



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 189
DATE: OCTOBER 1, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	G2615J120	CADTU	DT ASIA INVESTMENTS LIMITED UNIT	10/01/2014
ADD	M96883109	VBLT	VASCULAR BIOGENICS LTD ORD SHS	10/01/2014
ADD ***	Q5244A104	KZTNF	KAZAKHSTAN POTASH CORP. LTD. ORDINARY SHARES (AUSTRALIA)	10/01/2014
ADD	00191R208	AUMAU	AR CAPITAL ACQUIAITION CORP UNITS	10/01/2014
ADD	042765792	DWAT	ARROW INVTS TR DWA TACTICAL ETF (DE)	10/01/2014
ADD	20452Y791	CIZ	COMPASS EMP FDS TR INTL 500 ENHANCED VOLATILITY ETF	10/01/2014
ADD	29363J108	ENFC	ENTEGRA FINANCIAL CORP COMMON STOCK	10/01/2014
ADD	75886V102	RGTB	REGENT BANCORP INC COMMON STOCK	10/01/2014
ADD	90273L864	UBXQF	UBS AG LONDON BRH CALL WTS LKD TO EURO STOXX 50 EXP 9/28/17	10/01/2014
DELETE				
DELETE	04521C105	AGAC	ASIA GREEN AGRICULTURE CORPORATION. COMMON STOCK	10/01/2014
DELETE	053015129	ADPVV	AUTOMATIC DATA PROCESSING COM STK EX-DIST W.I. (S/D 10/06/2014)	10/01/2014

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DELETE	319460101	FCBN	FIRST CITIZENS BANCORPORATION INC	10/01/2014
SEC 12J SUSPENSION	03823Y203	APSN	APPLIED NEUROSOLUTIONS, INC. NEW COMMON STOCK	10/01/2014
SEC 12K SUSPENSION	67035V109	NDDG	NUDG MEDIA INC. COMMON STOCK	10/01/2014

MARKET MOVE

676220106	ODP	OFFICE DEPOT INC (XNYS TO: XNAS)	10/01/2014
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SYMBOL CHANGES

45256L109	IMCLE TO: IMCL	IMMUNOCLIN CORP COM STK (NV)	10/01/2014
45825X105	INLXD TO: INLX	INTELLINETICS, INC. COMMON STOCK	10/01/2014
81783K207	SVVNP TO: SEVAP	SEVCON, INC. PREFERRED CONVERTIBLE SERIES A4%	10/01/2014
12508E101	CDKVV TO: CDK	CDK GLOBAL INC COM	10/01/2014

PORTAL SYMBOL CHANGES

58409L108	MDQZ TO: MDQZZ	MEDEQUITIES REALTY TRUST, INC COM 144A	10/01/2014
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REORGANIZATION

DELETE	J48454102	NCBDF	NAMCO BANDAI HOLDINGS INC HAMAMATSU ORD SHS (JAPAN)	
ADD ***	Y0606D102	NCBDF	BANDAI NAMCO HLDGS, INC. ORD SHS (KOREA SOUTH REP OF KOREA)	10/01/2014
DELETE	00687U608	ADENF	ADIRA ENERGY LTD. COMMON STOCK (CANADA)	
ADD	00687U707	ADEND	ADIRA ENERGY LTD. COMMON STOCK (CANADA)	10/01/2014
DELETE	289900201	ELRRF	ELORO RES LTD ORD SHS (CDA)	
ADD	289900300	ELRRD	ELORO RESOURCES LTD ORDINARY SHARES (CANADA)	10/01/2014
DELETE	83427D201	SLMZF	SOLOMON RESOURCES LTD ORDINARY SHARES (CANADA)	
ADD	23568R106	SLMZD	DAMARA GOLD CORP. ORDINARY SHARES (CANADA)	10/01/2014

* 19C-3 Security rule

*** Foreign Netting Security

**NOTE: ALL SEC 12J & 12K SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY
ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com**