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Date:	February 19, 2014
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	MUTUAL FUND SERVICES — NETWORKING ALPHA CODES: INTRODUCTION OF SPECIAL CHARACTER

Pursuant to Important Notice A7681 issued on August 7, 2013 and an email communication distributed on December 11, 2013, National Securities Clearing Corporation (NSCC) is providing final notice of our intent to introduce special character '@' to the Networking Alpha Codes.

As of today there are 17 Alpha Codes remaining and in the event all remaining codes are assigned prior to the effective date of the long-term solution to eliminate Alpha Codes, NSCC will introduce a special character '@' to be used in combination with the alpha/numeric value (for example @A). Participants are strongly encouraged to be prepared to accept '@' in the Alpha Code field. No further notice regarding the addition of the '@' sign will be sent.

At this time, we are finalizing requirements for the long-term solution. Details regarding the expected long-term solution changes will be communicated in a separate Important Notice during the first quarter of 2014.

Questions and comments regarding this Important Notice may be directed to Wealth Management Services at **212-855-8877**.

Yana Granovskiy Product Manager

Product Management DTCC Wealth Management Services

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