Effective March 2011, NSCC will begin collecting NASDAQ OMX PHLX Transaction Fees through its consolidated settlement system.

NASDAQ OMX PHLX Transaction Fees will be collected on the 23rd calendar day of the month, provided, however, that if the 23rd calendar day falls on a non-NSCC settlement day, NSCC will collect the fees on the immediately following NSCC settlement day. The Settlement Category Code for NASDAQ OMX PHLX Transaction Fees will be #62 with the description of “NASDAQ OMX PHLX REG FEES”.

Please contact NASDAQ OMX PHLX with questions regarding the calculation or amount of these fees.

For any other questions, please call your DTCC Relationship Manager or e-mail the undersigned at ppieczara@dtcc.com.

Pawel Pieczara
Product Management