

Subject:	Winter Storm Juno Update – Temporary Suspension of Certificate-Based Services
To:	All Participants
Date:	January 26, 2015
B #:	0128-15

To protect the resiliency of our market infrastructure and the processing of financial securities transactions, DTCC has in place a robust business continuity strategy allowing us to maintain seamless operations through a wide variety of scenarios. In response to Winter Storm Juno, DTCC is implementing appropriate contingency plans. While all our offices remain open, these plans include work transfer and work from anywhere practices for impacted employees in our Metropolitan New York, Boston and Washington D.C. locations.

As a result of the severe blizzard conditions that are expected to severely affect the Northeastern U.S, all certificate-based services – Deposits, Withdrawals, Envelope and the New York Window Service, as well as delivery of certificates for new issue closings – will be suspended starting at 2:00 p.m. Eastern Standard time today, January 26 and throughout the day on Tuesday January 27, 2015. All other depository, clearance and settlement services remain fully operational with deadlines for clearing fund settlement and other processes remaining as usual.

We expect to resume all physical securities processing on Wednesday, January 28, 2015 unless we provide notification otherwise.

Should you have any questions or concerns, please contact your Relationship Manager or account manager.