

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#: 6886**  
**P&S# 6456**  
**Date: October 9, 2009**  
**To: ALL PARTICIPANTS**  
**Attention: MANAGING PARTNER/OFFICER, OPERATIONS  
PARTNER/OFFICER, COMPLIANCE OFFICER**  
**From: PRODUCT MANAGEMENT**  
**Subject: Implementation Date for Use of Correspondent Data in RTTM  
Municipal Bond Trade Matching & Permitting Trade Modifies of  
Unmatched Corporate, Municipal & UIT (CMU) Trades After  
Submission Date**

As announced in NSCC Important Notice A#6774 P&S#6344, dated January 15, 2009, the CMU RTTM system will make use of Participants' Correspondent data to match Municipal bond trades and allow modifications of unmatched, non Syndicate, CMU trades after the initial trade submission date.

[http://www.dtcc.com/downloads/legal/imp\\_notices/2009/nsc/a6774.pdf](http://www.dtcc.com/downloads/legal/imp_notices/2009/nsc/a6774.pdf)

NSCC will put these changes into production on November 16, 2009.

All CMU trades processed on or after November 16, 2009 will be subject to the new processing. Unmatched trades with a T/D of November 13, 2009 or earlier that are processed on or after November 16, 2009 will be subject to the new matching rules.

If you have any questions on CMU RTTM processing, please contact DTCC's Relationship Services group (800-422-0582, [rmsupport@dtcc.com](mailto:rmsupport@dtcc.com)) or the undersigned (212-855-7623, [efanning@dtcc.com](mailto:efanning@dtcc.com))

Ed Fanning  
Director, Product Management