The following firm will retire from all services, as detailed below:

**Last Trade Date, January 24, 2014:**
Quasar Distributors, LLC/Teberg Fund
No. 4916

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date, January 27, 2014:**
Northern Lights Distributors, LLC/Teberg Funds
No. 4916
Networking Symbol: M5
Contact: Mike Nielsen
Phone: (402) 896-7267

The following firms will retire from all services, as detailed below:

**Last Trade Date, January 23, 2014:**
Wegener Investment Trust
No. 5361

**Last Trade Date, January 27, 2014:**
Symetra Life Insurance Company/Symetra Mutual Funds Trust
No. 3692

The following firm will no longer participate in the MF – Profile service, as detailed below:

**Last Trade Date, January 30, 2014:**
Quasar Distributors, LLC/Muhlenkamp Fund
No. 4986
The following firm will begin participation in the MF - Daily Price & Rate service, as detailed below:

**First Trade Date, January 31, 2014:**
Ultimus Fund Distributors, LLC/TFS Capital Inv Tr
No. 5430

The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, February 3, 2014:**
ALPS Distributors, Inc./Paxworld Funds
No. 4940
ALPS Distributors, Inc./The Arbitrage Fund
No. 5521

**First Trade Date, February 5, 2014:**
BHIL Distributors, Inc./Diamond Hill Funds
No. 4729

The following additional number has been assigned to SEI Investments Distribution Company (#5717) for the purpose of utilizing Fund/SERV, Networking and MF - Daily Price & Rate services as detailed below:

**First Trade Date, January 23, 2014:**
SEI Investments Distribution Company/The KP Funds
Networking Symbol: OM
Contact: Jim Volk
Phone: (610) 676-3107

The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis and ACATS Fund/SERV services as detailed below:

**First Trade Date, January 28, 2014:**
Northern Lights Distributors, LLC/Teton Valley Fund
Networking Symbol: OO
Contact: Mike Nielsen
Phone: (402) 896-7267

The following additional number has been assigned to Matrix Capital Group, Inc. (#5681) for the purpose of utilizing Fund/SERV, Networking, MF - Daily Price & Rate, ACATS and ACATS Fund/SERV services, as detailed below:

**First Trade Date, January 29, 2014:**
Matrix Capital Group, Inc./Foundry Partners
Networking Symbol: OX
Contact: Brandon Byrd
Phone: (816) 787-0718
Please update the NSCC Directory dated January 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

### Clearing Broker and Executing Broker Identifiers

<table>
<thead>
<tr>
<th>Clearing Broker</th>
<th>Executing Broker</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regal Securities, Inc.</td>
<td>PFCL 0158 RGLD</td>
</tr>
<tr>
<td>State Discount Brokers Inc.</td>
<td>PFCL 0158 STDB</td>
</tr>
<tr>
<td>Aaron Capital, Incorporated</td>
<td>PFCL 0158 AACI</td>
</tr>
</tbody>
</table>

**Delete Last Trade Date, January 22, 2014**

**Add First Trade Date, January 24, 2014**

*H.C. Wainwright & Co., Inc.*

WEDB 0103 WAIN

Please update the NSCC Directory dated January 2014 (for OTC Executed-By List) as detailed below:

### Clearing Broker and Executing Broker Identifiers

<table>
<thead>
<tr>
<th>Clearing Broker</th>
<th>Executing Broker</th>
</tr>
</thead>
<tbody>
<tr>
<td>H.C. Wainwright &amp; Co., Inc.</td>
<td>WEDB 0103 WAIN</td>
</tr>
</tbody>
</table>

**Delete Last Trade Date, January 22, 2014**

**Clearing Broker Change Effective First Trade Date, January 27, 2014**

*From:* Finance 500, Inc.

*To:* Finance 500, Inc.

Finance 500, Inc.

**Add First Trade Date, January 22, 2014**

Camden Financial Services

LGNT 0052 CMDN

**Add First Trade Date, January 23, 2014**

SNC Capital Management Corp.

IBKR 0017 SNCC

Finance 500, Inc.

IBKR 0017 SNCC

Finance 500, Inc.

**Add First Trade Date, January 27, 2014**

Finance 500, Inc.

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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.