



Important Notice

National Securities Clearing Corporation

A#: 7554
P&S# 7124
DATE: DECEMBER 18, 2012
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER, P&S MANAGER, CASHIER
MANAGER, DATA PROCESSING MANAGER, FUND/SERV
PARTICIPANTS
FROM: CASHIERING
SUBJECT: OBLIGATION WAREHOUSE RECAPS SCHEDULE FOR 2013

The 2013 dates for National Securities Clearing Corporation (NSCC)'s monthly Reconfirmation and Re-pricing Service (RECAPS) in the Obligation Warehouse (OW) have been re-scheduled. The second RECAPS of each month will now be held closer to the end of the month, as requested by Members. Equities, Municipals, Corporates and UITs that are two business days or older are eligible for NSCC for comparison and re-pricing.

The new dates for each of the RECAPS to be held in 2013 are set forth in the table below.

Processing Date	Settlement Date
January 11, 2013	January 14, 2013
January 30, 2013	January 31, 2013
February 15, 2013	February 19, 2013
February 27, 2013	February 28, 2013
March 15, 2013	March 18, 2013
March 27, 2013	March 28, 2013
April 12, 2013	April 15, 2013
April 29, 2013	April 30, 2013
May 17, 2013	May 20, 2013
May 30, 2013	May 31, 2013
June 14, 2013	June 17, 2013
June 27, 2013	June 28, 2013
July 12, 2013	July 15, 2013
July 30, 2013	July 31, 2013
August 16, 2013	August 19, 2013
August 29, 2013	August 30, 2013
September 13, 2013	September 16, 2013
September 27, 2013	September 30, 2013
October 18, 2013	October 21, 2013

October 30, 2013	October 31, 2013
November 15, 2013	November 18, 2013
November 26, 2013	November 27, 2013
December 13, 2013	December 16, 2013
December 27, 2013	December 30, 2013

Questions regarding Obligation Warehouse can be directed to DTCC Account Executive or Relationship Services at 1-800-422-0582

George Novitsky,
Manager Cashiering