



Important Notice
National Securities Clearing Corporation

A#:	7666
P&S #:	7336
Date:	01/10/2014
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, January 10, 2014:
GETCO Execution Services LLC No. 0530
- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:
First Trade Date, January 14, 2014:
Northern Lights Distributors, LLC/Equinox Funds No. 7948
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, January 10, 2014:
UMB Fund Services, Inc. No. 1472
Cortland Fund Services, LLC No. 1473
Cortland Fund Services, LLC No. 1474
- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services as detailed below:
First Trade Date, January 9, 2014:
State Street Bank & Trust Company/NRS/The KP Funds No. 3026
Networking Symbol: KW
Contact: Belynda Crick
Phone: (617) 662-6013

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

- Please update the NSCC Directory dated January 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, January 9, 2014</u> Jane Street Execution Services, LLC	BSSC	0352	JSES	

- Please update the NSCC Directory dated January 2014 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, January 7, 2014</u> Gladowsky Capital Management Corp.	PERS	0443	GCMC	

Delete Last Trade Date, January 8, 2014
KCCI, Ltd.
KCCI, Ltd.

WEDB	0103	KCCI	
WEDB	0103	KCCP	

Delete Last Trade Date, January 10, 2014
Fator Securities LLC
ABN AMRO Clearing Chicago LLC

BPBI	2154	FATO	
APMA	0396	RTSF	

Add First Trade Date, January 21, 2014
Alternative Execution Group

LGNT	0052	AEXG	
------	------	------	--

- Please update the NSCC Directory dated January 2014 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, January 10, 2014</u> CFG Captial Markets, LLC	PERS	0443	HAVN	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.