



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 181
DATE: SEPTEMBER 19, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	J48453104	NNKWF	NANKAI PLYWOOD CO., LTD. ORDINARY SHARES (JAPAN)	09/19/2014
ADD ***	J59569103	OWRMF	ODAWARA AUTO-MACHINE MFG. CO., LTD. ODAWARA ORD SHS (JAPAN)	09/19/2014
ADD ***	Q3956J108	FTWYF	FREIGHTWAYS LTD ORDINARY SHARES (NEW ZEALAND)	09/19/2014
ADD ***	Y5172C198	LDHOF	LAND AND HOUSE PUBLIC CO., LTD. LH ORD SHS (THAILAND)	09/19/2014
ADD	03834R106	APYP	APPYEA, INC. COMMON STOCK	09/19/2014
ADD	36246K301	GBQXP	GABELLI HEALTHCARE PREFERRED SERIES B	09/19/2014
ADD	85853J107	SLBU	STELLA BLU, INC. COMMON STOCK	09/19/2014
ADD	92686J106	VKTX	VIKING THERAPEUTICS IN COMMON STOCK	09/19/2014
ADD	928583103	VGGOF	VOGOGO, INC. ORDINARY SHARES (CANADA)	09/19/2014

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DELETE

DELETE ***	F13114107	CDPEF	CAISSE REGNLE DE CRDT AGRICOLE MUTUEL ALPES CERT COOP D INVT	09/19/2014
DELETE ***	Q08449102	AMNIF	AUSTRALIA MINERALS AND MNG GRP LTD, CLAREMONT WA ORD(AUS)	09/19/2014
DELETE ***	Q4944P107	ITFMF	INTERNATIONAL FERRO METALS LTD ORDINARY SHARES (AUSTRALIA)	09/19/2014

MARKET MOVE

92934F203	WH TO: WSHLY	WSP HOLDINGS LTD ADR NEW (XNYS TO: XNAS)	09/19/2014
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SYMBOL CHANGES

02154T106	AGOPE TO: AGOP	ALTIMO GROUP CORPORATION COMMON STOCK	09/19/2014
26913L104	ESPIE TO: ESPI	ESP RES INC COM STK (NV)	09/19/2014
27004H101	EFRDD TO: EGFDF	EAGLEFORD ENERGY CORP. (CANADA) COMMON STOCK	09/19/2014
302677109	FVPI TO: FVPIE	FV PHARMA INTL CORP	09/19/2014
589518208	MERGD TO: MERG	MERGER MINES CORP. COMMON STOCK	09/19/2014
60767P101	MPVC TO: MPVCE	MODERN PVC INC COMMON STOCK (NV)	09/19/2014
78663T308	SGGDD TO: SGGDF	SAGE GOLD INC ORDINARY SHARES (CANADA)	09/19/2014
913766101	UNMVE TO: UNMV	UNIVERSAL MOVERS CORP COMMON STOCK	09/19/2014

REORGANIZATION

DELETE	G3775G104	SMTLF	GCL NEW ENERGY HLDGS LTD ORD SHS (BMU)	
ADD ***	G3775G112	SMTLF	GCL NEW ENERGY HOLDINGS LTD. ORD SHS (BERMUDA)	09/19/2014
DELETE	04682Q109	ATURF	ATHABASCA URANIUM INC, SHS (CANADA)	
ADD	04682Q208	ATURD	ATHABASCA URANIUM INC. ORDINARY SHARES (CANADA)	09/19/2014
DELETE	44047T109	HZNP	HORIZON PHARMA INC COM	
ADD	G4617B105	HZNP	HORIZON PHARMA PLC ORD SHS (IRELAND)	09/19/2014
DELETE	553769100	MNTG	M T R GAMING GROUP INC	
ADD	28470R102	ERI	ELDORADO RESORTS INC COMMON STOCK	09/19/2014

DELETE	69207P308	OXBT	OXYGEN BIOTHERAPEUTICS INC NEW COM STK (DE)	
ADD	88032L100	TENX	TENAX THERAPEUTICS INC COMMON STOCK	09/19/2014

*** 19C-3 Security rule**

***** Foreign Netting Security**

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
