



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 176
DATE: SEPTEMBER 12, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	E64515393	IMQCF	INMOBILIARIA COLONIAL SA ICSA ORDINARY SHARES (SPAIN)	09/12/2014
ADD ***	F43743107	RLYNF	RALLYE SA NEUILLY-SUR-SEINE ORDINARY SHARES (FRANCE)	09/12/2014
ADD	M8216Q101	RWLK	REWALK ROBOTICS LTD ORDINARY SHARES	09/12/2014
ADD	N01045108	AFMD	AFFIMED THERAPEUTICS B.V. COMMON STOCK	09/12/2014
ADD ***	P7356Z100	OLMXF	OHL MEXICO SAB DE CV ORDINARY SHARES (MEXICO)	09/12/2014
ADD	212326102	CFRX	CONTRAFECT CORP	09/12/2014
ADD	212326110	CFRXW	CONTRAFECT CORP CLASS A WARRANTS EXP 01/31/17	09/12/2014
ADD	212326128	CFRXZ	CONTRAFECT CORP CLASS B WARRANTS EXP 10/31/15	09/12/2014
ADD	25155V275	DBWQF	DEUTSCHE BK AG LONDON CALL WT LKD S&P 500 INDEX 08/11/17	09/12/2014
ADD	25157U812	DBQQF	DEUTSCHE BK AG LONDON CALL WT LKD EURO STOXX 50 INDE 9/15/17	09/12/2014

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ADD	25157U820	DBVQF	DEUTSCHE BK AG LONDON CALL WT LKD S&P 500 INDEX EXP 9/15/17	09/12/2014
ADD	651717100	NPGW	NEWPAGE HOLDINGS, INC. COMMON STOCK	09/12/2014
ADD	74113J107	PSNP	PRESTON CORPORATION COMMON STOCK	09/12/2014
12K SEC REINSTATEMENT	74729D106	QSGI	QSGI INC COM	09/12/2014
ADD	890026107	TCKF	TOMICHI CREEK OUTFITTERS COMMON STOCK	09/12/2014
ADD	90273E746	UBQXF	UBS AG LONDON BRH CALL WT LKD TO EURO STOXX 50 INDEX 8/14/17	09/12/2014
ADD	90273L187	UBZXF	UBS AG LONDON BRH CALL WT LKD TO S&P 500 INDEX EXP 8/18/17	09/12/2014
ADD	90273L575	UBUZF	UBS AG LONDON BRH CALL WTS LKD TO S&P 500 INDEX EXP 9/8/17	09/12/2014
ADD	90273L583	UBWXF	UBS AG LONDON BRH CALL WT LKD TO EURO STOXX 50 INDEX 9/8/17	09/12/2014

DELETE

SEC 12J SUSPENSION ***	W56523116	MDTNF	MODERN TIMES GROUP AB SHS - B - (SWE)	09/12/2014
DELETE	212326201	CFRXU	CONTRAFECT CORPORATION UNIT	09/12/2014
DELETE	286401104	ELGMF	ELGIN MINING INC. ORDINARY SHARES (CANADA)	09/12/2014
SEC 12J SUSPENSION	607698206	MDTMY	MODERN TIMES GROUP AB UNSPONSORED AMERICAN DEPOSITORY RECEIP	09/12/2014
SEC 12J SUSPENSION	30283R105	FTNW	FTE NETWORKS, INC. COMMON STOCK	09/12/2014
SEC 12J SUSPENSION	459190104	INBG	INTERNATIONAL BUILDING TECHNOLOGIES GROUP INC COM STK	09/12/2014

MARKET MOVE

46131B407 *	IVSGP TO: IVRPRB	INVESCO MORTGAGE CAPITAL INC. PFD SERIES B CUM FXD TO FLTG (XNAS TO: XNYS)	09/12/2014
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SYMBOL CHANGES

41024B200	NWHAD TO: NWHAF	HANDA COPPER CORP COM NEW	09/12/2014
61634P100	MOMO TO: PALS	MOPALS COM INC COM STK (DE)	09/12/2014
88289Q307	TEXCD TO: TEXTC	TEXCOM INC. COMMON STOCK	09/12/2014
92840H202	VSTAD TO: VSTA	VISTAGEN THERAPEUTICS, INC. COMMON STOCK	09/12/2014

REORGANIZATION

DELETE	J58699109	NHOLF	NKSJ HOLDINGS INC ORDINARY SHARES (JAPAN)
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ADD ***	J7618E108	NHOLF	SOMPO JAPAN NIPPONKOA HOLDINGS, INC. ORDINARY SHARES (JAPAN)	09/12/2014
DELETE	14146R106	CDIF	CARDIFF INTL INC	
ADD	14146R205	CDIFD	CARDIFF INTERNATIONAL, INC. NEW COMMON STOCK	09/12/2014
DELETE	892780107	TRLO	TRAIL ONE, INC. COMMON	
ADD	17322U108	TRLOD	CITIUS PHARMACEUTICALS INC. NEW COMMON	09/12/2014

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
