



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 015
DATE: JANUARY 23, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	07274F100	BYRQY	BAYER CROPSCIENCE LTD UNSPONSORED ADR (INDIA)	01/23/2015
ADD	41753F208	HCAPL	HARVEST CAPITAL CREDIT CORPORATION 7.00% NOTES DUE 2020	01/23/2015
ADD ***	419019104	HTHQY	HATHWAY CABLE & DATACOM LTD UNSPONSORED ADR (INDIA)	01/23/2015
ADD	44950Q100	IEME	IEMI COM STK	01/23/2015
ADD ***	449881101	IBRQY	IRB INFRASTRUCTURE DEVELOPERS LTD UNSPONSORED ADR (INDIA)	01/23/2015
ADD ***	55348J103	MRQFY	MRF LTD UNSPONSORED ADR(INDIA)	01/23/2015
ADD ***	92871D104	VLTQY	VOLTAS LTD UNSPONSORED ADR (INDIA)	01/23/2015
DELETE				
DELETE	26443R100	DULMF	DULUTH METALS LTD COMMON SHARES (CANADA)	01/23/2015
DELETE	28473M100	ESYS	ELECSYS CORPORATION	01/23/2015
DELETE	55660D106	MDSN	MADISON BANCORP INC (MD)	01/23/2015
DELETE	74765L111	QNGPW	QUANTUM GROUP INC WT EXP CL A EXP 12/12/2014 (NV)	01/23/2015

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Non-Confidential

DELETE	74765L129	QNGPZ	QUANTUM GROUP INC WT EXP CL B EXP 12/12/2014 (NV)	01/23/2015
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MARKET MOVE

H89231338	OUBS TO: OUBSF	USB AG (NEW) (XNYS TO: XNAS)	01/23/2015
845467208	SWRNP TO: SWNC	SOUTHWESTERN ENRG CO DEP SHS	01/23/2015
*		REPSTG 1/20TH INT MAN CONV PFD (XNAS TO: XNYS)	

SYMBOL CHANGES

Q88040110	SNMY Y TO: SNMYF	SUNCORP GROUP LTD (AUSTRALIA)	01/23/2015

Y6355X108	NSHCF TO: NSHDF	NONG SHIM HLDGS CO LTD ORD SHS (S KOREA)	01/23/2015

17185E209	CMXXD TO: CMXX	CIMETRIX INC COM NEW	01/23/2015
313400756	FMMCJ TO: FMCCJ	FREDDIE VARIABLE RATE NON-CUM PFD STK	01/23/2015
71674W402	PEIID TO: PEII	PETRON ENERGY II INC COM NEW	01/23/2015
72663E205	PTEEE TO: PTEEF	PLAINTREE SYSTEMS INC. NEW ORDINARY SHARES (CANADA)	01/23/2015
750248106	RCGP TO: RCGPE	RADIANT CREATIONS GRP INC (THE) COM STK (NV)	01/23/2015
948506100	WEDG TO: WEDGE	WEED GROWTH FUND, INC. COMMON STOCK	01/23/2015

REORGANIZATION

DELETE	M20157117	MDSY	BLUEPHOENIX SOLUTIONS, LTD ORDINARY SHARES	
ADD	M70378100	MDSY	MODSYS INTL LTD SHS (ISR)	01/23/2015
DELETE	081498107	BFFI	BEN FRANKLIN FINANCIAL INC (IL) COMMON STOCK	
ADD	08149J103	BFFID	BEN FRANKLIN FINL INC (MD) COM	01/23/2015
DELETE	37441T105	GFRGF	G4G RES LTD COMMON SHARES (CANADA)	
ADD	36248W105	GFRGD	G4G CAPITAL CORP COM (CANADA)	01/23/2015
DELETE	758254106	RDRUY	REED RESOURCES LTD. SPONSORED ADR (AUSTRALIA)	
ADD	64050X102	RDRUY	NEOMETALS LTD SPONSORED ADR (AUSTRALIA)	01/23/2015
DELETE	875932204	TPGRF	TAPANGO RESOURCES LTD ORDINARY SHARES (CANADA)	
ADD	875932303	TPGRF	TAPANGO RES LTD (CANADA)	01/23/2015

NAME CHANGE

DELETE	29444U502	EQIX	EQUINIX INC
ADD	29444U502	EQIX	EQUINIX INC COMMON STOCK REIT NEW 01/23/2015
DELETE	301505608	ERW	VELOCITY SHS EQUAL RISK WEIGHTED LARGE CAP ETF
ADD	301505608	ERW	EXCHANGE TRADED CONCEPTS TR JANUS 01/23/2015 EQL RISK WGTD LRG CAP ETF

*** 19C-3 Security rule**

***** Foreign Netting Security**

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
