</b>	Fund (under Equinox)	<b>3850</b>	12/1/2017
<b>Essential Fund Services LLC</b>	Fund Administrator	<b>8506</b>	5/1/2017
<b>Essential Fund Services LLC/Carapace Funds LLC-Carapace Buffered Equity Fund Series 1</b>	Fund (under Essential)	<b>0107</b>	11/7/2017
<b>Essential Fund Services LLC/The Infinity Premier Fund (QP), L.P.</b>	Fund (under Essential)	<b>0680</b>	10/30/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
Esulep Management LLC	Fund	1745	9/8/2014
Evolution Real Estate, LLC	Fund	5902	4/9/2018
Fairway America LLC/Fairway America Fund VII LP	Fund (under Fairway)	5955	9/8/2017
Fairway America LLC/Semmax Income Fund, LP	Fund (under Fairway)	3924	9/8/2017
Fairway America, LLC	Fund Administrator	6787	9/30/2015
Fairway America, LLC - Alturas Real Estate Fund LLC	Fund (under Fairway)	9373	4/6/2016
Fairway America, LLC - CE JV LLC	Fund (under Fairway)	6662	9/15/2016
Fairway America, LLC - Central Florida Income Fund LLC	Fund (under Fairway)	7401	2/1/2017
Fairway America, LLC - Colonial Impact Fund-II LLC	Fund (under Fairway)	2688	10/14/2016
Fairway America, LLC - Deshutes Income Opportunity Fund LP	Fund (under Fairway)	9441	6/10/2016
Fairway America, LLC - Fairway America Fund VI, LLC	Fund (under Fairway)	9837	10/21/2015
Fairway America, LLC - Fairway America Fund VII, LLC	Fund (under Fairway)	9838	10/21/2015
Fairway America, LLC - Fairway Masters Fund, LP	Fund (under Fairway)	7040	10/26/2016
Fairway America, LLC - MPG JV LLC	Fund (under Fairway)	5122	9/15/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Federated Administrative Services</b>	Fund Administrator	<b>7469</b>	9/6/2017
<b>Federated Administrative Services - Federated Project and Trade Finance Tender Fund</b>	Fund (under Federated)	<b>8252</b>	9/26/2017
<b>Fenix Securities LLC</b>	Fund Administrator	<b>1407</b>	8/13/2017
<b>First Capital Advisors Holdings, LLC</b>	Fund	<b>3843</b>	7/17/2018
<b>First Capital Real Estate Trust Incorporated</b>	Fund	<b>1773</b>	2/5/2013
<b>First Manhattan Company</b>	Fund	<b>1445</b>	12/3/2013
<b>Flat Rock Global, LLC</b>	Fund	<b>3073</b>	6/23/2017
<b>Foreside Fund Services, LLC</b>	Fund Administrator	<b>1481</b>	3/4/2014
<b>Foreside Fund Services, LLC - Atlantic Fund Services: Pine Grove Alternative Funds</b>	Fund (under Foreside)	<b>1519</b>	3/21/2014
<b>Foreside Fund Services, LLC - State Street Bank and Trust Company: Carlyle</b>	Fund (under Foreside)	<b>1487</b>	3/4/2014
<b>Foreside Fund Services, LLC - State Street Bank and Trust Company: Partners Group</b>	Fund (under Foreside)	<b>1857</b>	5/3/2016
<b>Founders Properties LLC/Founders Properties Income Fund IV, L.L.L.P.</b>	Fund (under Founders)	<b>1903</b>	11/1/2017
<b>Founders Properties, LLC</b>	Fund Administrator	<b>1201</b>	11/1/2017
<b>Four Springs Capital Trust</b>	Fund	<b>9868</b>	12/29/2015

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Fourthstone. LLC</b>	Fund	<b>1633</b>	6/20/2014
<b>FS Investment Solutions, LLC (formerly FS2 Capital Partners, LLC)</b>	Fund	<b>3864</b>	8/9/2011
<b>Fulcrum Equity Partners, Inc.</b>	Fund	<b>2188</b>	6/29/2017
<b>Fundamental Capital Management LLC</b>	Fund	<b>1302</b>	4/30/2013
<b>Gabelli &amp; Company Investment Advisers, Inc.</b>	Fund	<b>1160</b>	8/26/2013
<b>Galileo Investment Management, LLC</b>	Fund	<b>2976</b>	3/14/2018
<b>Galloway Capital Management Ltd.</b>	Fund	<b>3434</b>	3/19/2013
<b>Gemini Fund Services, LLC</b>	Fund Administrator	<b>3845</b>	5/20/2011
<b>Gemini Fund Services, LLC - Altegris KKR Commitments Fund</b>	Fund (under Gemini)	<b>6896</b>	5/8/2015
<b>Gemini Fund Services, LLC - BlueArc Multi-Strategy Fund</b>	Fund (under Gemini)	<b>6870</b>	4/8/2015
<b>Gemini Fund Services, LLC - Central Park Group Carlyle Equity Opportunity Fund</b>	Fund (under Gemini)	<b>3585</b>	2/22/2012
<b>Gemini Fund Services, LLC - Central Park Group Cobalt Fund, LLC</b>	Fund (under Gemini)	<b>3586</b>	2/22/2012
<b>Gemini Fund Services, LLC - Central Park Group Global Distressed Fund</b>	Fund (under Gemini)	<b>3854</b>	5/20/2011
<b>Gemini Fund Services, LLC - Central Park Group Lighthouse Fund</b>	Fund (under Gemini)	<b>3858</b>	5/20/2011

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Gemini Fund Services, LLC - Central Park Group Manager Alliance Fund II</b>	Fund (under Gemini)	<b>3859</b>	5/20/2011
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund</b>	Fund (under Gemini)	<b>3855</b>	5/20/2011
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 1</b>	Fund (under Gemini)	<b>1919</b>	3/15/2013
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 2</b>	Fund (under Gemini)	<b>1921</b>	3/15/2013
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 3</b>	Fund (under Gemini)	<b>1922</b>	3/15/2013
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 4</b>	Fund (under Gemini)	<b>1924</b>	3/15/2013
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 5</b>	Fund (under Gemini)	<b>1927</b>	3/15/2013
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 6</b>	Fund (under Gemini)	<b>1931</b>	3/15/2013
<b>Gemini Fund Services, LLC - Central Park Group Real Estate Special Situations Fund LLC – Series 7</b>	Fund (under Gemini)	<b>1933</b>	3/15/2013
<b>Gemini Fund Services, LLC - Equinox Fund Management LLC</b>	Fund (under Gemini)	<b>3865</b>	11/16/2016
<b>Gemini Fund Services, LLC - FitzRandolph Futures Fund LLC</b>	Fund (under Gemini)	<b>9150</b>	11/16/2016
<b>Gemini Fund Services, LLC - Stock Protection Fund</b>	Fund (under Gemini)	<b>9810</b>	9/22/2015
<b>Gemini Fund Services, LLC -Halyard Fixed Income Fund, LP</b>	Fund (under Gemini)	<b>6889</b>	4/27/2015
<b>Gen II Fund Services, LLC</b>	Fund Administrator	<b>9439</b>	6/17/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Gen II Fund Services, LLC/Stonepeak Infrastructure Fund III - C LP</b>	Fund (under Gen II)	<b>9171</b>	3/6/2018
<b>Gladstone Securities, LLC</b>	Fund	<b>6565</b>	5/22/2018
<b>Glide Capital, LLC</b>	Fund	<b>9842</b>	11/13/2015
<b>Global Financial and Remarketing Services, LLC</b>	Fund	<b>3503</b>	3/22/2012
<b>Gold Bullion International, LLC</b>	Fund	<b>1142</b>	4/14/2014
<b>Goldman, Sachs &amp; Co.</b>	Fund	<b>8877</b>	7/28/2016
<b>GPB Capital Holdings, LLC</b>	Fund	<b>1533</b>	6/5/2014
<b>Great Gable Partners, LP.</b>	Fund	<b>3648</b>	9/7/2018
<b>Great Lakes Fund Solutions Inc.</b>	Fund Administrator	<b>1780</b>	10/9/2012
<b>Great Lakes Fund Solutions Inc. - Millburn Ridgefield Corporation</b>	Fund (under Great Lakes)	<b>1782</b>	10/9/2012
<b>Great Lakes Fund Solutions, Inc./Leitbox</b>	Fund (under Great Lakes)	<b>3553</b>	8/6/2018
<b>Great Lakes Fund Solutions, Inc./Lennox Companies</b>	Fund (under Great Lakes)	<b>3880</b>	5/14/2018
<b>Great Lakes Fund Solutions, Inc./Peco Real Estate Partners</b>	Fund (under Great Lakes)	<b>8299</b>	9/28/2017
<b>Great Lakes Fund Solutions, Inc./Sound West Realty Capital</b>	Fund (under Great Lakes)	<b>3912</b>	9/18/2018



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Great Lakes Fund Solutions, Inc./Sovereign Partners LLC</b>	Fund (under Great Lakes)	<b>3879</b>	5/14/2018
<b>GreatLakes Fund Solutions, Inc / GK Development Inc</b>	Fund (under Great Lakes)	<b>1891</b>	12/3/2014
<b>GreatLakes Fund Solutions, Inc/ Carter Real Estate</b>	Fund (under Great Lakes)	<b>6023</b>	8/17/2017
<b>GreatLakes Fund Solutions, Inc/ District Investments</b>	Fund (under Great Lakes)	<b>6898</b>	8/17/2017
<b>GreatLakes Fund Solutions, Inc/ Hartman Income REIT Management, Inc.</b>	Fund (under Great Lakes)	<b>8300</b>	12/12/2016
<b>GreatLakes Fund Solutions, Inc/ HSR Income and Value REIT I, Inc.</b>	Fund (under Great Lakes)	<b>7402</b>	11/1/2016
<b>GreatLakes Fund Solutions, Inc/ MDS Energy Development, LLC</b>	Fund (under Great Lakes)	<b>1897</b>	12/3/2014
<b>GreatLakes Fund Solutions, Inc/ Mill Green Partners, LLC</b>	Fund (under Great Lakes)	<b>9442</b>	6/10/2016
<b>GreatLakes Fund Solutions, Inc/ Peachtree Hospitality</b>	Fund (under Great Lakes)	<b>9860</b>	11/27/2015
<b>GreatLakes Fund Solutions, Inc/ The Empire Group</b>	Fund (under Great Lakes)	<b>6877</b>	4/20/2015
<b>GreatLakes Fund Solutions, Inc/ Walton Street Capital</b>	Fund (under Great Lakes)	<b>9861</b>	11/27/2015
<b>GreatLakes Fund Solutions, Inc/ West Realty Group</b>	Fund (under Great Lakes)	<b>2610</b>	8/18/2016
<b>GreatLakes Fund Solutions, Inc/Gulf South Holdings, Inc.</b>	Fund (under Great Lakes)	<b>1892</b>	12/3/2014
<b>Greenbacker Group, LLC</b>	Fund	<b>1454</b>	12/2/2014

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Greenlake Real Estate Fund, LLC</b>	Fund	<b>9800</b>	10/6/2015
<b>Griffin Capital Mgt. LLC</b>	Fund	<b>8844</b>	6/18/2018
<b>Griffin Capital Securities Inc.</b>	Fund	<b>1939</b>	4/1/2013
<b>Guggenheim Credit Income Fund</b>	Fund	<b>8335</b>	11/30/2017
<b>Guggenheim Real Estate, LLC</b>	Fund	<b>1663</b>	7/30/2014
<b>GWG Holdings, Inc.</b>	Fund	<b>1835</b>	10/2/2012
<b>Hall Structured Finance II, LLC</b>	Fund	<b>8845</b>	6/7/2018
<b>Hamilton Point Investments LLC</b>	Fund	<b>1039</b>	3/21/2017
<b>Harmonic Fund Services Canada Inc.</b>	Fund Administrator	<b>5236</b>	9/27/2016
<b>Harmonic Fund Services Canada Inc. - Waratah One Offshore Fund II Ltd. - Class A</b>	Fund (under Harmonic)	<b>4906</b>	10/10/2016
<b>Harvard Property Trust, LLC</b>	Fund Administrator	<b>3683</b>	3/22/2012
<b>Harvard Property Trust, LLC - Behringer Harvard Multifamily REIT I, Inc.</b>	Fund (under HPT)	<b>1628</b>	3/22/2012
<b>Harvard Property Trust, LLC - Pathway Energy Infrastructure Fund, Inc.</b>	Fund (under HPT)	<b>6847</b>	2/17/2015
<b>Harvard Property Trust, LLC - Priority Senior Secured Income Fund, Inc.</b>	Fund (under HPT)	<b>1284</b>	10/7/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>HC Global Fund Services, LLC</b>	Fund Administrator	<b>1665</b>	12/30/2014
<b>HC Global Fund Services, LLC / EquityZen Growth Opportunity Fund II LLC</b>	Fund (under HCG)	<b>9113</b>	4/20/2017
<b>HC Global Fund Services, LLC / Sliced Investing Advisers, LLC</b>	Fund (under HCG)	<b>6960</b>	6/2/2015
<b>HC Global Fund Services, LLC/IGF Investment Grade Fund I LP.</b>	Fund (under HCG)	<b>0798</b>	11/10/2017
<b>Highlands REIT, Inc.</b>	Fund	<b>9379</b>	5/3/2016
<b>HighTower Securities, LLC</b>	Fund	<b>3863</b>	8/4/2011
<b>Hill Street Realty, LLC</b>	Fund Administrator	<b>3735</b>	10/27/2016
<b>Hines Securities, Inc.</b>	Fund	<b>3584</b>	3/26/2012
<b>HM Capital Management</b>	Fund	<b>3431</b>	3/21/2012
<b>Hospitality Investors Trust, Inc.</b>	Fund	<b>9419</b>	5/1/2017
<b>HSBC Bank USA, National Association</b>	Fund Administrator	<b>1037</b>	9/18/2017
<b>HSBC Bank USA, National Association - MSD credit Opportunity Fund, L.P.</b>	Fund (under HSBC)	<b>8253</b>	9/21/2017
<b>HSBC Bank USA, National Association - MSD Private Credit Opportunity Fund, L.P.</b>	Fund (under HSBC)	<b>8254</b>	9/21/2017
<b>HSBC Bank USA, National Association/The Cuttyhunk Fund II Limited</b>	Fund (under HSBC)	<b>4930</b>	6/22/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>HSBC Bank USA, National Association/The Cuttyhunk Fund II LLC</b>	Fund (under HSBC)	<b>5283</b>	6/22/2018
<b>HSBC Bank USA, National Association/The Optika Fund Limited</b>	Fund (under HSBC)	<b>3971</b>	6/22/2018
<b>HSBC Bank USA, National Association/The Optika Fund LLC</b>	Fund (under HSBC)	<b>4743</b>	6/22/2018
<b>iCapital Advisors, LLC</b>	Fund Administrator	<b>6861</b>	4/28/2015
<b>iCapital Advisors, LLC - Apollo Global Management, LLC</b>	Fund (under iCapital)	<b>6859</b>	9/4/2015
<b>iCapital Advisors, LLC - Blackstone Group LP</b>	Fund (under iCapital)	<b>9864</b>	11/27/2015
<b>iCapital Advisors, LLC - Cerberus Capital Management L.P.</b>	Fund (under iCapital)	<b>6858</b>	9/4/2015
<b>iCapital Advisors, LLC - Coatue Management LLC</b>	Fund (under iCapital)	<b>6975</b>	6/22/2015
<b>iCapital Advisors, LLC - iCapital Advisors, LLC</b>	Fund (under iCapital)	<b>7029</b>	9/4/2015
<b>iCapital Advisors, LLC - Khosla Ventures, LLC</b>	Fund (under iCapital)	<b>6868</b>	9/4/2015
<b>iCapital Advisors, LLC - Oaktree Capital Management, L.P.</b>	Fund (under iCapital)	<b>6981</b>	9/4/2015
<b>iCapital Advisors, LLC - OrbiMed Advisors, LLC</b>	Fund (under iCapital)	<b>9819</b>	10/5/2015
<b>iCapital Advisors, LLC - Trilantic Capital Management L.P.</b>	Fund (under iCapital)	<b>6974</b>	6/22/2015
<b>iCapital Advisors, LLC - Warburg Pincus LLC</b>	Fund (under iCapital)	<b>6959</b>	9/4/2015

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Icon Capital LLC</b>	Fund	<b>3592</b>	3/12/2012
<b>Incline Investment Management, LLC</b>	Fund	<b>1644</b>	6/11/2014
<b>Industrial Property Trust Inc.</b>	Fund	<b>1246</b>	10/11/2013
<b>Inland Real Estate Investment Corporation</b>	Fund Administrator	<b>3835</b>	3/23/2012
<b>Inland Real Estate Investment Corporation - Inland Real Estate Income Trust, Inc.</b>	Fund (under Inland)	<b>1872</b>	12/28/2012
<b>Inland Real Estate Investment Corporation - Inland Residential Class A</b>	Fund (under Inland)	<b>9805</b>	9/16/2015
<b>Inland Real Estate Investment Corporation - Inland Residential Class T</b>	Fund (under Inland)	<b>9806</b>	9/16/2015
<b>Inland Real Estate Investment Corporation - Inland Residential Properties Trust, Inc. Class T-3</b>	Fund (under Inland)	<b>7386</b>	2/13/2017
<b>Inland Real Estate Investment Corporation - InPoint Commercial Real Estate Income, Inc</b>	Fund (under Inland)	<b>8067</b>	11/7/2016
<b>Interval Partners, L.P.</b>	Fund	<b>6969</b>	8/28/2015
<b>InvenTrust Properties Corp.</b>	Fund	<b>1756</b>	9/5/2014
<b>Investment Partners Asset Management</b>	Fund	<b>3424</b>	11/17/2010
<b>ITB Capital Management, LLC</b>	Fund	<b>1455</b>	12/17/2013
<b>J.W.Korth &amp; Company Limited Partnership</b>	Fund	<b>9892</b>	3/3/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
JVM Realty Corporation	Fund	<b>1614</b>	8/11/2016
JWB REAL ESTATE CAPITAL LLC	Fund Administrator	<b>0895</b>	11/10/2017
Kayne Anderson Capital Advisors, L.P.	Fund	<b>1360</b>	9/12/2017
KBS Capital Markets Group, LLC	Fund Administrator	<b>3872</b>	3/21/2012
KBS Capital Markets Group, LLC - KBS Growth and Income REIT, Inc.	Fund (under KBS)	<b>6888</b>	4/27/2015
KBS Capital Markets Group, LLC - KBS Growth and Income REIT, Inc. (T-Shares)	Fund (under KBS)	<b>9874</b>	12/15/2015
KBS Capital Markets Group, LLC - KBS Legacy Partners Apartment REIT	Fund (under KBS)	<b>1621</b>	3/21/2012
KBS Capital Markets Group, LLC - KBS Real Estate Investment Trust II, Inc.	Fund (under KBS)	<b>1612</b>	3/21/2012
KBS Capital Markets Group, LLC - KBS Real Estate Investment Trust III, Inc.	Fund (under KBS)	<b>1613</b>	3/21/2012
KBS Capital Markets Group, LLC - KBS Real Estate Investment Trust, Inc.	Fund (under KBS)	<b>1611</b>	3/21/2012
KBS Capital Markets Group, LLC - KBS Strategic Opportunity REIT	Fund (under KBS)	<b>1617</b>	3/21/2012
KBS Capital Markets Group, LLC - KBS Strategic Opportunity REIT II, Inc.	Fund (under KBS)	<b>1153</b>	7/18/2013
KBS Capital Markets Group, LLC - Princeton Private Investment Fund	Fund (under KBS)	<b>6790</b>	8/31/2016
KBS Strategic Opportunity REIT II, Inc. (T-Shares)	Fund (under KBS)	<b>9873</b>	12/15/2015

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Keystone Capital Corporation</b>	Fund	<b>6955</b>	6/26/2015
<b>King Strategic Energy Funds LP</b>	Fund Administrator	<b>1645</b>	11/30/2016
<b>King Strategic Energy Funds LP - San Andres Permian DST</b>	Fund (under King)	<b>8259</b>	12/1/2016
<b>King Strategic Energy Funds LP - San Andres Permian Energy Fund I LP</b>	Fund (under King)	<b>8262</b>	12/1/2016
<b>LaSalle Investment Management, Inc.</b>	Fund	<b>1461</b>	1/17/2014
<b>LDR Capital Management, LLC</b>	Fund	<b>1865</b>	3/14/2013
<b>Legacy Opportunity Fund LLC</b>	Fund	<b>9407</b>	9/13/2018
<b>Legg Mason Investor Services, LLC</b>	Fund	<b>2921</b>	7/21/2015
<b>Lincoln Retail Advisor, LLC</b>	Fund	<b>9181</b>	4/20/2018
<b>Lionscrest Funds SPC</b>	Fund Administrator	<b>3857</b>	10/12/2018
<b>Lionscrest Funds SPC/Lionscrest TailPro US Equity SP</b>	Fund (under Lioncrest)	<b>3943</b>	10/16/2018
<b>Live Oak Banking Company</b>	Fund	<b>3906</b>	10/12/2018
<b>Lloyd Jones Capital, LLC</b>	Fund	<b>0457</b>	11/2/2017
<b>Lodging Opportunity Fund Real Estate Investment Trust</b>	Fund	<b>2323</b>	9/7/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>MacKay Shields LLC</b>	Fund	<b>1492</b>	6/21/2017
<b>MacKenzie Realty Capital Inc.</b>	Fund	<b>6856</b>	4/28/2015
<b>MAM Global Financial Services LLC</b>	Fund	<b>1205</b>	1/17/2014
<b>Megatel Capital Investment LLC</b>	Fund Administrator	<b>8853</b>	6/21/2018
<b>Megatel Capital Investment LLC/MCI Preferred Equity Fund</b>	Fund (under Megatel)	<b>9462</b>	9/4/2018
<b>Mercer Investment Management, Inc.</b>	Fund Administrator	<b>9818</b>	2/10/2016
<b>Mercer Investment Management, Inc. - Mercer Private Investment Partners IV, LP</b>	Fund (under Mercer)	<b>9308</b>	2/10/2016
<b>Meriden IFM SGOIC SAU</b>	Fund Administrator	<b>1300</b>	7/23/2018
<b>Meriden IFM SGOIC SAU/MERIDEN GLOBAL STRATEGIES, INVESTMENT FUND</b>	Fund (under Meriden)	<b>3300</b>	8/6/2018
<b>Meriden IFM SGOIC SAU/MULTIPLE COMPARTMENT FUND, F.I.</b>	Fund (under Meriden)	<b>3302</b>	8/6/2018
<b>Metropolitan Partners Group Management, LLC</b>	Fund	<b>1135</b>	6/7/2017
<b>Michael J. Liccar &amp; Company LLC/BAC-MP Absolute Return Fund LLC</b>	Fund (under MJL)	<b>8850</b>	5/29/2018
<b>Michael J. Liccar &amp; Company LLC/BlueArc Absolute Return Fund LTD</b>	Fund (under MJL)	<b>8851</b>	5/29/2018
<b>Michael J. Liccar &amp; Company LLC/BlueArc Credit Alternative Fund LLC BlueArc Global Private Credit Opportunity Fund (QC) Series A</b>	Fund (under MJL)	<b>3240</b>	7/19/2018



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Michael J. Liccar &amp; Company LLC/BlueArc Credit Alternative Fund LLC BlueArc Global Private Credit Opportunity Fund (QC) Series B</b>	Fund (under MJL)	<b>3250</b>	7/19/2018
<b>Michael J. Liccar &amp; Company LLC/BlueArc Credit Alternative Fund LLC BlueArc Global Private Credit Opportunity Fund (QP) Series B</b>	Fund (under MJL)	<b>3232</b>	7/19/2018
<b>Michael J. Liccar &amp; Company LLC/BlueArc Credit Alternative Fund, LLC BlueArc Global Private Credit Opportunity Fund (QP) Series A</b>	Fund (under MJL)	<b>3231</b>	7/19/2018
<b>Michael J. Liccar &amp; Company LLC/BlueArc Global Private Credit Opportunity Fund (QC), L.P.</b>	Fund (under MJL)	<b>3093</b>	6/27/2018
<b>Michael J. Liccar &amp; Company, LLC</b>	Fund Administrator	<b>1280</b>	10/18/2013
<b>Michael J. Liccar &amp; Company, LLC - Esulep Management, LLC</b>	Fund (under MJL)	<b>6995</b>	8/3/2015
<b>Michael J. Liccar &amp; Company, LLC - Gerst Capital Partners, LP</b>	Fund (under MJL)	<b>9839</b>	10/22/2015
<b>Michael J. Liccar &amp; Company, LLC - LJM Fund LP</b>	Fund (under MJL)	<b>9391</b>	4/28/2016
<b>Michael J. Liccar &amp; Company, LLC - Origin Fund III, LLC</b>	Fund (under MJL)	<b>7345</b>	1/17/2017
<b>Michael J. Liccar &amp; Company, LLC - WaveFront China Fund, LP</b>	Fund (under MJL)	<b>1281</b>	10/18/2013
<b>Michael J. Liccar &amp; Company, LLC - WaveFront Emerging Markets Fund, LP</b>	Fund (under MJL)	<b>1295</b>	10/18/2013
<b>Militello Capital, LLC</b>	Fund	<b>6785</b>	8/28/2015
<b>Mills Value Adviser, Inc.</b>	Fund	<b>1208</b>	10/3/2013
<b>Monte Capital Group, LLC</b>	Fund	<b>6548</b>	2/9/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Moody National REIT I, Inc.</b>	Fund	<b>9397</b>	6/1/2016
<b>Moody National REIT II, Inc.</b>	Fund	<b>9398</b>	6/1/2016
<b>Morgan Creek Capital Management, LLC</b>	Fund	<b>3681</b>	3/20/2012
<b>Mutual Shareholder Services , LLC</b>	Fund Administrator	<b>9366</b>	4/25/2016
<b>Mutual Shareholder Services , LLC - Black Hydra Fund LP</b>	Fund (under MSS)	<b>9394</b>	4/29/2016
<b>Mutual Shareholder Services , LLC - Frank Value Fund LTD</b>	Fund (under MSS)	<b>9393</b>	4/29/2016
<b>Nelson Brothers Professional Real Estate LLC</b>	Fund	<b>5378</b>	1/18/2018
<b>New Vernon Investment Management LLC</b>	Fund	<b>1642</b>	6/6/2014
<b>Nexpoint Advisors, L.P.</b>	Fund	<b>6855</b>	3/20/2015
<b>Noble Royalties, Inc.</b>	Fund	<b>3474</b>	12/10/2010
<b>Noctua Asset Management, LLC</b>	Fund	<b>6789</b>	8/31/2015
<b>North Capital Private Securities Corp./Mogul REIT II, LLC</b>	Fund (under North Capital)	<b>8304</b>	9/28/2017
<b>North Capital Private Securities Corporation</b>	Fund Administrator	<b>3453</b>	4/29/2013
<b>North Capital Private Securities Corporation - 99 Funding</b>	Fund (under North Capital)	<b>1088</b>	5/2/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
North Capital Private Securities Corporation - Arthena Funds, LP	Fund (under North Capital)	3181	6/23/2017
North Capital Private Securities Corporation - Mogul REIT 1 LLC	Fund (under North Capital)	7341	1/3/2017
North Capital Private Securities Corporation - RealtyShares Diversified Marketplace Equity Fund I, LLC	Fund (under North Capital)	7333	9/8/2016
North Capital Private Securities Corporation - SI Selections Fund I, L.P.	Fund (under North Capital)	9850	11/6/2015
Northeast Retirement Services, Inc.	Fund	7613	3/5/2015
NS AM Member, LLC	Fund	1489	3/10/2014
Nuveen Securities, LLC	Fund Administrator	5790	11/24/2017
Nuveen Securities, LLC/Nuveen Global Securities Reit, Inc	Fund (under Nuveen)	3525	11/29/2017
Oak Bridge Royalties LLC	Fund	7473	8/28/2017
OFS Capital Management, LLC	Fund	8162	9/26/2017
Oppenheimerfunds Distributor, Inc	Fund	3792	6/27/2018
OPUS Fund Services (USA) LLC	Fund Administrator	1143	7/1/2013
OPUS Fund Services (USA) LLC - 400 Capital Mortgage Opportunities Series	Fund (under OPUS)	1165	8/19/2013
OPUS Fund Services (USA) LLC - Alternative Direct Solutions Fund LLC	Fund (under OPUS)	1167	8/19/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>OPUS Fund Services (USA) LLC - Blue Elephant Consumer Fund, L.P.</b>	Fund (under OPUS)	<b>1285</b>	10/4/2013
<b>OPUS Fund Services (USA) LLC - Blue Elephant Offshore Consumer Fund, LTD</b>	Fund (under OPUS)	<b>1685</b>	7/10/2014
<b>OPUS Fund Services (USA) LLC - Chilton Long/Short Series</b>	Fund (under OPUS)	<b>1171</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Colchis / Marlette Income Fund, L.P.</b>	Fund (under OPUS)	<b>1752</b>	8/7/2014
<b>OPUS Fund Services (USA) LLC - Colchis / Marlette Income Fund, LTD</b>	Fund (under OPUS)	<b>1748</b>	8/7/2014
<b>OPUS Fund Services (USA) LLC - Colchis Capital Management, L.P.</b>	Fund (under OPUS)	<b>1152</b>	7/1/2013
<b>OPUS Fund Services (USA) LLC - Colchis Income Fund LP</b>	Fund (under OPUS)	<b>1177</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Colchis Income Fund LTD</b>	Fund (under OPUS)	<b>1179</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Colchis LC Income Fund LP</b>	Fund (under OPUS)	<b>1173</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Colchis LC Income Fund LTD</b>	Fund (under OPUS)	<b>1176</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Colchis-Prosper Income Fund LP</b>	Fund (under OPUS)	<b>1183</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Colchis-Prosper Income Offshore Fund LP</b>	Fund (under OPUS)	<b>1182</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - Core Endowment Portfolio II, L.P.</b>	Fund (under OPUS)	<b>1243</b>	9/23/2013
<b>OPUS Fund Services (USA) LLC - Direct Lending Income Fund, L.P&gt;</b>	Fund (under OPUS)	<b>1424</b>	11/4/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>OPUS Fund Services (USA) LLC - Dynasty Financial Partners</b>	Fund (under OPUS)	<b>1144</b>	7/1/2013
<b>OPUS Fund Services (USA) LLC - Emerald Direct Lending Fund I, L.P.</b>	Fund (under OPUS)	<b>1457</b>	12/10/2013
<b>OPUS Fund Services (USA) LLC - Empire Residential Communities Fund II, LLC</b>	Fund (under OPUS)	<b>2737</b>	9/8/2014
<b>OPUS Fund Services (USA) LLC - Glacier Peak Global Value Fund L.P.</b>	Fund (under OPUS)	<b>1470</b>	1/2/2014
<b>OPUS Fund Services (USA) LLC - Glacier Peak U.S. Value Fund L.P.</b>	Fund (under OPUS)	<b>1471</b>	1/2/2014
<b>OPUS Fund Services (USA) LLC - Guggenheim Alpha Series</b>	Fund (under OPUS)	<b>1164</b>	8/19/2013
<b>OPUS Fund Services (USA) LLC - ICP Endowment Stability Fund LP</b>	Fund (under OPUS)	<b>1498</b>	3/14/2014
<b>OPUS Fund Services (USA) LLC - McGervey Capital Dividend Equity Fund, LP</b>	Fund (under OPUS)	<b>1149</b>	7/1/2013
<b>OPUS Fund Services (USA) LLC - PSAM Worldarb Series</b>	Fund (under OPUS)	<b>1172</b>	8/19/2013
<b>Opus Fund Services (USA) LLC / Argos Total Return Fund LP</b>	Fund (under OPUS)	<b>8083</b>	2/7/2017
<b>Opus Fund Services (USA) LLC / Camellia Funds LP</b>	Fund (under OPUS)	<b>9870</b>	12/2/2015
<b>Opus Fund Services (USA) LLC / Center Lake Capital, L.P.</b>	Fund (under OPUS)	<b>8325</b>	12/9/2016
<b>Opus Fund Services (USA) LLC / CHAIN BRIDGE CAPITAL I, LP</b>	Fund (under OPUS)	<b>0561</b>	10/20/2017
<b>Opus Fund Services (USA) LLC / CHAIN BRIDGE CAPITAL, LP</b>	Fund (under OPUS)	<b>2996</b>	10/20/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Opus Fund Services (USA) LLC / Delpi Capital (Offshore), LP</b>	Fund (under OPUS)	<b>6853</b>	2/23/2015
<b>Opus Fund Services (USA) LLC / Haidar Jupiter Fund LLC</b>	Fund (under OPUS)	<b>9804</b>	9/11/2015
<b>Opus Fund Services (USA) LLC / Haidar Jupiter International Ltd.</b>	Fund (under OPUS)	<b>9803</b>	9/11/2015
<b>Opus Fund Services (USA) LLC / Ironwood Funding 2011 LLC</b>	Fund (under OPUS)	<b>9848</b>	11/3/2015
<b>Opus Fund Services (USA) LLC / Ironwood Funding 2012 LLC</b>	Fund (under OPUS)	<b>9847</b>	11/3/2015
<b>Opus Fund Services (USA) LLC / Ironwood Funding 2014 LLC</b>	Fund (under OPUS)	<b>6895</b>	5/13/2015
<b>Opus Fund Services (USA) LLC / L2 SUMMIT ENHANCED RETURN FUND, L.P.</b>	Fund (under OPUS)	<b>1930</b>	7/25/2017
<b>Opus Fund Services (USA) LLC / L2 SUMMIT FUND, L.P.</b>	Fund (under OPUS)	<b>1929</b>	7/25/2017
<b>Opus Fund Services (USA) LLC / Lend Academy P2P Fund LP</b>	Fund (under OPUS)	<b>6874</b>	4/13/2015
<b>Opus Fund Services (USA) LLC / Lioneye Series</b>	Fund (under OPUS)	<b>1751</b>	12/2/2014
<b>Opus Fund Services (USA) LLC / Ovation Alternative Income Fund, LP</b>	Fund (under OPUS)	<b>1967</b>	7/29/2015
<b>Opus Fund Services (USA) LLC / Potrero Capital Research Partners II LP</b>	Fund (under OPUS)	<b>9372</b>	4/5/2016
<b>Opus Fund Services (USA) LLC / Potrero Capital Research Partners LP</b>	Fund (under OPUS)	<b>9371</b>	4/5/2016
<b>Opus Fund Services (USA) LLC / Precision Capital Partners LP</b>	Fund (under OPUS)	<b>8326</b>	12/9/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
Opus Fund Services (USA) LLC / Prime Meridian PMI SP	Fund (under OPUS)	8329	12/9/2016
Opus Fund Services (USA) LLC / Prime Meridian SBL SP	Fund (under OPUS)	8328	12/9/2016
Opus Fund Services (USA) LLC / RANGELEY CAPITAL SPECIAL OPPORTUNITIES FUND, LP	Fund (under OPUS)	0631	10/25/2017
Opus Fund Services (USA) LLC / T24 Income Fund LP	Fund (under OPUS)	9849	11/9/2015
Opus Fund Services (USA) LLC / Theorem Main Fund LP	Fund (under OPUS)	1609	8/1/2016
Opus Fund Services (USA) LLC / TIANYOU FUND, L.P.	Fund (under OPUS)	1928	7/25/2017
Opus Fund Services (USA) LLC / TIANYOU OFFSHORE FUND, LTD	Fund (under OPUS)	7826	7/25/2017
Opus Fund Services (USA) LLC/CB1 Wellness Fund LP	Fund (under OPUS)	7505	1/5/2018
Opus Fund Services (USA) LLC/CB1 Wellness Fund Ltd	Fund (under OPUS)	7504	1/5/2018
Opus Fund Services (USA) LLC/CB1 Wellness Master Fund LP	Fund (under OPUS)	7503	1/5/2018
Opus Fund Services (USA) LLC/ECHELON DIVERSIFIED FUND, L.P.	Fund (under OPUS)	3469	7/27/2018
Opus Fund Services (USA) LLC/Gough Relative Value Fund, LP	Fund (under OPUS)	3065	6/29/2018
Opus Fund Services (USA) LLC/Verdad Japan Fund L.P.	Fund (under OPUS)	5356	1/11/2018
Opus Fund Services (USA) LLC/Verdad Leveraged Company Fund L.P.	Fund (under OPUS)	5348	1/11/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
Origin Holding Company, LLC	Fund	1410	5/1/2018
Owl Rock Capital Corporation	Fund	1033	1/8/2018
Owl Rock Capital Corporation II	Fund	5458	3/30/2017
Owl Rock Technology Finance Corp	Fund	1438	11/13/2018
Palmer Square Capital Management LLC - Palmer Square Capital Management LLC	Fund	1899	3/28/2013
Panorama Point Partners, LLC	Fund	3732	2/3/2017
Participant Capital Partner US, LLC	Fund	3690	10/3/2018
Pembroke Capital Management LLC	Fund	1884	3/1/2013
Pender Capital Management, LLC	Fund	9871	1/7/2016
Penn Square Real Estate Group LLC	Fund	1408	5/16/2018
Perennial Fund Services, LLC	Fund Administrator	1238	10/4/2013
Perennial Fund Services, LLC - Hutchinson Capital Partners, LLC	Fund (under	3489	10/4/2013
Persimmon Capital Management, LP	Fund	1598	2/15/2018
Phillips Edison Grocery Center REIT I	Fund	8111	1/13/2017



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Phillips Edison Grocery Center REIT II</b>	Fund	<b>9449</b>	1/13/2017
<b>Phillips Edison Grocery Center REIT III</b>	Fund	<b>8256</b>	12/23/2016
<b>Piedmont Fund Services, Inc.</b>	Fund Administrator	<b>1948</b>	3/14/2014
<b>Piedmont Fund Services, Inc. - S Squared Capital Partners, LP</b>	Fund (under Piedmont)	<b>1485</b>	3/14/2014
<b>PIMCO Investments LLC</b>	Fund	<b>9234</b>	2/8/2013
<b>PPB Advisors, LLC</b>	Fund	<b>1833</b>	9/24/2012
<b>Praxis Capital, Inc.</b>	Fund	<b>9891</b>	1/15/2016
<b>Precision Capital Advisors, LLC</b>	Fund	<b>1161</b>	10/10/2013
<b>Pre-Funding Group Manager, LLC (Multistream Capital)</b>	Fund	<b>9390</b>	5/17/2016
<b>Preste Capital Partners SA</b>	Fund	<b>1156</b>	8/20/2013
<b>Proteus, LLC</b>	Fund	<b>5973</b>	4/25/2018
<b>PRS International Investment Advisory Services, Inc.</b>	Fund	<b>3408</b>	8/7/2012
<b>Pyatt Broadmark Management, LLC</b>	Fund	<b>9832</b>	10/22/2015
<b>Rafferty Capital Markets, LLC</b>	Fund Administrator	<b>7496</b>	8/23/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Reliance Capital Markets II, LLC</b>	Fund Administrator	<b>2739</b>	11/10/2014
<b>Reliance Capital Markets II, LLC - Pyxis Global Financial Services: Auctus Global Diversified LLC</b>	Fund (under Reliance)	<b>1750</b>	11/24/2014
<b>Reliance Capital Markets II, LLC/ Charles W. Robinson III, CFA: The Bensboro Company, LLC</b>	Fund (under Reliance)	<b>8057</b>	1/9/2015
<b>Reliance Capital Markets II, LLC/ Futures Options &amp; Compliance: Goldman Navigator Fund</b>	Fund (under Reliance)	<b>6948</b>	12/3/2014
<b>Reliance Capital Markets II, LLC/ NAV Consulting: Focus Alternative Funds, LLC</b>	Fund (under Reliance)	<b>6927</b>	12/3/2014
<b>Reliance Capital Markets II, LLC/ Unkar System, Inc. / High Sierra Direct Diamond L.P.</b>	Fund (under Reliance)	<b>6961</b>	5/27/2015
<b>Reliance Capital Markets II, LLC/ Unkar System, Inc. / High Sierra Direct Mehnert L.P.</b>	Fund (under Reliance)	<b>6964</b>	5/27/2015
<b>Resource Real Estate, Inc.</b>	Fund	<b>1525</b>	5/22/2014
<b>RiverRock Trust, LLC</b>	Fund	<b>9319</b>	4/1/2016
<b>RMB Capital Management, LLC</b>	Fund	<b>2149</b>	12/13/2017
<b>Royal Oak Realty Trust, Inc.</b>	Fund	<b>8914</b>	7/28/2016
<b>RREEF AMERICA L.L.C.</b>	Fund	<b>3513</b>	4/30/2013
<b>Sealy &amp; Company, LLC</b>	Fund Administrator	<b>1242</b>	3/21/2017
<b>Sealy &amp; Company, LLC - Sealy Strategic Equity Partners, LP</b>	Fund (under Sealy)	<b>1137</b>	5/17/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Sealy &amp; Company, LLC/Sealy Industrial Partners, LP</b>	Fund (under Sealy)	<b>3642</b>	8/23/2018
<b>Securities Transfer Corporation</b>	Fund Administrator	<b>9168</b>	3/26/2018
<b>Securities Transfer Corporation/Emerge Funds Investments, LTD</b>	Fund (Under Securities)	<b>8847</b>	5/29/2018
<b>SEI Investments Distribution Co.</b>	Fund Administrator	<b>7440</b>	12/14/2017
<b>Serengeti Asset Management LP</b>	Fund	<b>6425</b>	12/28/2017
<b>SGGG FUND SERVICES (U.S.) INC</b>	Fund Administrator	<b>4585</b>	8/28/2017
<b>SGGG FUND SERVICES (U.S.) INC/Vision Opportunity Non-Resident Fund Limited Partnership – Class AH</b>	Fund (under SGGG)	<b>8002</b>	9/8/2017
<b>SGGG FUND SERVICES (U.S.) INC/Vision Opportunity Non-Resident Fund Limited Partnership – Class BH</b>	Fund (under SGGG)	<b>8054</b>	9/8/2017
<b>SGGG FUND SERVICES (U.S.) INC/Vision Strategic Opportunity Non-Resident Fund Limited Partnership – Class A</b>	Fund (under SGGG)	<b>8056</b>	9/8/2017
<b>Shopoff Realty Investments L.P.</b>	Fund	<b>2512</b>	3/2/2017
<b>Sierra Income Corporation</b>	Fund	<b>1834</b>	10/19/2012
<b>SignatureFD LLC</b>	Fund	<b>3456</b>	8/29/2011
<b>Smartstop Asset Management, LLC</b>	Fund	<b>9841</b>	2/11/2016
<b>Southwest Value Partners Enterprise, LLC</b>	Fund	<b>1686</b>	8/13/2014

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Sower Capital Management, LLC</b>	Fund	<b>1902</b>	8/8/2017
<b>Spruceview Capital Partners LLC</b>	Fund	<b>6894</b>	7/6/2015
<b>SQN Capital Management, LLC.</b>	Fund	<b>1089</b>	5/28/2013
<b>SQN Investment Advisors, LLC</b>	Fund	<b>2812</b>	11/4/2016
<b>SS&amp;C Technologies, Inc.</b>	Fund Administrator	<b>3407</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - 1370 Fund - USRA Net Lease II, LLC</b>	Fund (under SS&C)	<b>8053</b>	12/24/2014
<b>SS&amp;C Technologies, Inc. - 400 Capital Credit Opportunities Fund LP</b>	Fund (under SS&C)	<b>1286</b>	10/4/2013
<b>SS&amp;C Technologies, Inc. - 400 Capital Credit Opportunities Fund LP</b>	Fund (under SS&C)	<b>8220</b>	11/22/2016
<b>SS&amp;C Technologies, Inc. - Anthem Opportunity Fund, L.P.</b>	Fund (under SS&C)	<b>1041</b>	6/6/2017
<b>SS&amp;C Technologies, Inc. - Archstone Partners II, LP</b>	Fund (under SS&C)	<b>9869</b>	12/1/2015
<b>SS&amp;C Technologies, Inc. - ASGI Hedged Equities ASP Fund</b>	Fund (under SS&C)	<b>3446</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Aurora Global Opportunities ASP Fund</b>	Fund (under SS&C)	<b>3800</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Aurora Global Opportunities II ASP Fund</b>	Fund (under SS&C)	<b>3801</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - BlueTrend ASP Fund</b>	Fund (under SS&C)	<b>3802</b>	2/4/2011

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>SS&amp;C Technologies, Inc. - Brigade Leveraged Capital Structures Offshore Ltd</b>	Fund (under SS&C)	<b>2250</b>	10/3/2016
<b>SS&amp;C Technologies, Inc. - Broadbill Partners, L.P.</b>	Fund (under SS&C)	<b>1755</b>	8/8/2014
<b>SS&amp;C Technologies, Inc. - Canepa Short-Term High Yield Offshore Fund, SPC</b>	Fund (under SS&C)	<b>8096</b>	3/31/2017
<b>SS&amp;C Technologies, Inc. - Canepa Short-Term High Yield Onshore Fund, LLC</b>	Fund (under SS&C)	<b>8030</b>	3/31/2017
<b>SS&amp;C Technologies, Inc. - Casdin Partners, LP</b>	Fund (under SS&C)	<b>1283</b>	10/4/2013
<b>SS&amp;C Technologies, Inc. - Castellan Real Estate Income Fund LP</b>	Fund (under SS&C)	<b>9115</b>	4/27/2017
<b>SS&amp;C Technologies, Inc. - Castellan Real Estate Partners LP</b>	Fund (under SS&C)	<b>7042</b>	4/27/2017
<b>SS&amp;C Technologies, Inc. - CKC Credit Opportunity Fund LP</b>	Fund (under SS&C)	<b>9374</b>	4/7/2016
<b>SS&amp;C Technologies, Inc. - CKC Credit Opportunity Offshore Fund LTD</b>	Fund (under SS&C)	<b>9375</b>	4/7/2016
<b>SS&amp;C Technologies, Inc. - Corbin Pinehurst ASP Fund</b>	Fund (under SS&C)	<b>3824</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Corbin Pinehurst Institutional ASP</b>	Fund (under SS&C)	<b>3825</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Criteria Investment Partners International, Ltd.</b>	Fund (under SS&C)	<b>8377</b>	12/19/2016
<b>SS&amp;C Technologies, Inc. - CVC Global Credit Opportunities Fund, Ltd.</b>	Fund (under SS&C)	<b>8376</b>	12/16/2016
<b>SS&amp;C Technologies, Inc. - Dorchester Capital International ASP Fund</b>	Fund (under SS&C)	<b>3803</b>	2/4/2011

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - Dorchester Capital Partners ASP Fund	Fund (under SS&C)	3822	2/4/2011
SS&C Technologies, Inc. - Engaged Capital I Offshore, Ltd.	Fund (under SS&C)	1626	4/29/2014
SS&C Technologies, Inc. - Engaged Capital I, L.P.	Fund (under SS&C)	1623	4/29/2014
SS&C Technologies, Inc. - Engaged Capital II Offshore, Ltd.	Fund (under SS&C)	1670	6/20/2014
SS&C Technologies, Inc. - Engaged Capital II, L.P.	Fund (under SS&C)	1624	4/29/2014
SS&C Technologies, Inc. - Engaged Master Feeder I, LP	Fund (under SS&C)	1627	4/29/2014
SS&C Technologies, Inc. - Engaged Master Feeder II, LP	Fund (under SS&C)	1629	4/29/2014
SS&C Technologies, Inc. - FEG Private Opportunities Fund II, L.P. - Series A	Fund (under SS&C)	9381	4/14/2016
SS&C Technologies, Inc. - FEG Private Opportunities Fund III, L.P. - Series A	Fund (under SS&C)	9382	4/14/2016
SS&C Technologies, Inc. - FEG Private Opportunities Fund, L.P.	Fund (under SS&C)	9380	4/14/2016
SS&C Technologies, Inc. - Forefront Select (Cayman) Fund LTD	Fund (under SS&C)	9858	11/24/2015
SS&C Technologies, Inc. - Forefront Select (US) Fund, LP	Fund (under SS&C)	9854	11/13/2015
SS&C Technologies, Inc. - GLASfunds SPC	Fund (under SS&C)	9835	10/19/2015
SS&C Technologies, Inc. - GLASfunds, LP	Fund (under SS&C)	9836	10/19/2015

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>SS&amp;C Technologies, Inc. - Graham Alternative Investment I ASP Fund</b>	Fund (under SS&C)	<b>3806</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Graham Alternative Investment II ASP Fund</b>	Fund (under SS&C)	<b>3807</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - GRE II ASP Fund</b>	Fund (under SS&C)	<b>3808</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Gresham Commodities ASP Fund</b>	Fund (under SS&C)	<b>3810</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - Gresham Commodities II ASP Fund</b>	Fund (under SS&C)	<b>3811</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - GS Global Equity Long Short ASP Fund</b>	Fund (under SS&C)	<b>3812</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - GS Global Equity Long Short Institutional ASP Fund</b>	Fund (under SS&C)	<b>3813</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - GS Private Equity Partners IX ASW Fund</b>	Fund (under SS&C)	<b>3814</b>	2/4/2011
<b>SS&amp;C Technologies, Inc. - HarbourVest Access - 2016 Global Fund L.P.</b>	Fund (under SS&C)	<b>5142</b>	9/21/2016
<b>SS&amp;C Technologies, Inc. - HCG Digital Finance LP</b>	Fund (under SS&C)	<b>1032</b>	2/23/2017
<b>SS&amp;C Technologies, Inc. - HCG Funds Ltd</b>	Fund (under SS&C)	<b>7352</b>	2/23/2017
<b>SS&amp;C Technologies, Inc. - I-85 Housing Opportunity Fund, LP</b>	Fund (under SS&C)	<b>1754</b>	8/8/2014
<b>SS&amp;C Technologies, Inc. - Iridian Private Business Value Equity Fund LP</b>	Fund (under SS&C)	<b>6066</b>	10/10/2016
<b>SS&amp;C Technologies, Inc. - ITE Rail Fund, LP</b>	Fund (under SS&C)	<b>8001</b>	11/3/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - K2 Overseas Long Short ASP Fund	Fund (under SS&C)	<b>3815</b>	2/4/2011
SS&C Technologies, Inc. - KL Special Opportunities Fund LP	Fund (under SS&C)	<b>8519</b>	4/18/2017
SS&C Technologies, Inc. - KL Special Opportunities Fund Ltd	Fund (under SS&C)	<b>9101</b>	4/18/2017
SS&C Technologies, Inc. - KL Special Opportunities Master Fund Ltd	Fund (under SS&C)	<b>8518</b>	4/18/2017
SS&C Technologies, Inc. - Landmark Equity Partners XIV ASP Fund	Fund (under SS&C)	<b>3816</b>	2/4/2011
SS&C Technologies, Inc. - Landscape High Leveraged Fund, LP	Fund (under SS&C)	<b>8008</b>	2/13/2017
SS&C Technologies, Inc. - Life Assets Trust S.A. Compartment VII	Fund (under SS&C)	<b>4657</b>	3/21/2017
SS&C Technologies, Inc. - Locust Wood Capital Offshore, LTD	Fund (under SS&C)	<b>9890</b>	1/11/2016
SS&C Technologies, Inc. - Locust Wood Capital, LP	Fund (under SS&C)	<b>9888</b>	1/11/2016
SS&C Technologies, Inc. - LTE Partners, LLC	Fund (under SS&C)	<b>6809</b>	9/2/2016
SS&C Technologies, Inc. - LTE Partners, Ltd.	Fund (under SS&C)	<b>0035</b>	9/2/2016
SS&C Technologies, Inc. - LTE Partners1, LLC	Fund (under SS&C)	<b>5141</b>	9/2/2016
SS&C Technologies, Inc. - Maglan Distressed Fund Ltd.	Fund (under SS&C)	<b>9114</b>	4/25/2017
SS&C Technologies, Inc. - Maglan Distressed Offshore Fund Ltd.	Fund (under SS&C)	<b>8514</b>	4/17/2017



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - Marathon Focus Fund LP	Fund (under SS&C)	7307	7/28/2016
SS&C Technologies, Inc. - Marathon Partners Fund LP	Fund (under SS&C)	7317	7/28/2016
SS&C Technologies, Inc. - Mesirow Institutional Multi-Strategy	Fund (under SS&C)	3826	2/4/2011
SS&C Technologies, Inc. - Mudrick Distressed Energy Co-Investment Feeder LP	Fund (under SS&C)	9801	9/9/2015
SS&C Technologies, Inc. - Mudrick Distressed Energy Co-Investment Feeder LTD (CAYMAN)	Fund (under SS&C)	9802	9/9/2015
SS&C Technologies, Inc. - Mudrick Distressed Opportunity Fund Global, L.P	Fund (under SS&C)	2516	8/29/2014
SS&C Technologies, Inc. - Mudrick Distressed Opportunity Fund, L.P.	Fund (under SS&C)	2696	8/29/2014
SS&C Technologies, Inc. - Mudrick Distressed Opportunity Offshore, Ltd.	Fund (under SS&C)	2649	8/29/2014
SS&C Technologies, Inc. - Nantucket Fund, LP	Fund (under SS&C)	9356	3/3/2016
SS&C Technologies, Inc. - Nantucket Institutional Fund (Cayman) SPC	Fund (under SS&C)	9357	3/3/2016
SS&C Technologies, Inc. - Nantucket Levered, LLC	Fund (under SS&C)	9359	3/3/2016
SS&C Technologies, Inc. - NB Crossroads XVIII ASP Fund	Fund (under SS&C)	3817	2/4/2011
SS&C Technologies, Inc. - New River I, LP	Fund (under SS&C)	6962	2/3/2017
SS&C Technologies, Inc. - New River II, LP	Fund (under SS&C)	8071	2/3/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - Oak Street Real Estate Capital Fund IV, L.P.	Fund (under SS&C)	7403	6/19/2017
SS&C Technologies, Inc. - Pamlico Capital II ASP Fund	Fund (under SS&C)	3828	2/4/2011
SS&C Technologies, Inc. - Pinehurst Institutional Transition	Fund (under SS&C)	3829	2/4/2011
SS&C Technologies, Inc. - Pinehurst Transition ASP Fund	Fund (under SS&C)	3830	2/4/2011
SS&C Technologies, Inc. - Pointer QP. LP	Fund (under SS&C)	9396	4/14/2016
SS&C Technologies, Inc. - Rangeley Capital Partners II, LP	Fund (under SS&C)	9813	9/24/2014
SS&C Technologies, Inc. - Rangeley Capital Partners, LP	Fund (under SS&C)	9812	9/24/2015
SS&C Technologies, Inc. - Salzman Offshore, Ltd.	Fund (under SS&C)	6542	6/6/2017
SS&C Technologies, Inc. - Salzman Onshore, L.P.	Fund (under SS&C)	8144	6/6/2017
SS&C Technologies, Inc. - SandPointe U.S. Opportunities Fund, LLC	Fund (under SS&C)	8891	7/15/2016
SS&C Technologies, Inc. - SandPointe U.S. Volatility Fund, LLC	Fund (under SS&C)	8903	7/15/2016
SS&C Technologies, Inc. - SCP Absolute Return (Offshore) Fund, Ltd.	Fund (under SS&C)	9320	2/26/2016
SS&C Technologies, Inc. - SCP Absolute Return Fund, L.P.	Fund (under SS&C)	9321	2/26/2016
SS&C Technologies, Inc. - SCP Acceleration (Offshore) Fund, Ltd.	Fund (under SS&C)	9322	2/26/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - SCP Acceleration Fund, L.P.	Fund (under SS&C)	<b>9323</b>	2/26/2016
SS&C Technologies, Inc. - SCP Endowment - Enhanced Fund, L.P.	Fund (under SS&C)	<b>9324</b>	2/26/2016
SS&C Technologies, Inc. - SCP Endowment - Master Fund, L.P.	Fund (under SS&C)	<b>9325</b>	2/26/2016
SS&C Technologies, Inc. - SCP Endowment (Offshore) Fund, Ltd.	Fund (under SS&C)	<b>9326</b>	2/26/2016
SS&C Technologies, Inc. - SCP Endowment Fund, L.P.	Fund (under SS&C)	<b>9327</b>	2/29/2016
SS&C Technologies, Inc. - SCP Equity Long Only Fund, L.P.	Fund (under SS&C)	<b>9328</b>	2/29/2016
SS&C Technologies, Inc. - SCP Equity Long/Short Fund, L.P.	Fund (under SS&C)	<b>9329</b>	2/29/2016
SS&C Technologies, Inc. - SCP Equity Select - Global Opportunity Fund, L.P.	Fund (under SS&C)	<b>9330</b>	2/29/2016
SS&C Technologies, Inc. - SCP Fixed Income Fund, L.P.	Fund (under SS&C)	<b>9331</b>	2/29/2016
SS&C Technologies, Inc. - SCP Global Credit Fund, L.P.	Fund (under SS&C)	<b>9332</b>	2/29/2016
SS&C Technologies, Inc. - SCP Growth (Offshore) Fund, Ltd.	Fund (under SS&C)	<b>9333</b>	2/29/2016
SS&C Technologies, Inc. - SCP Growth Fund, L.P.	Fund (under SS&C)	<b>9334</b>	3/1/2016
SS&C Technologies, Inc. - SCP Growth Liquidating Trust	Fund (under SS&C)	<b>9335</b>	3/1/2016
SS&C Technologies, Inc. - SCP Security (Offshore) Fund - Select Levered, Ltd.	Fund (under SS&C)	<b>9336</b>	3/1/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - SCP Security (Offshore) Fund - Select SPV Ltd.	Fund (under SS&C)	9337	3/1/2016
SS&C Technologies, Inc. - SCP Security (Offshore) Fund Ltd.	Fund (under SS&C)	9340	3/1/2016
SS&C Technologies, Inc. - SCP Security (Offshore) Fund SPV Ltd.	Fund (under SS&C)	9339	3/1/2016
SS&C Technologies, Inc. - SCP Security Friends & Family Liquidating Trust	Fund (under SS&C)	9341	3/1/2016
SS&C Technologies, Inc. - SCP Security Fund - Friends & Family, L.P.	Fund (under SS&C)	9342	3/2/2016
SS&C Technologies, Inc. - SCP Security Fund L.P.	Fund (under SS&C)	9343	3/2/2016
SS&C Technologies, Inc. - SCP Security Liquidating Trust	Fund (under SS&C)	9344	3/2/2016
SS&C Technologies, Inc. - SCP Security Liquidating Trust II	Fund (under SS&C)	9345	3/2/2016
SS&C Technologies, Inc. - SCP Security Select Levered Unit Trust	Fund (under SS&C)	9346	3/2/2016
SS&C Technologies, Inc. - SCP Security Select Liquidating Trust	Fund (under SS&C)	9348	3/2/2016
SS&C Technologies, Inc. - SCP Security Select Unit Trust	Fund (under SS&C)	9350	3/2/2016
SS&C Technologies, Inc. - SCP Security Unit Trust	Fund (under SS&C)	9351	3/3/2016
SS&C Technologies, Inc. - SCP Structured Finance Fund, L.P.	Fund (under SS&C)	9352	3/3/2016
SS&C Technologies, Inc. - Selectinvest ARV ASW Fund	Fund (under SS&C)	3818	2/4/2011

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - Selectinvest Institutional ARV ASW Fund	Fund (under SS&C)	3819	2/4/2011
SS&C Technologies, Inc. - Shinnecock Income Fund, LP	Fund (under SS&C)	8288	12/12/2016
SS&C Technologies, Inc. - Sierra Europe, L.P.	Fund (under SS&C)	2277	1/27/2017
SS&C Technologies, Inc. - Siguler Guff BRIC Opportunities II ASW	Fund (under SS&C)	3820	2/4/2011
SS&C Technologies, Inc. - SoFi Credit Opportunities Fund LLC	Fund (under SS&C)	9361	3/7/2016
SS&C Technologies, Inc. - Star Mountain Diversified Small Business Access Fund II, LP	Fund (under SS&C)	1178	5/18/2017
SS&C Technologies, Inc. - Sterling Stamos Levered (Offshore) Fund, Ltd.	Fund (under SS&C)	9353	3/3/2016
SS&C Technologies, Inc. - Sterling Stamos Security Fund - Levered, L.P.	Fund (under SS&C)	9354	3/3/2016
SS&C Technologies, Inc. - Suvretta Offshore Fund, Ltd	Fund (under SS&C)	8047	11/10/2016
SS&C Technologies, Inc. - Suvretta Partners, LP	Fund (under SS&C)	6996	8/4/2015
SS&C Technologies, Inc. - Telemus Decorrelation Opportunity Fund LP	Fund (under SS&C)	2536	9/2/2016
SS&C Technologies, Inc. - Telemus Decorrelation Opportunity Fund QP LP	Fund (under SS&C)	5472	9/2/2016
SS&C Technologies, Inc. - The CoVision Offshore Fund, Ltd.	Fund (under SS&C)	5984	6/6/2017
SS&C Technologies, Inc. - The Infinity Premier Fund (QP) Cayman LP	Fund (under SS&C)	9312	2/12/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc. - The Oceans Fund, Ltd.	Fund (under SS&C)	<b>9315</b>	2/12/2016
SS&C Technologies, Inc. - Tide Pool Consumer Credit Fund I LLC	Fund (under SS&C)	<b>1513</b>	3/31/2014
SS&C Technologies, Inc. - Tide Pool Onshore Income Fund LP	Fund (under SS&C)	<b>6786</b>	8/19/2015
SS&C Technologies, Inc. - Vida Longevity Fund, LP	Fund (under SS&C)	<b>8226</b>	11/23/2016
SS&C Technologies, Inc. - Vida Opportunity Fund, LP	Fund (under SS&C)	<b>8229</b>	11/23/2016
SS&C Technologies, Inc. /Angel Oak Strategic Mortgage Income Fund, LP	Fund (under SS&C)	<b>3517</b>	10/16/2017
SS&C Technologies, Inc. /Angel Oak Strategic Mortgage Income Fund, Ltd	Fund (under SS&C)	<b>3627</b>	10/16/2017
SS&C Technologies, Inc./1370 FUND - USRA NET LEASE III LLC	Fund (under SS&C)	<b>8177</b>	9/12/2017
SS&C Technologies, Inc./AJ Capital Real Estate Fund II LP	Fund (under SS&C)	<b>1804</b>	7/10/2017
SS&C Technologies, Inc./Angel Oak Financial Opportunities Offshore Fund, LP	Fund (under SS&C)	<b>7224</b>	12/27/2017
SS&C Technologies, Inc./Angel Oak Financial Opportunities Onshore Fund, LP	Fund (under SS&C)	<b>7270</b>	12/27/2017
SS&C Technologies, Inc./Bodleian Partners A, L.P	Fund (under SS&C)	<b>8840</b>	5/21/2018
SS&C Technologies, Inc./Bodleian Partners B, L.P.	Fund (under SS&C)	<b>1428</b>	4/25/2018
SS&C Technologies, Inc./Briarwood Capital Master Fund, Ltd.	Fund (under SS&C)	<b>2025</b>	11/3/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>SS&amp;C Technologies, Inc./Bridge Debt Australian Feeder (USD) LP</b>	Fund (under SS&C)	<b>1789</b>	6/29/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund II International LP</b>	Fund (under SS&C)	<b>7445</b>	6/30/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund II LP</b>	Fund (under SS&C)	<b>7410</b>	6/30/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund II-A LP</b>	Fund (under SS&C)	<b>2369</b>	6/30/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund III LP</b>	Fund (under SS&C)	<b>2998</b>	6/29/2018
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund International LP</b>	Fund (under SS&C)	<b>9198</b>	6/29/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund International-A LP</b>	Fund (under SS&C)	<b>9199</b>	6/29/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund LP</b>	Fund (under SS&C)	<b>3547</b>	6/29/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies Fund-A LP</b>	Fund (under SS&C)	<b>3546</b>	6/29/2017
<b>SS&amp;C Technologies, Inc./Bridge Debt Strategies III International LP</b>	Fund (under SS&C)	<b>3005</b>	6/29/2018
<b>SS&amp;C Technologies, Inc./Bridge Multifamily &amp; Commercial Office Fund III International-A LP</b>	Fund (under SS&C)	<b>6721</b>	1/29/2018
<b>SS&amp;C Technologies, Inc./Bridge Multifamily &amp; Commercial Office Fund III LP</b>	Fund (under SS&C)	<b>6706</b>	1/29/2018
<b>SS&amp;C Technologies, Inc./Bridge Multifamily &amp; Commercial Office Fund III-A LP</b>	Fund (under SS&C)	<b>6709</b>	1/29/2018
<b>SS&amp;C Technologies, Inc./Bridge Multifamily Fund IV International-A LP</b>	Fund (under SS&C)	<b>3049</b>	6/29/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc./Bridge Multifamily Fund IV LP	Fund (under SS&C)	<b>3035</b>	6/29/2018
SS&C Technologies, Inc./Bridge Multifamily Fund IV-A LP	Fund (under SS&C)	<b>3893</b>	9/6/2018
SS&C Technologies, Inc./Bridge Office Fund International-A LP	Fund (under SS&C)	<b>5474</b>	1/29/2018
SS&C Technologies, Inc./Bridge Office Fund-A LP	Fund (under SS&C)	<b>9172</b>	3/5/2018
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Co-Investment Fund II LP	Fund (under SS&C)	<b>7374</b>	8/4/2017
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund GP LLC	Fund (under SS&C)	<b>3917</b>	9/25/2018
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund II A LP	Fund (under SS&C)	<b>5625</b>	8/4/2017
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund II Intl LP (Cayman)	Fund (under SS&C)	<b>4627</b>	8/4/2017
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund II Intl Master LP	Fund (under SS&C)	<b>2929</b>	8/4/2017
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund II Intl-A LP (Cayman)	Fund (under SS&C)	<b>4631</b>	8/4/2017
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund II LP	Fund (under SS&C)	<b>6486</b>	8/4/2017
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund International LP	Fund (under SS&C)	<b>3918</b>	9/25/2018
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund LP	Fund (under SS&C)	<b>5556</b>	1/29/2018
SS&C Technologies, Inc./Bridge Seniors Housing & Medical Properties Fund-A LP	Fund (under SS&C)	<b>6703</b>	1/29/2018



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc./Bridge Seniors Housing Co-Investment Fund II Feeder LP	Fund (under SS&C)	7510	8/4/2017
SS&C Technologies, Inc./Casdin Partners Offshore, Ltd	Fund (under SS&C)	4669	12/14/2017
SS&C Technologies, Inc./Central Park Group WP Global Growth, LLC	Fund (under SS&C)	3279	7/25/2018
SS&C Technologies, Inc./Coury U.S. Fund LP	Fund (under SS&C)	3959	10/30/2018
SS&C Technologies, Inc./Engaged Capital Flagship Fund LP	Fund (under SS&C)	1910	11/2/2017
SS&C Technologies, Inc./Engaged Capital Flagship Fund Ltd	Fund (under SS&C)	1908	11/2/2017
SS&C Technologies, Inc./Esulep LLC Permo Fund	Fund (under SS&C)	5334	1/11/2018
SS&C Technologies, Inc./Graduate Hotels Real Estate Fund III LP	Fund (under SS&C)	3475	5/4/2018
SS&C Technologies, Inc./Hain Capital Investors, LLC	Fund (under SS&C)	4620	12/14/2017
SS&C Technologies, Inc./Hamilton Lane Private Equity Fund X LP	Fund (under SS&C)	6571	1/18/2018
SS&C Technologies, Inc./Hamilton Lane Private Equity Offshore Fund X LP	Fund (under SS&C)	5387	1/18/2018
SS&C Technologies, Inc./HarbourVest Access - 2017 Global Fund L.P.	Fund (under SS&C)	1828	5/31/2017
SS&C Technologies, Inc./HarbourVest Access – 2018 Global Fund Cayman LLC	Fund (under SS&C)	9177	6/18/2018
SS&C Technologies, Inc./HarbourVest Access – Co-Investment Fund V LLC	Fund (under SS&C)	9178	3/8/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>SS&amp;C Technologies, Inc./Heron Income Fund, LP</b>	Fund (under SS&C)	<b>1771</b>	7/10/2017
<b>SS&amp;C Technologies, Inc./K2 Chatham Liquid High Yield Fund, Ltd.</b>	Fund (under SS&C)	<b>3799</b>	9/6/2018
<b>SS&amp;C Technologies, Inc./K2 EMSO Liquid Emerging Market Opportunities Fund Ltd.</b>	Fund (under SS&C)	<b>3875</b>	9/6/2018
<b>SS&amp;C Technologies, Inc./K2 Halcyon Liquid Opportunities Fund Ltd.</b>	Fund (under SS&C)	<b>3889</b>	9/6/2018
<b>SS&amp;C Technologies, Inc./KL Event Driven UCITS Fund</b>	Fund (under SS&C)	<b>9173</b>	3/5/2018
<b>SS&amp;C Technologies, Inc./LC Capital Offshore Fund, Ltd.</b>	Fund (under SS&C)	<b>1016</b>	7/3/2017
<b>SS&amp;C Technologies, Inc./Mercury 400 Capital Credit Opportunities Fund</b>	Fund (under SS&C)	<b>8145</b>	12/29/2017
<b>SS&amp;C Technologies, Inc./Mercury Calera Capital Partners V Fund</b>	Fund (under SS&C)	<b>8170</b>	12/29/2017
<b>SS&amp;C Technologies, Inc./Mercury Corry Capital Fund</b>	Fund (under SS&C)	<b>8208</b>	12/28/2017
<b>SS&amp;C Technologies, Inc./Mercury DAG Special Opportunities Fund</b>	Fund (under SS&C)	<b>8202</b>	12/28/2017
<b>SS&amp;C Technologies, Inc./Mercury DSC PE-VC Index Fund</b>	Fund (under SS&C)	<b>8207</b>	12/28/2017
<b>SS&amp;C Technologies, Inc./Mercury Efficient Access Fund</b>	Fund (under SS&C)	<b>8206</b>	12/27/2017
<b>SS&amp;C Technologies, Inc./Mercury Electrum Strategic Opportunities Fund</b>	Fund (under SS&C)	<b>8211</b>	12/27/2017
<b>SS&amp;C Technologies, Inc./Mercury Highland Argentina Regional Opportunity Fund (a Series of Mercury iFunds LLC)</b>	Fund (under SS&C)	<b>3633</b>	8/23/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc./Mercury Imperial Companies Fund	Fund (under SS&C)	8216	12/27/2017
SS&C Technologies, Inc./Mercury Mariner Flagship Fund	Fund (under SS&C)	8214	12/26/2017
SS&C Technologies, Inc./Mercury MidOcean IDF	Fund (under SS&C)	8218	12/26/2017
SS&C Technologies, Inc./Mercury PCI Investors Fund III	Fund (under SS&C)	8215	12/26/2017
SS&C Technologies, Inc./Mercury Sandalwood Fund (a series of Mercury iFunds LLC)	Fund (under SS&C)	6740	2/27/2018
SS&C Technologies, Inc./Mercury Solariant Renewable Energy Fund I (a Series of Mercury iFunds LLC)	Fund (under SS&C)	3634	8/23/2018
SS&C Technologies, Inc./Mercury Teewinot Vista Fund	Fund (under SS&C)	9127	1/2/2018
SS&C Technologies, Inc./Mercury Walton Street Real Estate Fund VIII	Fund (under SS&C)	8205	1/2/2018
SS&C Technologies, Inc./Mercury Wilshire Private Income Fund (a Series of Mercury iFunds LLC)	Fund (under SS&C)	3635	8/23/2018
SS&C Technologies, Inc./Mercury Windham Risk Premia Fund (a series of Mercury iFunds LLC)	Fund (under SS&C)	1593	2/27/2018
SS&C Technologies, Inc./Nantucket Fund, LP	Fund (under SS&C)	6033	5/7/2018
SS&C Technologies, Inc./Nantucket Institutional Fund (Cayman) SPC	Fund (under SS&C)	6414	5/7/2018
SS&C Technologies, Inc./Nantucket Levered, LLC	Fund (under SS&C)	3804	6/25/2018
SS&C Technologies, Inc./Pennant Onshore Partners, L.P.	Fund (under SS&C)	8040	12/11/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
SS&C Technologies, Inc./Permo Fund Ltd.	Fund (under SS&C)	<b>5336</b>	1/11/2018
SS&C Technologies, Inc./Pointer Offshore III, Ltd.	Fund (under SS&C)	<b>8061</b>	1/5/2018
SS&C Technologies, Inc./RDS II Australian Feeder (USD) LP	Fund (under SS&C)	<b>1911</b>	6/30/2017
SS&C Technologies, Inc./Runway Growth Credit Fund, Inc.	Fund (under SS&C)	<b>8368</b>	1/31/2018
SS&C Technologies, Inc./Sandalwood Debt Fund A, L.P.	Fund (under SS&C)	<b>1427</b>	4/25/2018
SS&C Technologies, Inc./Sandalwood Overseas Fund SPC., Ltd. – Class E	Fund (under SS&C)	<b>8841</b>	5/21/2018
SS&C Technologies, Inc./Sandalwood Overseas Fund SPC., Ltd. – Class EE	Fund (under SS&C)	<b>8842</b>	5/21/2018
SS&C Technologies, Inc./Sandalwood Overseas Fund SPC., Ltd. – Class I	Fund (under SS&C)	<b>1429</b>	4/25/2018
SS&C Technologies, Inc./Sandalwood Overseas Liquidating SPV SPC, Ltd.	Fund (under SS&C)	<b>3928</b>	10/3/2018
SS&C Technologies, Inc./Star Mountain Diversified Small Business Access Fund II (Offshore), LP	Fund (under SS&C)	<b>6780</b>	2/13/2018
SS&C Technologies, Inc./Star Mountain Diversified Small Business Access Fund II-A, LP	Fund (under SS&C)	<b>9107</b>	5/14/2018
SS&C Technologies, Inc./VICOPII Feeder, LP	Fund (under SS&C)	<b>6564</b>	1/12/2018
Starwood Real Estate Income Trust, Inc	Fund	<b>9170</b>	5/1/2018
Steadfast Capital Markets Group, LLC	Fund Administrator	<b>1432</b>	1/3/2014

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Steadfast Capital Markets Group, LLC - Steadfast Alcentra Global Credit Fund</b>	Fund (under Steadfast)	<b>7292</b>	3/17/2017
<b>Steadfast Capital Markets Group, LLC - Steadfast Apartment REIT III A</b>	Fund (under Steadfast)	<b>9436</b>	6/1/2016
<b>Steadfast Capital Markets Group, LLC - Steadfast Apartment REIT III R</b>	Fund (under Steadfast)	<b>2152</b>	8/24/2016
<b>Steadfast Capital Markets Group, LLC - Steadfast Apartment REIT III R - AIP</b>	Fund (under Steadfast)	<b>7321</b>	10/28/2016
<b>Steadfast Capital Markets Group, LLC - Steadfast Apartment REIT III T</b>	Fund (under Steadfast)	<b>9437</b>	6/1/2016
<b>Steadfast Capital Markets Group, LLC - Steadfast Apartment REIT, Inc.</b>	Fund (under Steadfast)	<b>1469</b>	1/3/2014
<b>Steadfast Capital Markets Group, LLC - Steadfast Income REIT, Inc.</b>	Fund (under Steadfast)	<b>1464</b>	1/3/2014
<b>Steben &amp; Company, Inc.</b>	Fund	<b>3841</b>	5/3/2011
<b>Stone Coast Fund Services LLC</b>	Fund Administrator	<b>1775</b>	8/2/2012
<b>Stone Coast Fund Services LLC - Ascend Partners Fund I LP</b>	Fund (under Stone Coast)	<b>1786</b>	8/2/2012
<b>Stone Coast Fund Services LLC - Ascend Partners Fund I LTD</b>	Fund (under Stone Coast)	<b>1788</b>	8/2/2012
<b>Stone Coast Fund Services LLC - Ascend Partners Fund II BPD LTD</b>	Fund (under Stone Coast)	<b>1791</b>	8/2/2012
<b>Stone Coast Fund Services LLC - Ascend Partners Fund II LP</b>	Fund (under Stone Coast)	<b>1776</b>	8/2/2012
<b>Stone Coast Fund Services LLC - Ascend Partners Fund II LTD</b>	Fund (under Stone Coast)	<b>1787</b>	8/2/2012

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Stone Coast Fund Services LLC - Avalon Global Real Assets Fund, LP</b>	Fund (under Stone Coast)	<b>1647</b>	5/29/2014
<b>Stonecrest Managers, Inc</b>	Fund	<b>2204</b>	5/24/2017
<b>Stonepine Capital, LP</b>	Fund	<b>9105</b>	5/25/2018
<b>Straits Financial Fund Management, LLC</b>	Fund	<b>7537</b>	8/28/2017
<b>Swiss Financial Services, Inc.</b>	Fund Administrator	<b>4787</b>	9/30/2015
<b>Swiss Financial Services, Inc. - Highwood Capital LLC</b>	Fund (under Swiss)	<b>9823</b>	10/7/2015
<b>Swiss Financial Services, Inc. - RBR Capital Advisors AG</b>	Fund (under Swiss)	<b>9821</b>	10/7/2015
<b>T2 Holdings, LLC</b>	Fund Administrator	<b>1119</b>	5/23/2017
<b>T2 Holdings, LLC/T2 Opportunity Fund V, LP</b>	Fund (Under T2 Holdings,	<b>3628</b>	10/18/2017
<b>T2 Holdings, LLC/T2 Strategic Real Estate Income Fund LLC</b>	Fund (Under T2 Holdings,	<b>7330</b>	5/31/2017
<b>Talia Jevan Properties, Inc.</b>	Fund	<b>9843</b>	11/6/2015
<b>TCA Global Credit Master Fund, LP</b>	Fund	<b>1293</b>	3/6/2014
<b>Terra Income Advisors, LLC</b>	Fund Administrator	<b>6893</b>	7/17/2015
<b>Terra Income Advisors, LLC - Terra Income Fund 6, Inc.</b>	Fund (under Terra)	<b>6991</b>	7/28/2015

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
TFA Associates, LLC	Fund Administrator	1818	7/24/2017
TFA ASSOCIATES, LLC/Tasty Brands, LP	Fund (under TFA)	4846	7/26/2017
TFA ASSOCIATES, LLC/Triton Pacific Investment Corporation, INC	Fund (under TFA)	1185	7/26/2017
The Bank of New York Mellon/Adam Capital Gestao de Recursos Ltda	Fund (under BNY)	9136	5/21/2018
The Lightstone Group, LLC	Fund Administrator	9377	5/16/2016
The Lightstone Group, LLC - Behringer Harvard Opportunity REIT I, Inc.	Fund (under Lightstone)	1634	5/2/2017
The Lightstone Group, LLC - Lightstone Real Estate Income Trust, Inc	Fund (under Lightstone)	9413	5/17/2016
The Lightstone Group, LLC - Lightstone Value Plus Real Estate Investment Trust II, Inc.	Fund (under Lightstone)	9411	5/17/2016
The Lightstone Group, LLC - Lightstone Value Plus Real Estate Investment Trust III, Inc.	Fund (under Lightstone)	9412	5/17/2016
The Lightstone Group, LLC - Lightstone Value Plus Real Estate Investment Trust V, Inc.	Fund (under Lightstone)	1636	5/2/2017
The Lightstone Group, LLC - Lightstone Value Plus Real Estate Investment Trust, Inc.	Fund (under Lightstone)	9410	5/17/2016
The Parking REIT, INC.	Fund	9387	5/19/2016
The Praedium Group, LLC	Fund	1147	10/27/2017
Theorem Fund Services LLC/Ironwood Funding X LLC	Fund (under Theorem)	3957	10/25/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
Theorem Fund Services LLC/Petoskey Capital Fund, LP	Fund (under Theorem)	7287	2/6/2018
Theorem Fund Services LLC/Saxa Secured Asset Fund, L.P.	Fund (under Theorem)	8345	9/28/2017
Theorem Fund Services, LLC	Fund Administrator	9386	5/11/2016
Theorem Fund Services, LLC / Ironwood Funding	Fund (under Theorem)	1465	3/10/2017
Theorem Fund Services, LLC / Thiele Marketplace Income Fund LP	Fund (under Theorem)	1696	8/4/2016
Theorem Fund Services, LLC/Ensconce Healthcare Fund, LP	Fund (under Theorem)	3941	10/16/2018
Theorem Fund Services, LLC/Hashdex Index Fund	Fund (under Theorem)	3778	8/31/2018
Theorem Fund Services, LLC/IRONCHAIN INVESTMENT TRUST I	Fund (under Theorem)	3878	5/7/2018
Theorem Fund Services, LLC/IRONCHAIN MiX10 PARTNERS LP	Fund (under Theorem)	3877	5/7/2018
Thompson Davis & Co.	Fund	3836	6/25/2012
TIER REIT, Inc.	Fund	6986	6/29/2015
Time Equities Securities LLC	Fund	3140	1/16/2015
Towerhill Long/Short Equity, LLC	Fund	3583	3/20/2012
Trez Capital US Real Estate Debt Fund I, LP	Fund	8029	5/26/2017



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Trident Fund Services, Inc</b>	Fund Administrator	<b>5115</b>	10/17/2016
<b>Trident Fund Services, Inc - C&amp;B Capital III (PF) LP</b>	Fund (under Trident)	<b>2403</b>	6/1/2017
<b>Trident Fund Services, Inc - C&amp;B Capital III, LP</b>	Fund (under Trident)	<b>2848</b>	6/1/2017
<b>Trident Fund Services, Inc - Marlin Fund, LP</b>	Fund (under Trident)	<b>8374</b>	12/9/2016
<b>Trident Fund Services, Inc - Revere High Yield Fund, LP</b>	Fund (under Trident)	<b>8055</b>	11/7/2016
<b>Trident Fund Services, Inc./MVP All-Star Fund III LLC, Series 2</b>	Fund (under Trident)	<b>3696</b>	9/4/2018
<b>TRIDENT FUND SERVICES, INC./MVP ALL-STAR FUND III, SERIES 1</b>	Fund (under Trident)	<b>3594</b>	8/17/2018
<b>Trident Fund Services, Inc./Phorcys Opportunities I LLC</b>	Fund (under Trident)	<b>3596</b>	8/17/2018
<b>Trilinc Advisors, LLC</b>	Fund	<b>1092</b>	8/2/2013
<b>Triloma Securities, LLC</b>	Fund Administrator	<b>3557</b>	8/13/2018
<b>Triloma Securities, LLC/Triloma Securities, LLC</b>	Fund (under Triloma)	<b>9807</b>	8/13/2018
<b>Triloma Securities, LLC/Watermark Lodging Investors LLC</b>	Fund (under Triloma)	<b>3588</b>	8/14/2018
<b>Trust Asset Management LLC</b>	Fund Administrator	<b>3936</b>	10/18/2018
<b>Trust Asset Management LLC/Lighthouse Balanced Fund, LLC</b>	Fund (under Trust Asset)	<b>3963</b>	11/1/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>U.S Bancorp Fund Services, LLC/KLS Diversified Fund LP</b>	Fund (under US Bank)	<b>8060</b>	1/3/2018
<b>U.S Bancorp Fund Services, LLC/Monroe Capital Income Plus Limited Partnership</b>	Fund (under US Bank)	<b>1373</b>	9/1/2017
<b>U.S Bancorp Fund Services, LLC/Monroe Capital Private Credit Fund III (CAIS) LP</b>	Fund (under US Bank)	<b>1363</b>	9/1/2017
<b>U.S. Bancorp Fund Services, LLC/Conservative Credit Income Fund, LP</b>	Fund (under US Bank)	<b>8249</b>	9/22/2017
<b>U.S. Bancorp Fund Services, LLC/Diversified Credit Income Fund II, LP</b>	Fund (under US Bank)	<b>8248</b>	9/22/2017
<b>U.S. Bancorp Fund Services, LLC/Freeport Financial SBIC Fund LP</b>	Fund (under US Bank)	<b>3184</b>	7/16/2018
<b>U.S. Bancorp Fund Services, LLC/Graham &amp; Dodd Farmland Fund I, LP</b>	Fund (under US Bank)	<b>9108</b>	5/14/2018
<b>U.S. Bancorp Fund Services, LLC/RiverNorth Specialty Finance LLC</b>	Fund (under US Bank)	<b>0898</b>	11/1/2017
<b>U.S. Bancorp Fund Services, LLC/Tortoise Commingled MLP Fund, LLC</b>	Fund (under US Bank)	<b>4612</b>	12/5/2017
<b>U.S. Bancorp Fund Services, LLC/Tortoise Direct Municipal Opportunities Fund, LP</b>	Fund (under US Bank)	<b>1357</b>	11/1/2017
<b>U.S. Bancorp Fund Services, LLC/Tortoise Direct Opportunities Fund, LP</b>	Fund (under US Bank)	<b>1019</b>	11/1/2017
<b>UMB Fund Services, Inc.</b>	Fund Administrator	<b>3410</b>	7/21/2011
<b>UMB Fund Services, Inc. - Signature Opportunity Fund, Ltd.</b>	Fund (under UMB)	<b>2173</b>	8/9/2016
<b>UMB Fund Services, Inc. - Topwater Partners Offshore Ltd.</b>	Fund (under UMB)	<b>9428</b>	5/26/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
UMB Fund Services, Inc. - ABS Funds	Fund (under UMB)	9851	11/9/2015
UMB Fund Services, Inc. - Aetna Multi Strategy 1099 Fund	Fund (under UMB)	1794	7/25/2012
UMB Fund Services, Inc. - Altair Hedged Equity Partners, LP	Fund (under UMB)	1793	7/25/2012
UMB Fund Services, Inc. - Bailard Funds	Fund (under UMB)	1984	8/22/2014
UMB Fund Services, Inc. - BlackGold Capital Management LP	Fund (under UMB)	1486	2/14/2014
UMB Fund Services, Inc. - Caritas Funds	Fund (under UMB)	6970	6/10/2015
UMB Fund Services, Inc. - CCM Opportunistic Partners, LP	Fund (under UMB)	1472	1/10/2014
UMB Fund Services, Inc. - Center Coast Funds	Fund (under UMB)	1855	11/9/2012
UMB Fund Services, Inc. - Central Park Funds	Fund (under UMB)	1966	4/17/2013
UMB Fund Services, Inc. - Central Park Group Activist Fund, LLC	Fund (under UMB)	1838	2/24/2015
UMB Fund Services, Inc. - Central Park Group Atlas Global Fund, LLC	Fund (under UMB)	3582	2/24/2015
UMB Fund Services, Inc. - Central Park Group WHM Wolf Creek Fund, LLC	Fund (under UMB)	1843	2/24/2015
UMB Fund Services, Inc. - En Trust Funds	Fund (under UMB)	3134	10/21/2014
UMB Fund Services, Inc. - FEG Funds	Fund (under UMB)	1864	12/14/2012

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>UMB Fund Services, Inc. - First Pacific Advisors</b>	Fund (under UMB)	<b>6860</b>	3/13/2015
<b>UMB Fund Services, Inc. - Fortigent Company, LLC</b>	Fund (under UMB)	<b>3436</b>	7/21/2011
<b>UMB Fund Services, Inc. - Freedom Capital Funds</b>	Fund (under UMB)	<b>6899</b>	5/15/2015
<b>UMB Fund Services, Inc. - Hatteras Global Private Equity Partners Institutional, LLC</b>	Fund (under UMB)	<b>3868</b>	7/21/2011
<b>UMB Fund Services, Inc. - Hatteras Late Stage VC Fund I, L.P.</b>	Fund (under UMB)	<b>9383</b>	5/11/2016
<b>UMB Fund Services, Inc. - Hatteras Multi-Strategy Funds</b>	Fund (under UMB)	<b>3447</b>	7/21/2011
<b>UMB Fund Services, Inc. - Hatteras VC Co-Investment Fund II, LLC</b>	Fund (under UMB)	<b>3869</b>	7/21/2011
<b>UMB Fund Services, Inc. - Irvington Capital Funds</b>	Fund (under UMB)	<b>3137</b>	11/6/2014
<b>UMB Fund Services, Inc. - Little Harbor Funds</b>	Fund (under UMB)	<b>1887</b>	8/22/2014
<b>UMB Fund Services, Inc. - Morgan Stanley AIP Registered Funds</b>	Fund (under UMB)	<b>1155</b>	8/5/2013
<b>UMB Fund Services, Inc. - Morrocroft Funds</b>	Fund (under UMB)	<b>1534</b>	4/25/2014
<b>UMB Fund Services, Inc. - Pan Reliance Funds</b>	Fund (under UMB)	<b>6863</b>	3/18/2015
<b>UMB Fund Services, Inc. - Pharus Capital</b>	Fund (under UMB)	<b>1036</b>	4/24/2013
<b>UMB Fund Services, Inc. - Pierpont Funds</b>	Fund (under UMB)	<b>1239</b>	9/12/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>UMB Fund Services, Inc. - Pomona Investment Fund</b>	Fund (under UMB)	<b>6878</b>	4/20/2015
<b>UMB Fund Services, Inc. - Pulteney Street Advisors</b>	Fund (under UMB)	<b>1463</b>	12/27/2013
<b>UMB Fund Services, Inc. - Salient Funds</b>	Fund (under UMB)	<b>6897</b>	5/14/2015
<b>UMB Fund Services, Inc. - Smead Funds</b>	Fund (under UMB)	<b>6849</b>	2/23/2015
<b>UMB Fund Services, Inc. - Summit Funds</b>	Fund (under UMB)	<b>3132</b>	10/17/2014
<b>UMB Fund Services, Inc. - The Archstone Partnerships</b>	Fund (under UMB)	<b>6990</b>	7/24/2015
<b>UMB Fund Services, Inc. - The Arden-Sage Funds</b>	Fund (under UMB)	<b>1442</b>	11/14/2013
<b>UMB Fund Services, Inc. - The Persimmon Funds</b>	Fund (under UMB)	<b>3504</b>	12/14/2011
<b>UMB Fund Services, Inc. - Vivaldi Capital Management</b>	Fund (under UMB)	<b>1460</b>	12/17/2013
<b>UMB Fund Services, Inc. - White Bison Trust</b>	Fund (under UMB)	<b>2853</b>	9/25/2014
<b>UMB Fund Services, Inc./ACR Alpine Capital Research</b>	Fund (under UMB)	<b>3464</b>	11/28/2017
<b>UMB Fund Services, Inc./Capital Tide LLC</b>	Fund (under UMB)	<b>3930</b>	10/3/2018
<b>UMB Fund Services, Inc./Deme Offshore Fund, Ltd.</b>	Fund (under UMB)	<b>9197</b>	4/2/2018
<b>UMB Fund Services, Inc./Infinity Funds</b>	Fund (under UMB)	<b>0867</b>	11/1/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>UMB Fund Services, Inc./Morgan Stanley Managed Futures Funds</b>	Fund (under UMB)	<b>8175</b>	9/12/2017
<b>UMB Fund Services, Inc./SAF Platform</b>	Fund (under UMB)	<b>2058</b>	11/7/2017
<b>Unified Financial Securities, LLC</b>	Fund Administrator	<b>9424</b>	6/10/2016
<b>Unified Financial Securities, LLC - Cross Shore Discovery Fund</b>	Fund (under Unified)	<b>9469</b>	7/6/2016
<b>Unified Financial Securities, LLC/Peachtree Alternative Strategies Fund</b>	Fund (under Unified)	<b>6762</b>	1/29/2018
<b>US Bancorp Fund Services LLC</b>	Fund Administrator	<b>3427</b>	7/18/2012
<b>US Bancorp Fund Services LLC - Armory Credit Opportunity Fund, LP</b>	Fund (under US Bank)	<b>1049</b>	5/10/2017
<b>US Bancorp Fund Services LLC - Bain Capital Specialty Finance, Inc.</b>	Fund (under US Bank)	<b>8881</b>	7/8/2016
<b>US Bancorp Fund Services LLC - Broad Based Consumer Credit II Fund, LP</b>	Fund (under US Bank)	<b>1942</b>	3/28/2013
<b>US Bancorp Fund Services LLC - City National Rochdale High Yield Alternative Strategies Fund</b>	Fund (under US Bank)	<b>6966</b>	6/1/2015
<b>US Bancorp Fund Services LLC - City National Rochdale High Yield Alternative Strategies Fund TEI</b>	Fund (under US Bank)	<b>6967</b>	6/1/2015
<b>US Bancorp Fund Services LLC - Conservative Consumer Credit (Q) Fund, LP</b>	Fund (under US Bank)	<b>1945</b>	3/28/2013
<b>US Bancorp Fund Services LLC - Cushing MLP Infrastructure Fund</b>	Fund (under US Bank)	<b>6957</b>	5/22/2015
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity 3C-7 Fund LLC A</b>	Fund (under US Bank)	<b>8068</b>	11/9/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity 3C-7 Fund LLC F</b>	Fund (under US Bank)	<b>8094</b>	11/9/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity 3C-7 Fund LLC I</b>	Fund (under US Bank)	<b>8069</b>	11/9/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity 3C-7 Fund LLC Z</b>	Fund (under US Bank)	<b>8079</b>	11/9/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity Fund, LLC</b>	Fund (under US Bank)	<b>2813</b>	10/14/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity Fund, LLC Series A</b>	Fund (under US Bank)	<b>2815</b>	10/14/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity Fund, LLC Series F</b>	Fund (under US Bank)	<b>2814</b>	10/14/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity Fund, LLC Series I</b>	Fund (under US Bank)	<b>2818</b>	10/14/2016
<b>US Bancorp Fund Services LLC - Hatteras Evergreen Private Equity Fund, LLC Series X</b>	Fund (under US Bank)	<b>2819</b>	10/14/2016
<b>US Bancorp Fund Services LLC - HedgePath Alternative Investment Fund, LP</b>	Fund (under US Bank)	<b>1757</b>	7/18/2012
<b>US Bancorp Fund Services LLC - High Yield Consumer Credit (Q) Fund, L.P.</b>	Fund (under US Bank)	<b>6852</b>	2/24/2015
<b>US Bancorp Fund Services LLC - KKR Alternative Corporate Opportunities Fund LP</b>	Fund (under US Bank)	<b>1452</b>	11/19/2013
<b>US Bancorp Fund Services LLC - LC Advisors Diversified Credit Income Fund, LP</b>	Fund (under US Bank)	<b>1098</b>	3/6/2017
<b>US Bancorp Fund Services LLC - Lending Club Broad Based Consumer Credit (Q) Fund, LP</b>	Fund (under US Bank)	<b>1874</b>	1/16/2013
<b>US Bancorp Fund Services LLC - Lending Club Broad Based Consumer Credit Fund, LP</b>	Fund (under US Bank)	<b>1873</b>	1/16/2013

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>US Bancorp Fund Services LLC - Lending Club Conservative Consumer Credit Fund, LP</b>	Fund (under US Bank)	<b>1880</b>	1/16/2013
<b>US Bancorp Fund Services LLC - M360 CRE Income Fund, LP</b>	Fund (under US Bank)	<b>9370</b>	3/29/2016
<b>US Bancorp Fund Services LLC - Monroe Capital Private Credit Fund II (CAIS Offshore) LP</b>	Fund (under US Bank)	<b>6886</b>	4/16/2015
<b>US Bancorp Fund Services LLC - Monroe Capital Private Credit Fund II (CAIS) LP</b>	Fund (under US Bank)	<b>6885</b>	4/16/2015
<b>US Bancorp Fund Services LLC - Monroe Capital Private Credit Fund II (Ireland) IVAC Leveraged Sub Fund</b>	Fund (under US Bank)	<b>9444</b>	6/22/2016
<b>US Bancorp Fund Services LLC - Monroe Capital Private Credit Fund II (Ireland) IVAC Unleveraged Sub Fund</b>	Fund (under US Bank)	<b>9445</b>	6/22/2016
<b>US Bancorp Fund Services LLC - Needham Contrarian (QP) Fund, LP</b>	Fund (under US Bank)	<b>9406</b>	5/5/2016
<b>US Bancorp Fund Services LLC - Needham Emerging Growth Partners, LP</b>	Fund (under US Bank)	<b>9399</b>	5/5/2016
<b>US Bancorp Fund Services LLC - OHP II LP</b>	Fund (under US Bank)	<b>8366</b>	7/31/2017
<b>US Bancorp Fund Services LLC - OHP Ltd</b>	Fund (under US Bank)	<b>8230</b>	7/31/2017
<b>US Bancorp Fund Services LLC - Origami Opportunities Fund III, L.P</b>	Fund (under US Bank)	<b>9310</b>	2/12/2016
<b>US Bancorp Fund Services LLC - Origami Partners III LP</b>	Fund (under US Bank)	<b>9309</b>	2/12/2016
<b>US Bancorp Fund Services LLC - PENN Capital Management</b>	Fund (under US Bank)	<b>1869</b>	11/25/2013



## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>US Bancorp Fund Services LLC - RiverNorth Marketplace Lending Corporation</b>	Fund (under US Bank)	<b>9834</b>	10/16/2015
<b>US Bancorp Fund Services LLC - SCS Hedged Opportunities (TE) Fund, LLC</b>	Fund (under US Bank)	<b>1737</b>	7/18/2012
<b>US Bancorp Fund Services LLC - SCS Hedged Opportunities Fund, LLC</b>	Fund (under US Bank)	<b>3462</b>	7/18/2012
<b>US Bancorp Fund Services LLC - Seer Capital Commercial Real Estate Debt Fund I Trust I</b>	Fund (under US Bank)	<b>9857</b>	11/19/2015
<b>US Bancorp Fund Services LLC - Steben Select Multi Strategy LP</b>	Fund (under US Bank)	<b>1451</b>	11/19/2013
<b>US Bancorp Fund Services LLC - Steben Select Multi-Strategy Fund</b>	Fund (under US Bank)	<b>1449</b>	11/19/2013
<b>US Bancorp Fund Services LLC - Steben Select Multi-Strategy Partners, L.P</b>	Fund (under US Bank)	<b>9809</b>	9/18/2015
<b>US Bancorp Fund Services LLC - The Marketfield Fund, Ltd.</b>	Fund (under US Bank)	<b>1038</b>	4/26/2013
<b>US Bancorp Fund Services LLC - USCA All Terrain Fund</b>	Fund (under US Bank)	<b>6965</b>	5/29/2015
<b>US Bancorp Fund Services LLC - Vida Longevity Fund, LP</b>	Fund (under US Bank)	<b>2766</b>	9/19/2014
<b>US Bancorp Fund Services LLC/Ellington Income Opportunities Fund</b>	Fund (under US Bank)	<b>3978</b>	11/19/2018
<b>US Bancorp Fund Services LLC/Homeward Opportunities Offshore Fund I LP</b>	Fund (under US Bank)	<b>3919</b>	9/25/2018
<b>US Bancorp Fund Services LLC/Monroe Capital Income Plus Corporation</b>	Fund (under US Bank)	<b>3979</b>	11/19/2018

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
US Bancorp Fund Services, LLC/ Drug Royalties Fund II LLC	Fund (under US Bank)	6533	10/16/2017
US Bancorp Fund Services, LLC/ DRUG ROYALTIES OFFSHORE FUND II LLC	Fund (under US Bank)	4643	10/16/2017
US Capital Investment Management, LLC	Fund Administrator	8365	1/5/2017
US Capital Investment Management, LLC - NOBLE CAPITAL TEXAS REAL ESTATE INCOME FUND LP	Fund (under US Capital)	1057	3/10/2017
US Capital Investment Management, LLC - US Capital Business Credit Income Fund LP	Fund (under US Capital)	8501	4/5/2017
Valley Forge Private Equity, Inc.	Fund Administrator	9443	10/11/2016
Valley Forge Private Equity, Inc. - VF Real Estate II, LP	Fund (under Valley Forge)	8341	10/17/2016
Valueworks Limited Partners, LP	Fund	1163	8/26/2013
Vesta Hospitality Fund II, LLC	Fund	2820	9/13/2018
VII Peaks Capital, LLC	Fund Administrator	6879	6/2/2015
VII Peaks Capital, LLC - VII Peaks Co-Optivist Income BDL II, Inc.	Fund (under VII Peaks)	9367	3/23/2016
Virtus Real Estate, LLC	Fund Administrator	7363	2/6/2017
Virtus Real Estate, LLC - Virtus Funds	Fund (under Virtus)	1866	2/28/2017

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Virtus Real Estate, LLC - Virtus Real Estate, LLC</b>	Fund (under Virtus)	<b>1799</b>	2/28/2017
<b>Vistra USA, LLC - Clarity Diversified Alternatives Portfolio Management, LTD</b>	Fund (under Vistra)	<b>9895</b>	5/17/2016
<b>Vistra USA, LLC - Clarity Private Income Portfolio LP</b>	Fund (under Vistra)	<b>5140</b>	9/23/2016
<b>Vistra USA, LLC - Granite Springs Total Return Master Fund LP</b>	Fund (under Vistra)	<b>5138</b>	9/23/2016
<b>Vistra USA, LLC (formerly Orangefield Columbox Avenue Consulting, LLC)</b>	Fund Administrator	<b>9447</b>	6/29/2016
<b>Vivaldi Capital Management, LLC</b>	Fund	<b>8152</b>	4/19/2017
<b>Voyager Pacific Opportunity Fund II, LLC</b>	Fund	<b>9300</b>	3/2/2016
<b>W. P. Carey &amp; Co. LLC</b>	Fund Administrator	<b>3663</b>	3/21/2012
<b>W. P. Carey &amp; Co. LLC - Carey European Student Housing Fund I, LP</b>	Fund (under WPC)	<b>9446</b>	6/22/2016
<b>W. P. Carey &amp; Co. LLC - Carey Watermark Investors 2 Inc. (CWI2)</b>	Fund (under WPC)	<b>6854</b>	3/2/2015
<b>W. P. Carey &amp; Co. LLC - Carey Watermark Investors Inc. (CWI)</b>	Fund (under WPC)	<b>3667</b>	3/21/2012
<b>W. P. Carey &amp; Co. LLC - Corporate Property Associates 15 Inc. (CPA:15)</b>	Fund (under WPC)	<b>3672</b>	3/21/2012
<b>W. P. Carey &amp; Co. LLC - Corporate Property Associates 16 Inc. - Global Inc. (CPA:16 - Global)</b>	Fund (under WPC)	<b>3678</b>	3/21/2012

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>W. P. Carey &amp; Co. LLC - Corporate Property Associates 17 Inc. - Global Inc. (CPA:17 - Global)</b>	Fund (under WPC)	<b>3679</b>	3/21/2012
<b>W. P. Carey &amp; Co. LLC - Corporate Property Associates 18 - Global Inc. (CPA: 18 - Global)</b>	Fund (under WPC)	<b>1035</b>	4/29/2013
<b>Walton International Group (USA), Inc.</b>	Fund	<b>3472</b>	10/21/2013
<b>Waypoint Residential, LLC</b>	Fund	<b>1482</b>	3/17/2014
<b>Wilshire Finance Partners, Inc.</b>	Fund Administrator	<b>2256</b>	9/6/2016
<b>Wilshire Finance Partners, Inc. - WFP Income Fund, LLC</b>	Fund (under Wilshire)	<b>5108</b>	9/16/2016
<b>Woodfield Fund Administration LLC</b>	Fund Administrator	<b>1795</b>	9/21/2012
<b>Woodfield Fund Administration LLC - MCM Fund LP.</b>	Fund (under Woodfield)	<b>6865</b>	3/25/2015
<b>Woodfield Fund Administration LLC - Port Capital MLP Fund, LP</b>	Fund (under Woodfield)	<b>9896</b>	1/22/2016
<b>Woodfield Fund Administration LLC - Port Capital Partners Multi Cap Fund, LP</b>	Fund (under Woodfield)	<b>9897</b>	1/22/2016
<b>Woodfield Fund Administration LLC - Port Capital Small Cap Fund, LP</b>	Fund (under Woodfield)	<b>9899</b>	1/22/2016
<b>Woodfield Fund Administration LLC - RD Legal Funding Offshore, LTD</b>	Fund (under Woodfield)	<b>1747</b>	11/21/2014
<b>Woodfield Fund Administration LLC - T2 Opportunity Fund III LP</b>	Fund (under Woodfield)	<b>8014</b>	10/27/2016

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Woodfield Fund Administration LLC - T2 Opportunity Fund III(AI) LP</b>	Fund (under Woodfield)	<b>7405</b>	10/27/2016
<b>Woodfield Fund Administration LLC - The South Georgia Equity Income Fund LP</b>	Fund (under Woodfield)	<b>4610</b>	9/27/2016
<b>Woodfield Fund Administration LLC - The South Georgia Partners, LP</b>	Fund (under Woodfield)	<b>6718</b>	9/27/2016
<b>Woodfield Fund Administration LLC - Advisory Research</b>	Fund (under Woodfield)	<b>1651</b>	6/3/2014
<b>Woodfield Fund Administration LLC - Port Capital Partners Multi Cap Fund II LP</b>	Fund (under Woodfield)	<b>8505</b>	4/7/2017
<b>Woodfield Fund Administration LLC - Promus Access II LP</b>	Fund (under Woodfield)	<b>8517</b>	4/17/2017
<b>Woodfield Fund Administration LLC - RD Legal Funding Partners, LP</b>	Fund (under Woodfield)	<b>1746</b>	11/21/2014
<b>Woodfield Fund Administration LLC - T2 Opportunity Fund IV, LP</b>	Fund (under Woodfield)	<b>2968</b>	10/1/2014
<b>Woodfield Fund Administration LLC - TerraCap Partners II (HNW) LP</b>	Fund (under Woodfield)	<b>7332</b>	1/24/2017
<b>Woodfield Fund Administration LLC - TerraCap Partners III (HNW) LP</b>	Fund (under Woodfield)	<b>7511</b>	1/24/2017
<b>Woodfield Fund Administration LLC - TerraCap Partners IV (HNW) LP</b>	Fund (under Woodfield)	<b>3502</b>	1/24/2017
<b>Woodfield Fund Administration LLC - TerraCap Partners LP</b>	Fund (under Woodfield)	<b>7342</b>	1/24/2017
<b>Woodfield Fund Administration LLC - The Plato Fund, L.P.</b>	Fund (under Woodfield)	<b>1851</b>	10/30/2012

## AIP Member List (Manufacturers - Funds / Fund Administrators)

Name	Participant Type	NSCC AIP Number	Membership Live Date
<b>Woodfield Fund Administration LLC/ Port Capital Partners Micro Cap Fund LP</b>	Fund (under Woodfield)	<b>0916</b>	11/8/2017
<b>Woodfield Fund Administration LLC/Cicero Real Estate Ventures I LLC</b>	Fund (under Woodfield)	<b>3980</b>	11/19/2018
<b>Woodfield Fund Administration LLC/Port Capital Renewable Energy Infrastructure(REI) Fund, LP</b>	Fund (under Woodfield)	<b>3621</b>	11/30/2017
<b>Woodfield Fund Administration LLC/Port Capital Small Cap Fund II LP</b>	Fund (under Woodfield)	<b>3908</b>	9/18/2018
<b>Woodfield Fund Administration LLC/RSP Partners LP</b>	Fund (under Woodfield)	<b>5932</b>	4/6/2018
<b>Woodfield Fund Administration LLC/Segall Bryant &amp; Hamill Private Opportunities Fund, LP</b>	Fund (under Woodfield)	<b>3964</b>	11/13/2018
<b>Woodfield Fund Administration, LLC/The S&amp;P 500 Index Equally Weighted Fund LP</b>	Fund (under Woodfield)	<b>3602</b>	8/15/2018
<b>Yankee Advisers LLC</b>	Fund	<b>1885</b>	11/29/2013
<b>Z Capital Credit Partners, LLC</b>	Fund	<b>9876</b>	12/30/2015

## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
Alternatives Direct Group, LLC	Fund	1480	2/12/2014	9/18/2017
Aviara Residential Advisors, LLC	Fund	6862	4/8/2015	4/13/2018
Blue Alternative Asset Management LLC	Fund	3405	7/9/2010	9/1/2015
Burlington Capital Multifamily Equity Fund VI, LLC	Fund	1546	1/31/2018	1/31/2018
Capital Integration Systems {CAIS} - Ascend Funds	Fund (under CAIS)	1837	9/27/2012	6/18/2018
Capital Integration Systems {CAIS} - Partners Funds	Fund (under CAIS)	1844	9/27/2012	6/18/2018
CAPITAL INTEGRATION SYSTEMS LLC/CAIS ACCESS FUND - BCRE I LP	Fund (under CAIS)	1430	5/1/2018	6/18/2018
CAPITAL INTEGRATION SYSTEMS LLC/CAIS Apollo Hybrid Value Access Fund LP	Fund (under CAIS)	1491	5/1/2018	6/18/2018
CAPITAL INTEGRATION SYSTEMS LLC/CAIS WorldQuant Millennium WMQS GEAE LLC	Fund (under CAIS)	1493	5/1/2018	6/18/2018
CAPITAL INTEGRATION SYSTEMS LLC/CAIS WorldQuant Millennium WMQS GEAE Ltd	Fund (under CAIS)	1779	5/1/2018	6/18/2018
DST Market Services , LLC	Firm	6982	7/24/2015	4/28/2017
DST Market Services , LLC (EF Legacy)	Firm	9395	5/16/2016	4/28/2017
DST Market Services , LLC (Kingsbury Capital)	Firm	9845	11/19/2015	4/28/2017
Equinox Alternative Investment Services Holdings Ltd/ChapelGate Credit Opportunity Fund Limited - Class B-1 Shares	Fund (under Equinox)	3896	12/1/2017	12/12/2017
Equinox Alternative Investment Services Holdings Ltd/ChapelGate Credit Opportunity Fund Limited - Class B-2 Shares	Fund (under Equinox)	4138	12/1/2017	12/12/2017
Fairway America, LLC - DLP Fixed Fund I LLC	Fund (under Fairway)	9365	3/18/2016	12/30/2016
Fairway America, LLC - DLP Income & Growth Fund I LLC	Fund (under Fairway)	9364	3/18/2016	12/30/2016

## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
Fairway America, LLC - DLP Lending Fund LLC	Fund (under Fairway)	9363	3/18/2016	12/30/2016
Gemini Fund Services, LLC - Central Park Group JPM Alternatives Strategy Fund, LLC	Fund (under Gemini)	3589	2/22/2012	6/13/2018
Gemini Fund Services, LLC - Central Park Group Multi Event Fund	Fund (under Gemini)	3587	2/22/2012	6/13/2018
Gemini Fund Services, LLC - Empire at Arcadia Glen LLC	Fund (under Gemini)	8051	12/30/2014	4/5/2016
Gemini Fund Services, LLC - Princeton Private Equity Fund	Fund (under Gemini)	6873	4/8/2015	6/13/2018
Gemini Fund Services, LLC - Total Income+ Real Estate Fund	Fund (under Gemini)	6887	4/17/2015	6/13/2018
Gemini Fund Services, LLC - Triton Pacific Investment Corporation, Inc.	Fund (under Gemini)	9833	10/16/2015	6/13/2018
GP Feeder Fund Management, LLC	Fund	1849	10/30/2012	2/6/2017
GreatLakes Fund Solutions, Inc/ Clear Capital, LLC	Fund (under Great Lakes)	9859	11/27/2015	5/8/2018
GreatLakes Fund Solutions, Inc/ KDM Investor	Fund (under Great Lakes)	0485	8/18/2016	5/8/2018
GreatLakes Fund Solutions, Inc/ Mascia Development, LLC	Fund (under Great Lakes)	9384	4/21/2016	5/8/2018
GreatLakes Fund Solutions, Inc/ Regional Investment & Management	Fund (under Great Lakes)	9385	4/21/2016	5/8/2018
GreatLakes Fund Solutions, Inc/ Republic Properties Corporation	Fund (under Great Lakes)	9318	2/19/2016	5/8/2018
Grubb & Ellis Solutions	Fund Administrator	5415	11/2/2010	6/1/2012
Grubb & Ellis Solutions - Grubb & Ellis Healthcare REIT II, INC	Fund	3465	11/2/2010	6/1/2012
Guggenheim Investment Advisors, LLC	Fund	1792	10/30/2012	8/21/2018
Harvard Property Trust, LLC - Behringer Harvard REIT I, Inc.	Fund (under HPT)	1622	3/22/2012	7/10/2015



## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
HedgeCount, LLC	Fund Administrator	3487	8/23/2011	10/4/2013
HedgeCount, LLC - Hutchinson Capital Partners, LLC	Fund (under HedgeCount)	3489	8/23/2011	1/4/2013
Huntington Asset Services, Inc.	Fund Administrator	3581	10/10/2012	4/13/2018
Huntington Asset Services, Inc. - Central Park Group Activist Fund, LLC	Fund (under Huntington)	1838	10/10/2012	2/24/2015
Huntington Asset Services, Inc. - Central Park Group Catalyst Equity Fund, LLC	Fund (under Huntington)	1839	10/10/2012	4/13/2018
Huntington Asset Services, Inc. - Central Park Group WHM Wolf Creek Fund, LLC	Fund (under Huntington)	1843	10/10/2012	2/24/2015
IFC Holdings, Inc.	Firm	1062	5/23/2013	1/25/2018
Industrial Income Trust	Fund	3495	2/1/2012	10/4/2017
Inland Real Estate Investment Corporation - Inland American Real Estate Trust, Inc.	Fund (under Inland)	1640	3/23/2012	9/19/2014
Inland Real Estate Investment Corporation - Inland Diversified Real Estate Trust, Inc.	Fund (under Inland)	1643	3/23/2012	9/19/2014
Investment Centers of America, Inc.	Firm	1868	4/16/2013	1/25/2018
J.J.B. Hilliard, W.L. Lyons, LLC	Firm	2647	12/29/2014	6/30/2017
KBR Capital Partners, LLC	Fund	1861	11/19/2012	10/15/2014
Kenmar -- KMP Futures Fund I, LLC	Fund	3483	2/22/2011	9/18/2017
Kenmar -- World Monitor Trust III Series J	Fund	3486	2/22/2011	9/18/2017
Latmark Asset Management, LLC (changed to AdCap Asset Mgmt and retired)	Fund	9092	2/10/2014	10/20/2015
MacQuarie Asset Management Inc.	Fund	3849	5/24/2011	1/12/2018

## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
<b>Morris Global Strategies (Cayman). LTD</b>	Fund	<b>9466</b>	7/12/2016	5/16/2018
<b>MVP REIT, Inc.</b>	Fund	<b>8697</b>	10/4/2016	2/6/2018
<b>National Planning Corporation</b>	Firm	<b>3422</b>	5/23/2013	1/25/2018
<b>Plymouth Opportunity REIT, Inc.</b>	Fund	<b>1867</b>	12/24/2012	10/16/2018
<b>Rafferty Capital Markets LLC/Forefront Income Trust</b>	Fund (under Rafferty Capital)	<b>1082</b>	9/1/2017	8/15/2018
<b>Realty Capital Securities, LLC</b>	Fund Administrator	<b>3833</b>	5/15/2012	3/15/2017
<b>Realty Capital Securities, LLC - American Energy Capital Partners, LP</b>	Fund (under Realty Capital)	<b>1650</b>	5/30/2014	3/14/2017
<b>Realty Capital Securities, LLC - American Realty Capital - Retail Centers of America II, Inc.</b>	Fund (under Realty Capital)	<b>1758</b>	8/18/2014	3/10/2017
<b>Realty Capital Securities, LLC - American Realty Capital Daily Net Asset Value Trust, Inc</b>	Fund (under Realty Capital)	<b>1694</b>	5/15/2012	3/13/2017
<b>Realty Capital Securities, LLC - American Realty Capital Global Trust II, Inc</b>	Fund (under Realty Capital)	<b>1761</b>	8/18/2014	3/10/2017
<b>Realty Capital Securities, LLC - American Realty Capital Global Trust, Inc.</b>	Fund (under Realty Capital)	<b>1860</b>	11/13/2012	3/9/2017
<b>Realty Capital Securities, LLC - American Realty Capital Healthcare Trust II, Inc.</b>	Fund (under Realty Capital)	<b>1046</b>	4/29/2013	3/15/2017
<b>Realty Capital Securities, LLC - American Realty Capital Healthcare Trust III, Inc</b>	Fund (under Realty Capital)	<b>1759</b>	8/18/2014	3/10/2017
<b>Realty Capital Securities, LLC - American Realty Capital Healthcare Trust, Inc</b>	Fund (under Realty Capital)	<b>1682</b>	5/15/2012	3/13/2017
<b>Realty Capital Securities, LLC - American Realty Capital Hospitality REIT, Inc.</b>	Fund (under Realty Capital)	<b>1478</b>	1/16/2014	3/14/2017
<b>Realty Capital Securities, LLC - American Realty Capital New York City REIT, Inc.</b>	Fund (under Realty Capital)	<b>1649</b>	5/30/2014	3/14/2017
<b>Realty Capital Securities, LLC - American Realty Capital New York Recovery REIT, Inc</b>	Fund (under Realty Capital)	<b>1688</b>	5/15/2012	3/13/2017

## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
Realty Capital Securities, LLC - American Realty Capital Retail Centers of America, Inc	Fund (under Realty Capital)	1692	5/15/2012	3/13/2017
Realty Capital Securities, LLC - American Realty Capital Trust III, Inc	Fund (under Realty Capital)	3834	5/15/2012	3/9/2017
Realty Capital Securities, LLC - American Realty Capital Trust IV, Inc.	Fund (under Realty Capital)	1859	11/13/2012	3/9/2017
Realty Capital Securities, LLC - American Realty Capital Trust IV, Inc. OP Units	Fund (under Realty Capital)	1093	5/17/2013	3/14/2017
Realty Capital Securities, LLC - American Realty Capital Trust V, Inc.	Fund (under Realty Capital)	1042	4/29/2013	3/15/2017
Realty Capital Securities, LLC - ARC Realty Finance Trust, Inc.	Fund (under Realty Capital)	1053	4/29/2013	3/15/2017
Realty Capital Securities, LLC - BDCA SENIOR CAPITAL, INC.	Fund (under Realty Capital)	1763	8/18/2014	3/9/2017
Realty Capital Securities, LLC - Business Development Corporation of America	Fund (under Realty Capital)	1690	5/15/2012	3/13/2017
Realty Capital Securities, LLC - Phillips Edison - ARC Grocery Center REIT, II	Fund (under Realty Capital)	1476	1/16/2014	3/14/2017
Realty Capital Securities, LLC - Phillips Edison - ARC Shopping Center REIT, Inc	Fund (under Realty Capital)	1732	5/15/2012	3/10/2017
Realty Capital Securities, LLC - United Development Funding Income Fund V, LLC	Fund (under Realty Capital)	2700	8/29/2014	3/9/2017
Realty Capital Securities, LLC - United Development Funding IV, LLC	Fund (under Realty Capital)	1734	5/15/2012	3/10/2017
SEI Investments Distribution Company/Winton Diversified Opportunities Fund	Fund (Under SEI)	6022	12/21/2017	10/26/2018
SII Investments, Inc.	Firm	1081	5/23/2013	1/25/2018
South Georgia Capital, LLC (James Caird Capital Partners)	Fund	3414	4/11/2011	11/4/2014
SW Asset Management, LLC	Fund	1132	6/25/2013	11/28/2017
Theorem Fund Services, LLC / Ironwood Funding 2011 LLC	Fund (under Theorem)	1247	3/10/2017	5/10/2017

## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
<b>Theorem Fund Services, LLC / Ironwood Funding 2012 LLC</b>	Fund (under Theorem)	<b>1294</b>	3/10/2017	5/10/2017
<b>Theorem Fund Services, LLC / Ironwood Funding V LLC</b>	Fund (under Theorem)	<b>2371</b>	8/11/2016	5/10/2017
<b>Tower Fund Services, LLC</b>	Fund Administrator	<b>1158</b>	8/8/2013	6/30/2015
<b>Tower Fund Services, LLC - Bakken Select Fund I, LLC</b>	Fund (under Tower Fund)	<b>1159</b>	8/8/2013	6/30/2015
<b>Tower Fund Services, LLC - Global Opportunities Capital Appreciation Fund LLC</b>	Fund (under Tower Fund)	<b>1631</b>	5/1/2014	6/30/2015
<b>Trust Company of America</b>	Firm	<b>3133</b>	6/3/2015	12/20/2017
<b>UMB Fund Services, Inc. - Corsair Opportunity Fund</b>	Fund (under UMB)	<b>3139</b>	11/6/2014	2/21/2017
<b>UMB Fund Services, Inc. - PENN Capital Management</b>	Fund (under UMB)	<b>1869</b>	12/24/2012	11/18/2013
<b>Vistra USA, LLC - Mercury 400 Capital Credit Opportunities Fund</b>	Fund (under Vistra)	<b>5087</b>	9/22/2016	9/30/2016
<b>Vistra USA, LLC - Mercury Calera Capital Partners V Fund</b>	Fund (under Vistra)	<b>5088</b>	9/22/2016	9/30/2016
<b>Vistra USA, LLC - Mercury DAG Special Opportunities Fund</b>	Fund (under Vistra)	<b>5089</b>	9/22/2016	9/30/2016
<b>Vistra USA, LLC - Mercury DSC Thomson Reuters PE Index Partners Fund</b>	Fund (under Vistra)	<b>5093</b>	9/22/2016	9/30/2016
<b>Vistra USA, LLC - Mercury DSC Thomson Reuters PE Index Partners Fund</b>	Fund (under Vistra)	<b>5094</b>	9/22/2016	9/30/2016
<b>Vistra USA, LLC - Mercury Walton Street Real Estate Fund VIII</b>	Fund (under Vistra)	<b>5097</b>	9/16/2016	9/22/2016
<b>W. P. Carey &amp; Co. LLC - Carey Credit Income Fund - I</b>	Fund (under WPC)	<b>9856</b>	11/16/2015	2/27/2018
<b>W. P. Carey &amp; Co. LLC - Carey Credit Income Fund - I (Advisory 1)</b>	Fund (under WPC)	<b>9881</b>	1/6/2016	2/27/2018
<b>W. P. Carey &amp; Co. LLC - Carey Credit Income Fund - I (Advisory 2)</b>	Fund (under WPC)	<b>9882</b>	1/6/2016	2/27/2018

## AIP Member List - Retired Accounts

Name	Participant Type	NSCC AIP Number	Membership Live Date	Retired Date
<b>W. P. Carey &amp; Co. LLC - Carey Credit Income Fund - I (Advisory 3)</b>	Fund (under WPC)	<b>9883</b>	1/6/2016	3/8/2018
<b>W. P. Carey &amp; Co. LLC - Carey Credit Income Fund 2016 T</b>	Fund (under WPC)	<b>9855</b>	11/16/2015	2/27/2018
<b>W. P. Carey &amp; Co. LLC - Carey Credit Income Fund 2017 T</b>	Fund (under WPC)	<b>7406</b>	1/17/2017	2/27/2018
<b>Woodfield Fund Administration LLC - T2 Strategic Real Estate Income Fund, LLC</b>	Fund (under Woodfield)	<b>1848</b>	4/30/2014	8/22/2017