



#:	CBRS004.13
Date:	October 22, 2013
To:	All Cost Basis Reporting Service (CBRS) users
From:	Product Management
Subject:	Revised CBRS Record Layouts for June 2014 enhancements

DTCC plans to change the record layouts for the Cost Basis Reporting Service (CBRS), to further support the transfer of cost basis data for fixed income and option assets.

These changes are scheduled to go into production in June 2014. A future notice will announce specific dates for the production deployment, and for when the new code will be available for participant testing in the Participant Services Environment (PSE).

This Notice describes the various changes to the record layouts. These changes apply to data input and output via the automated mainframe connection, as well as to WebDirect screens and the Upload template. Please review the list of changes below to determine how they will affect your CBRS programming.

The CBRS record layouts can be found on DTCC’s website at:

<http://www.dtcc.com/products/documentation/cs/cbrs.php>

Specifics of the Changes to the Record Layouts

Fields on the Asset Input, Tax Lot Input, Cost Basis Transaction MRO record layouts will change. The following table details the changes. After each name in the **Field** column, there is a code indicating which record layout contains the field: **A** = Asset Input, **T** = Tax Lot Input, **O** = Cost Basis Transaction MRO record layout.

Field	Record	Change
Transaction Type	Asset Input and Tax Lot Input	New Transaction Type 08
Transfer Control Number	Asset Input and Tax Lot Input	New Best Practices
Contra Firm Type	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Submitting Firm Type	Asset Input and Tax Lot Input	New rule for new Transaction Type 08

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Deliverer Customer Account Number	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Put – Call Indicator	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Option Symbol	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Option Expiration Date	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Option Strike Price Integer	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Option Strike Price Decimal	Asset Input and Tax Lot Input	New rule for new Transaction Type 08
Asset Category	Asset Input	New rule for new Transaction Type 08
Settlement Date of Transfer	Asset Input	New rule for new Transaction Type 08
Wash Sale Adjustment Amount	Tax Lot Input	New field
Tax Lot Original Cost	Tax Lot Input	Revised rules and comments
Tax Lot Current Cost	Tax Lot Input	Revised rules and comments
Accrued Market Discount Election	Tax Lot Input	New field
Include Market Discount in Income Election	Tax Lot Input	New field
Interest as OID Election	Tax Lot Input	New field
Amortize Premium Election	Tax Lot Input	New field
Spot Rate Election	Tax Lot Input	New field
Market Discount	Tax Lot Input	New field
Bond Premium	Tax Lot Input	New field
Acquisition Premium	Tax Lot Input	New field
Accrued OID	Tax Lot Input	New field
TO Wash Sale Adjustment Amount Status	Transaction Output	New field
TO Accrue Market Discount Election Status	Transaction Output	New field
TO Include Market Discount in Income Election Status	Transaction Output	New field

TO Interest as OID Election Status	Transaction Output	New field
TO Amortize Premium Election Status	Transaction Output	New field
TO Spot Rate Election Status	Transaction Output	New field
TO Market Discount Status	Transaction Output	New field
TO Bond Premium Status	Transaction Output	New field
TO Acquisition Premium Status	Transaction Output	New field
TO Accrued OID Status	Transaction Output	New field
TO Wash Sale Adjustment Amount	Transaction Output	New field
TO Accrue Market Discount Election	Transaction Output	New field
TO Include Market Discount in Income Election	Transaction Output	New field
TO Interest as OID Election	Transaction Output	New field
TO Amortize Premium Election	Transaction Output	New field
TO Spot Rate Election	Transaction Output	New field
TO Market Discount	Transaction Output	New field
TO Bond Premium	Transaction Output	New field
TO Acquisition Premium	Transaction Output	New field
TO Accrued OID	Transaction Output	New field

Additional Information

Please see the CBRS User Guide to learn more about the service:

<http://www.dtcc.com/products/documentation/cs/cbrs.php>

A copy of this Important Notice can be obtained from DTCC's website:

http://www.dtcc.com/products/documentation/cs/cbrs_notices.php

If you have questions about CBRS, please call your Relationship Manager or the Customer Service Hotline at 888-382-2721, option 6, then option 7, then option 2.