



*Important Notice*  
**National Securities Clearing Corporation - OTC**

**OTC #:** 243  
**DATE:** DECEMBER 17, 2014  
**TO:** ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION  
**ATTENTION:** OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES  
**FROM:** UNDERWRITING  
**SUBJECT:** UPDATES TO THE LIST OF OTC CLEARED SECURITIES

**THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.**

<b>Update</b>	<b>Cusip No</b>	<b>Symbol</b>	<b>Name</b>	<b>Effective Date</b>
<b>ADD</b>				
ADD ***	D09913100	BCKBF	BABCOCK BORSIG AG NEUOBERHAUSE SHS (DEU)	12/16/2014
ADD ***	G6500G109	NXFNF	NEXT FIFTEEN COMMUNICATION GROW UP PLC SHS (UK)	12/17/2014
ADD ***	P3054X117	CDFQF	CIA DE FERRO LIGAS DA BAHIAFER PFD SHS (BRAZIL)	12/17/2014
ADD ***	P8956T106	TQMPF	TEMPO PARTICIPACOES SA SHS(BRAZIL)	12/17/2014
ADD	121365100	BKMG	BURKE MNG CO CDT-CAP STK	12/17/2014
ADD	26923G202	BBP	BIOSHARES BIOTECHNOLOGY PRODS FD ETF SER TR I	12/17/2014
ADD	26923G301	BBC	BIOSHARES BIOTECHNOLOGY CLINICAL TRIALS FD ETF SER TR I	12/17/2014
ADD	40161R101	GVXNF	GUERRERO VENTURES INC COM (CANADA)	12/16/2014
ADD	482539103	KLXI	KLX INC COM	12/17/2014
ADD ***	609401104	MNQQY	MONITISE PLC ADR(UK)	12/17/2014
ADD ***	67778L102	OLNTY	OIL & NAT GAS CORP LTD ADR (INDIA)	12/17/2014
ADD ***	825547102	SRRQY	SHRIRAM TRANS FIN CO LTD ADR LEVEL 1(INDIA)	12/17/2014
ADD	835428202	SXBUU	S1 BIOPHARMA INC UNIT	12/17/2014

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Non-Confidential

## DELETE

DELETE ***	F17976113	CMFAF	CIMENTS FRANCAIS ORD	12/17/2014
DELETE	H27178104	FWLT	FOSTER WHEELER AG (SWITZERLAND)	12/17/2014
DELETE	55308R103	MJMSY	M.J. MAILLIS SA IND PACKAGING SYS & TECH SPONSORED ADR	12/17/2014
DELETE	744383100	PTINY	PT INDOSAT TBK	12/17/2014

## PORTAL DELETE

DELETE	48125D308	JPCHZ	JP MGN CHASE LONDON VIETNAM LW EX PR WT 144A EXP 12/16/14	12/17/2014
DELETE	48125D407	JPBYZ	JP MORGAN VIETNAM LW EXC PR WT 144A/3C7 EXP 12/16/2014 (NY)	12/17/2014

## MARKET MOVE

**872590203 TMBLP TO: TMUSPRA T-MOBILE US INC MADATORILY CONV 12/17/2014**  
\* **PFD SER A (XNAS TO: XNYS)**

## SYMBOL CHANGES

	23331W101	DSFNE TO: DSFN	DSA FINANCIAL CORP	12/17/2014
	91702L103	CRSKF TO: USTDF	URANIUM STANDARD RESOURCES LTD. ORDINARY SHARES (CANADA)	12/17/2014

## REORGANIZATION

DELETE	G05366102	ARKTF	ARK THERAPEUTICS GROUP PLC LONDON (UK)	
ADD ***	G05366110	ARKTF	ARK THERAPEUTICS GROUP PLC LONDON SHS NEW (UK)	12/17/2014
DELETE	G52153106	KTHGF	KANTONE HOLDINGS LTD SHS	
ADD ***	G52153148	KTHGF	KANTONE HOLDINGS LTD SHS NEW(CAYMAN ISLDS)	12/17/2014
DELETE	01642R201	ARXRF	ALIX RES CORPORATION ORDINARY SHARES (CANADA)	
ADD	01642R300	ARXRD	ALIX RES CORP COM NO PAR (CANADA)	12/17/2014
DELETE	02301P106	AMARQ	AMARILLO BIOSCIENCES	
ADD	02301P205	AMARD	AMARILLO BIOSCIENCES INC COM NEW	12/17/2014
DELETE	462817206	INCKF	IRON CREEK CAPITAL CORP. COMMON SHARES (CANADA)	
ADD	761366103	INCKF	REVELO RES CORP (CANADA)	12/17/2014

DELETE	56782J104	MKAC	MARIKA, INC COMMON STOCK	
ADD	720795103	PIRS	PIERIS PHARMACEUTICALS INC COM	12/17/2014
DELETE	58501X109	MNRUF	MEDITERRANEAN RESOURCES ORD SHS (CANADA)	
ADD	58501X208	MNRUD	MEDITERRANEAN RESOURCESLTD ORD SHS NEW (CANADA)	12/17/2014
DELETE	90346C107	UREE	U S RARE EARTHS INC COM STK (NV)	
ADD	90346C206	UREED	U S RARE EARTHS INC COM STK NEW	12/17/2014

\* 19C-3 Security rule  
 \*\*\* Foreign Netting Security

**ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: [smd\\_notices@dtcc.com](mailto:smd_notices@dtcc.com)**