

OTC #: 205

DATE: OCTOBER 23, 2014

TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER

REORGANIZATION

ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,

MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF

**OTC CLEARED SECURITIES** 

FROM: UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	P9021P103	TCNSF	TECNISA S A ORDINARY SHARES (BRAZIL)	10/23/2014
ADD	12650X105	CTTPY	CTT-CORREIOS DE PORTUGAL S.A. UNSPONSORED ADR	10/23/2014
ADD	26923E207	DAX	ETF SER TR RECON CAP DAX GERMANY ETF (DE)	10/23/2014
ADD	64926M207	NWRDY	NEW WORLD CHINA LAND LTD UNSPONSORED ADR	10/23/2014
ADD	90274B444	UBXXF	UBS AG LONDON BRH CALL WTS LKD TO EURO STOXX 50 EXP 10/20/17	10/23/2014
DELETE				
DELETE	58516W146	MEGVF	MEGA URANIUM LTD WTS EXP 10/26/2014 (CDA)	10/23/2014
SYMBOL CHANGES				
	03835T200	APSCD TO: APTO	APTOSE BIOSCIENCES, INC. ORDINARY	10/23/2014

SHARES (CANADA)

055471205 BGNND TO: BGNN B GREEN INNOVATIONS, INC. CLASS A

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10/23/2014

COM	$M \cap N$	CTOCK

138763503	CNYCD TO: CNYCF	CANYON COPPER CORP. (CANADA) COMMON STOCK	10/23/2014
143126209	CMHXD TO: CMHXF	CARMANAH TECHNOLOGIES CORP ORDINARY SHARES	10/23/2014
171326101	CHUM TO: CHUME	CHUMA HOLDINGS, INC. COMMON STOCK	10/23/2014
46184H202	IVFZD TO: IVFZF	INVICTUS FINANCIAL INC. COMMON STOCK	10/23/2014
500427307	KMNFD TO: KMNFF	KOLA MINING CORP ORDINARY SHARES (CANADA)	10/23/2014
69015P102	OVRI TO: OVRIE	OVATION RESEARCH INC COM STK (NV)	10/23/2014
69353K202	PNOPD TO: PNOPF	PNO RESOURCES LTD ORD SHS (CDA)	10/23/2014
91330C202	URGYD TO: URGYF	UNITY ENERGY CORP. ORDINARY SHARES (CANADA)	10/23/2014
91689A203	URAGD TO: URAGF	URAGOLD BAY RES INC COMMON SHARES (CANADA)	10/23/2014
920366200	VRSCD TO: VRSCF	VALTERRA RESOURCE CORP. NEW ORDINARY SHARES (CANADA)	10/23/2014

## REORGANIZATION

DELETE	M7923K100 IPHXF	ISRAEL PHOENIX ASSURANCE COMPANY SHS (ISRAEL)	
ADD ***	M7918D145 IPHXF	PHOENIX HOLDINGS LTD. (ISRAEL)	10/23/2014
DELETE	35968C406 FLMTF	FULL METAL MINERALS LTD COMMON SHARES (CANADA)	
ADD	35968C505 FLMTF	FULL METAL MINERALS LTD CL A COMMON SHARES (CANADA)	10/23/2014

## NAME CHANGE

DELETE	17304K102 CTPCY	CITIC PACIFIC LTD SPONS ADR	
ADD	17304K102 CTPCY	CITIC LTD SPONS ADR	10/23/2014

## **CUSIP CHANGE**

DELETE	652526104 NEWT	NEWTEK BUSINESS SERVICES, INC.	
ADD	652526203 NEWT	NEWTEK BUSINESS SERVICES, INC.	10/23/2014

## ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd\_notices@dtcc.com

<sup>\* 19</sup>C-3 Security rule \*\*\* Foreign Netting Security