



Guide to SMART Charges



DTCC

*The Depository Trust &
Clearing Corporation*

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How to Use This Guide

This user's guide presents in a readable format information on pass-through charges related to Securely Managed and Reliable Technology (SMART), which is operated by a subsidiary of The Depository Trust & Clearing Corporation (DTCC).

The guide is designed to be used primarily in electronic form so that users may easily reconcile their accounts in conjunction with DTCC's electronic billing system. Underlined entries are links to either internal cross-references or to an entry in the glossary at the end of the guide. The guide may also be printed on hard copy; however, the information may not be as easily discoverable in that format as it is electronically.

Securely Managed and Reliable Technology (SMART) Services

DTCC charges customers for SMART equipment and services at cost.

SMART services are offered in three tiers:

Standard Customers with two or more T1 connections between all DTCC facilities and two or more of the customer's most capable and most geographically diverse operating facilities. All connections at all times. The customer is responsible for routing across SMART connections in the event of failure.

By exception Customers without two capable, geographically diverse facilities or without the ability to route reliably among facilities must install backup circuits of equal bandwidth for each primary connection.

Optional services Customers electing to access DTCC services via [SFTI](#) will pay a fee set to recover DTCC's costs associated with the operation of interconnectivity between [SMART](#) and [SFTI](#).

DTCC will provide SMART backup circuits to customers desiring additional levels of protection. A backup router and [CSU](#) are also available.

CHARGE NAME	AMOUNT (\$)	CONDITIONS	ID
Circuit and Equipment Charges			
Customer site equipment – router and CSU	250.00	Per router	5000
Out of Band management solution	80.00	Per Site	5103
Connection from Continental United States			
T1 circuit at 128 Kbps burst rate	1,823.00	Per circuit, plus customer site equipment charge (ID 5000)	5001
T1 circuit at 256 Kbps burst rate	2,228.00	Per circuit, plus customer site equipment charge (ID 5000)	5002
T1 circuit at 384 Kbps burst rate	2,768.00	Per circuit, plus customer site equipment charge (ID 5000)	5003
T1 circuit at 512 Kbps burst rate	3,173.00	Per circuit, plus customer site equipment charge (ID 5000)	5004
T1 circuit at 768 Kbps burst rate	3,780.00	Per circuit, plus customer site equipment charge (ID 5000)	5005
T3 circuit at 3 Mbps burst rate	6,210.00	Per circuit, plus customer site equipment charge (ID 5000)	5039
T3 circuit at 5 Mbps burst rate	10,260.00	Per circuit, plus customer site equipment charge (ID 5000)	5006

CHARGE NAME	AMOUNT (\$)	CONDITIONS	ID
T3 circuit at 10 Mbps burst rate	14,850.00	Per circuit, plus customer site equipment charge (ID 5000)	5040
T3 circuit at 20 Mbps burst rate	18,225.00	Per circuit, plus customer site equipment charge (ID 5000)	5108
T3 circuit at 30 Mbps burst rate	20,250.00	Per circuit, plus customer site equipment charge (ID 5000)	5110
T3 circuit at 40 Mbps burst rate	22,275.00	Per circuit, plus customer site equipment charge (ID 5000)	5111
T3 circuit at 50 Mbps burst rate	22,275.00	Per circuit, plus customer site equipment charge (ID 5000)	5109
Connection—International			
T1 circuit at 128 Kbps burst rate	2,498.00	Per circuit, plus customer site equipment charge (ID 5000)	5007
T1 circuit at 256 Kbps burst rate	2,903.00	Per circuit, plus customer site equipment charge (ID 5000)	5008
T1 circuit at 384 Kbps burst rate	3,848.00	Per circuit, plus customer site equipment charge (ID 5000)	5009
T1 circuit at 512 Kbps burst rate	4,658.00	Per circuit, plus customer site equipment charge (ID 5000)	5010
T1 circuit at 768 Kbps burst rate	5,873.00	Per circuit, plus customer site equipment charge (ID 5000)	5011
T3 circuit at 3 Mbps burst rate	8,370.00	Per circuit, plus customer site equipment charge (ID 5000)	5041
T3 circuit at 5 Mbps burst rate	13,770.00	Per circuit, plus customer site equipment charge (ID 5000)	5012
T3 circuit at 10 Mbps burst rate	20,520.00	Per circuit, plus customer site equipment charge (ID 5000)	5042
Special charges			
Domestic			
Special bandwidth	Varies	Per circuit, in addition to base cost of domestic T3 circuit	5033
Special diversity requirements	Varies	Per circuit, in addition to base cost of domestic T3 circuit	5034
Special loop requests	Varies	Per circuit, in addition to base cost of domestic T3 circuit	5035
International			
Special bandwidth	Varies	Per circuit, in addition to base cost of international T3 circuit	5036
Special diversity requirements	Varies	Per circuit, in addition to base cost of international T3 circuit	5037
Special loop requests	Varies	Per circuit, in addition to base cost of international T3 circuit	5038
Installation			
One-time vendor charge for service installation in continental United States	800.00	Per circuit	5013

CHARGE NAME	AMOUNT (\$)	CONDITIONS	ID
One-time vendor charge for service International service installation	2,100.00	Per circuit	5014
One-time vendor charge to expedite installation	At cost	Per circuit	5016
One-time charge to ship equipment	At cost	Per shipment	5017

Legacy Connectivity

Circuit with less than 128 Kbps burst rate	1,688.00	Per circuit, plus customer site equipment charge (ID 5000)	5020
ISDN dial backup	169.00	Per connection	5021

Optional Backup Circuits and Equipment

Spare CSU and router	250.00	Per set	5022
128 ISDN dialup	250.00	Per circuit	5023

SMART/Dialer

SMART Dialer fees

Monthly user ID Fee	40.00	Per user ID	5025
Hourly usage fee	1.38	Per hour per user ID	5026

SMART/Dialer surcharges

Use of 800 numbers	10.00	Per hour or fraction thereof, per user ID	5029
Use of ISDN connections	10.00	Per hour or fraction thereof, per user ID	5030

Other Connections

Access via SFTI to DTCC applications	540.00	Per month	5024
Access via BT Radianz to DTCC applications (domestic)	203.00	Per month	5043
Access via BT Radianz to DTCC applications (international)	203.00	Per month	5048

CHARGE NAME	AMOUNT (\$)	CONDITIONS	ID
<i>ANIRA fees</i>			
Monthly user ID Fee	75.00	Per user ID	5104
<i>Netgate fees</i>			
Netgate Monthly user fee	399.00	Per Gateway	5105
One Time Charge activation	250.00	Per Gateway	5106
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SWIFT Communication			
Communications, SWIFT domestic	Varies	Per unit	5101
Communications, SWIFT international	Varies	Per unit	5102

SMART Glossary

TERM	DEFINITION
CSU	channel service unit
DTCC	The Depository Trust & Clearing Corporation
ECCP	European Central Counterparty, also known as EuroCCP
ISDN	Integrated Services Digital Network or Isolated Subscriber Digital Network (ISDN), a circuit-switched telephone network system, designed to allow digital transmission of voice and data over ordinary telephone copper wires
SFTI	Secure Financial Transaction Infrastructure (operated by the Securities Industry Automation Corporation)
SMART	Securely Managed and Reliable Technology (operated by DTCC)
SWIFT	Society for Worldwide Interbank Financial Telecommunication, company that operates a worldwide financial messaging network