



A#:	7667
P&S #:	7337
Date:	January 13, 2014
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	Enterprise Service Center
Subject:	MARTIN LUTHER KING, JR. DAY

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM and all New York Banks will be closed on Monday, January 20, 2014 in observance of Martin Luther King Jr. Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date January 17, 2014 will be accepted no later than 8:00pm on January 17, 2014.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement Tuesday, January 21, 2014 will be accepted on Friday evening, January 17, 2014.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery or Settlement Operations will be provided on Monday, January 20, 2014.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Friday, January 17, 2014 for settlement Tuesday, January 21, 2014.

STOCK BORROW PROGRAM

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, January 17, 2014 for settlement Tuesday, January 21, 2014. The Daytime Stock Borrow for settlement Tuesday, January 21, 2014 will be accepted on Tuesday, January 21, 2014.

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ACATS –AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE

No ACATS activity will be accepted on Monday, January 20, 2014.

ACATS - FUND/SERV INTERFACE

No ACATS - FUND/SERV Interface activity will be accepted on Monday, January 20, 2014.

ACATS / IPS

No ACATS/IPS Interface activity will be accepted on Monday, January 20, 2014.

OBLIGATION WAREHOUSE

The Obligation Warehouse (OW) will be closed for business on Monday January 20, 2014. Any submission after close of business Friday January 17, 2014 should be for the next business date of January 21, 2014.

MUTUAL FUND COMMISSION SETTLEMENT

No Mutual Fund Commission Settlement activity will be accepted on Monday, January 20, 2014

FUND/SERV, NETWORKING & OMNI/SERV

No Fund/SERV, Networking, or OMNI/SERV activity will be accepted on Monday, January 20, 2014. There will be no distribution of any Fund/SERV, Networking or DSA and DSP data on Monday, January 20, 2014.

INSURANCE PROCESSING

IPS files received on Monday, January 20, 2014 will be processed. **POV files will be transmitted on** Monday, January 20, 2014 (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, January 21, 2014.

Any Questions regarding this Important Notice can be directed to NSCC Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center