



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 065
DATE: APRIL 4, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

| Update | Cusip No Symbol | Name | Effective Date |
|-----------------------|------------------------|--|-----------------------|
| ADD | | | |
| ADD *** | G9877R104 KWERF | KENNEDY WILSON EUROPE REAL ESTATE PLC ORD SHARES (JERSEY) | 04/04/2014 |
| ADD *** | Y4192A126 SHNVF | INTOUCH HOLDINGS PCL UNITS NON-VOTING DEP RECPT | 04/04/2014 |
| ADD | 067774208 BKSJZ | BARNES & NOBLE, INC. SENIOR PRE CONVERTIBLE SERIES J 144 A | 04/04/2014 |
| ADD | 134849108 CDCLF | CANADA COAL INC COMMON SHARES (CANADA) | 04/04/2014 |
| 12K SEC REINSTATEMENT | 17286C201 CDFT | CITADEL EFT INC (NEW) COM STK (NV) | 04/04/2014 |
| ADD | 338307101 FIVN | FIVE9 INC COM | 04/04/2014 |
| ADD | 356615104 FRTAY | FREENET AG AMERICAN DEP RCPTS (UNSPONS)(FEDERAL GERMANY) | 04/04/2014 |
| ADD | 811292101 SCYX | SCYNEXIS INC COMMON STOCK | 04/04/2014 |
| DELETE | | | |
| DELETE *** | R6000K103 DFASF | DOF ASA ORDINARY SHARES (NORWAY) | 04/04/2014 |

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|--------------------|-----------|-------|--|------------|
| DELETE *** | Y7506B102 | CHZLF | CHEMREZ TECHNOLOGIES INC ORD SHS (PHL) | 04/04/2014 |
| DELETE | 125257105 | CGTC | C G & T CORP | 04/04/2014 |
| DELETE | 247818107 | DLTUF | DELTA URANIUM INC ORDINARY SHARES (CANADA) | 04/04/2014 |
| DELETE | 46612F406 | JDUCF | JDS UNIPHASE CANADA LTD COM STK | 04/04/2014 |
| SEC 12J SUSPENSION | 98906R208 | ZANE | ZANETT INC COM STK | 04/04/2014 |

SYMBOL CHANGES

| | | | |
|-----------|-----------------|---|------------|
| 74443H102 | LLXLY TO: PRMLY | PRUMO LOGISTICA SA SPONSORED ADR (BRAZIL) | 04/04/2014 |
|-----------|-----------------|---|------------|

REORGANIZATION

| | | | | |
|---------|-----------|-------|---|------------|
| DELETE | D0198L143 | AIXXF | AIXTRON AKTIENGESELLSCHAFT NAMEN - AKT (GERMANY) | |
| ADD *** | D0257Y135 | AIXXF | AIXTRON SE NAMEN - AKT | 04/04/2014 |
| DELETE | Y77496142 | SHNUF | SHIN CORPORATION P L C SHS FOREIGN REGISTERED (THAILAND) | |
| ADD *** | Y4192A100 | SHNUF | IN TOUCH HOLDINGS PUB. CO., LTD. ORDINARY SHARES (THAILAND) | 04/04/2014 |
| DELETE | 138857107 | CGCC | CANYON GOLD CORP COM STK (DE) | |
| ADD | 138857206 | CGCCD | CANYON GOLD CORP. NEW COMMON STOCK | 04/04/2014 |

CUSIP CHANGE

| | | | | |
|---------|-----------|-------|--|------------|
| DELETE | P7922A100 | LLXLF | PRUMO LOGISTICA SA ORDINARY SHARES (BRAZIL) | |
| ADD *** | P7922A118 | LLXLF | PRUMO LOGISTICA SA ORDINARY SHS NEW (BRAZIL) | 04/04/2014 |

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
