

OTC #: 084

DATE: 05/02/2013

TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER

REORGANIZATION

ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,

MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF

**OTC CLEARED SECURITIES** 

FROM: UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip #	Symbol	Name	Effective Date
ADD				
ADD ***	G5754H102	MRGLF	MACAU RES GRP LTD ORD SHS (VGB)	05/02/2013
ADD ***	G5754H110	MRGPF	MACAU RES GRP LTD CLASS Z WTS (VGB)	05/02/2013
ADD	33738D309	FTSL	FIRST TRUST SENIOR LN FUND	05/02/2013
ADD	46434P103	IXLCF	ISHARES S&P GLOBAL CONSUMER DISCRETIONARY INDEX FUND (CDA)	05/02/2013
ADD	46434Q101	IXLDF	ISHARES S&P GLOBAL INDUSTRIALS FD CAD HEDGED EQ ETF (CDA)	05/02/2013
PORTAL ADI	D			
ADD	151260205	CMTRY	CEMENTOS ARGOS S.A. AD SHS 144A (1 FOR 5 ADS RATIO)(COL)	05/02/2013
ADD	151260304	CMTSY	CEMENTOS ARGOS S.A. ADR SHS REG S (1 FOR 5 ADS RATIO)	05/02/2013
ADD	48125F881	JXPLZ	JPMORGAN CHASE BK N A LONDON BRANCH 144A 3C7 WTS EXP 5/14/13	05/02/2013

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### **DELETE**

DELETE	G0229R108	ALTE	ALTERRA CAPITAL HLDGS LTD COM STK (BMU)	05/02/2013
DELETE ***	J0852S107	CRHDF	CREA HLDGS INC ORD SHS (JPN)	05/02/2013
DELETE	023139108	ABKFQ	AMBAC FINANCIAL GROUP INC.	05/02/2013
DELETE	217202100	CPNO	COPANO ENERGY, L.L.C. REPSNTG LTD PARTNER UNITS	05/02/2013
SEC 12J SUSPENSION	27917B100	ECRD	ECOREADY CORPORATION COM STK	05/02/2013
DELETE	365900109	GNEUF	GARNEAU INC	05/02/2013
DELETE	56086P202	MMUS	MAKEMUSIC INC NEW	05/02/2013
DELETE	651900102	NFCAF	NEWFOUNDLAND CAPITAL LTD CL-A	05/02/2013
DELETE	723443107	PNCLQ	PINNACLE AIRL CORP	05/02/2013
DELETE	92581Q502	VICHF	VICTHOM HUMAN BIONICS INC COM NEW SHS (CANADA)	05/02/2013

#### PORTAL DELETE

DELETE 48124F569 JPKQZ JPMORGAN CHASE BANK N A LONDON 05/02/2013 WTS EXP 7/29/16 144A 3C7

## **MARKET MOVE**

# 12673A306\* CYSBP TO: CYSPRB CYS INVESTMENTS INC PFD SER B (MD) 05/02/2013 (XNAS TO: XNYS)

## **SYMBOL CHANGES**

125902106 CPIC TO: CPICQ	C P I CORP	05/02/2013
16942M207 CHRIE TO: CHRI	CHINA HEALTH RESOURCE, INC. CLASS A COMMON STOCK	05/02/2013
21011T208 CNSVE TO: CNSV	CONSOLIDATION SERVICES, INC. COMMON STOCK	05/02/2013
319460200 FCBNP TO: FBCNP	FIRST CITIZENS BANCORPORATION INC SER C CONV PFD	05/02/2013
36197T103 GWPHV TO: GWPH	GW PHARMACEUTICALS PLC (UK) (SETTLEMENT DATE 5/7/2013)	05/02/2013
38611X108 STIG TO: GPIW	GRAND PERFECTA INC COM STK (NV)	05/02/2013
45824V209 NEOL TO: INSY	INSYS THERAPEUTICS INC COM STK	05/02/2013
56108F109 MPGSE TO: MPGS	MALAYSIA PRO-GUARDIANS SECURITY MANAGEMENT CORPORATION COMMO	05/02/2013
83414A608 SLTZD TO: SLTZ	SOLAR THIN FILMS INC COM STK	05/02/2013
852193101 PACED TO: PACEF	SPYGLASS RESOURCES CORP. COMMON SHARES (CANADA)	05/02/2013
92346W109 AQLVD TO: VRTY	VERITY CORP COMMON STOCK	05/02/2013

## REORGANIZATION

DELETE	W6283U111 PRSAF	PA RESOURCES AB ORD (SWEDEN)			
ADD ***	W6283U228 PRSAF	PA RESOURCES AB ORDINARY SHARES (SWEDEN)	05/02/2013		
DELETE	31527F204 FROI	FERO INDUSTRIES, INC. NEW COMMON SHARES (CO)			
ADD	71715Y102 ROTH	PHARMAROTH LABS INC COM SHS (CO)	05/02/2013		
DELETE	805429107 SVYB	SAVVY BUSINESS SUPPORT INC COM			
ADD	69806B106 PGLO	PAN GLOBAL CORP COM STK (NV)	05/02/2013		
DELETE	98974R502 ZIPZ	ZIPPI NETWORKS INC. COMMON STOCK			
ADD	60739Q104 ZIPZD	MOBILE MATCHMAKING INC COM STK (WY)	05/02/2013		
WHEN ISSUE					

W.I.

GABELLI GLOBAL UTIL & INCOME RTS

ADD

36242L113 GLUXV

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd\_notices@dtcc.com

05/02/2013

<sup>\* 19</sup>C-3 Security rule

<sup>\*\*\*</sup> Foreign Netting Security