

DTCC MUTUAL FUND SERVICES 2019 HOLIDAY SCHEDULE

The following is a summary of 2019 holidays and the changes in operations and schedules for Mutual Fund Services, an offering of DTCC's National Securities Clearing Corporation. Please note that this schedule applies to Mutual Fund Services only. If you have any questions, please contact your relationship manager or Mutual Fund Services at (212) 855-8877.

LEGEND: C-Closed O-Open N-No Y-Yes

Holiday	Week Day	Date	INDUSTRY			DTCC										Additional Information		
			NYSE	NASDAQ	NY Banks	NSCC	ACATS-Fund/SERV®		DTCC Payment aXis®		Fund/SERV®		Networking		MFPS - I & II			
							Accept Activity	Settlement	Accept Activity	Settlement	Accept Activity	Settlement	Accept Activity	Settlement	Accept Activity			
NEW YEAR'S DAY	Tuesday	1/1/19	C	C	C	C	N	N	N	N	N	N	N	N	N	N		
MARTIN LUTHER KING, JR.	Monday	1/21/19	C	C	C	C	N	N	N	N	N	N	N	N	N	N		
PRESIDENTS' DAY	Monday	2/18/19	C	C	C	C	N	N	N	N	N	N	N	N	N	N		
GOOD FRIDAY	Friday	4/19/19	C	C	O	C	N	N	N	N	N	N	N	N	N	N	Networking, DTCC Payment aXis and Omni/SERV system WILL be available for processing on Saturday, April 20, 2019.	
MEMORIAL DAY	Monday	5/27/19	C	C	C	C	N	N	N	N	N	N	N	N	N	N		
INDEPENDENCE DAY	Thursday	7/4/19	C	C	C	C	N	N	N	N	N	N	N	N	N	N		
LABOR DAY	Monday	9/2/19	C	C	C	C	N	N	N	N	N	N	N	N	N	N		
COLUMBUS DAY	Monday	10/14/19	O	O	C	O	Y	N	Y	N	Y	N	Y	N	Y	N	Y	ACATS-Fund/SERV® interface activity processed on Monday, October 14, 2019 will settle on Tuesday, October 15, 2019. Please note that funds will not receive Records Type 018 records on Friday, October 11, 2019 but will on Monday, October 14, 2019.
																		Networking and DTCC Payment aXis® – No settlement will occur on Monday, October 14, 2019. Activity submitted on Friday, October 11, 2019 through Monday, October 14, 2019 will settle on Tuesday, October 15, 2019.
																		Fund/SERV® – Tuesday, October 15, 2019 and Wednesday, October 16, 2019 - Double Day Settlement. Clients will receive a blank 364 Settlement file on Monday, October 14, 2019. Clients will receive a blank 365 Settlement file on Friday, October 11, 2019.

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							Accept Activity	Settlement	Accept Activit	Settlement	Accept Activity	Settlement	Accept Activity	Settlement	Accept Activity	
VETERANS DAY	Monday	11/11/19	O	O	C	O	Y	N	Y	N	Y	N	Y	N	Y	<p>ACATS-Fund/SERV® interface activity processed on Monday, November 11, 2019 will settle on Tuesday, November 12, 2019. Please note that funds will not receive Records Type 018 records on Friday, November 8, 2019 but will on Monday, November 11, 2019.</p> <p>Networking and DTCC Payment aXis® - No settlement will occur on Monday, November 11, 2019. Activity submitted on Friday, November 8, 2019 through Monday, November 11, 2019 will settle on Tuesday, November 12, 2019.</p> <p>Fund/SERV® -Tuesday, November 12, 2019 and Wednesday, November 13, 2019 - Double Day Settlement. Clients will receive a blank 364 Settlement file on Monday, November 11, 2019 and a blank 365 Settlement file on Friday, November 8, 2019.</p>
THANKSGIVING DAY	Thursday	11/28/19	C	C	C	C	N	N	N	N	N	N	N	N	N	
CHRISTMAS DAY	Wednesday	12/25/19	C	C	C	C	N	N	N	N	N	N	N	N	N	
NEW YEAR'S DAY	Wednesday	1/1/20	C	C	C	C	N	N	N	N	N	N	N	N	N	

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COLUMBUS DAY - Monday 10/14/19		
The following changes will be made to Fund/SERV [®] output schedules:		
T+1 Settlement Trade Date (364 File)	File Available On	Settlement Date
Fri - Oct. 11	Tues - Oct. 15	Tues - Oct. 15**
Mon - Oct. 14*	Tues - Oct. 15	Tues - Oct. 15**
Tues - Oct. 15	Wed - Oct. 16	Wed - Oct. 16

*Clients will receive a blank 364 Settlement file on Monday, October 14, 2019.
 **Double Day Settlement

T+2 Settlement Trade Date (365 File)	File Available On	Settlement Date
Thurs - Oct. 10	Mon - Oct. 14	Tues - Oct. 15
Fri - Oct. 11*	Tues - Oct. 15	Wed - Oct. 16**
Mon - Oct. 14	Tues - Oct. 15	Wed - Oct. 16**

*Clients will receive a blank 365 Settlement file on Friday, October 11, 2019.
 **Double Day Settlement

VETERANS DAY - Monday 11/11/19		
The following changes will be made to Fund/SERV [®] output schedules:		
T+1 Settlement Trade Date (364 File)	File Available On	Settlement Date
Fri - Nov. 8	Tues - Nov. 12	Tues - Nov. 12**
Mon - Nov. 11*	Tues - Nov. 12	Tues - Nov. 12**
Tues - Nov. 12	Wed - Nov. 13	Wed - Nov. 13

*Clients will receive a blank 364 Settlement file on Monday, November 11, 2019.
 **Double Day Settlement

T+2 Settlement Trade Date (365 File)	File Available On	Settlement Date
Thurs - Nov. 7	Mon - Nov. 11	Tues - Nov. 12
Fri - Nov. 8*	Tues - Nov. 12	Wed - Nov. 13**
Mon - Nov. 11	Tues - Nov. 12	Wed - Nov. 13**

*Clients will receive a blank 365 Settlement file on Friday, November 8, 2019.
 **Double Day Settlement

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