

OTC #: 041

DATE: 03/03/2015

TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER

REORGANIZATION

ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,

MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF

OTC CLEARED SECURITIES

FROM: UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date			
ADD							
ADD***	J0356E109	AVLZF	AVELCO CO LTD TOKYO ORD SHS (JPN)	03/02/2015			
ADD***	Y1242A106	CONIF	CELLTRION INC ORD SHS (KOR)	03/02/2015			
ADD***	Y6250V108	NWZGF	NEOWIZ GAMES CO LTD ORD SHS (KOR)	03/02/2015			
ADD	39013L205	GBSNU	GREAT BASIN SCIENTIFIC INC UIT 1 PFD CONV SR E&8 WT EXP SR C	03/03/2015			
ADD	47770W108	JBCT	JOBLOCATIONMAP INC	03/03/2015			
SYMBOL CHANGES							
	04313W202	ATHCD TO: ATHCF	F ARTHA RES CORP COMMON SHS NEW (CDA)	03/03/2015			
	279238109	ECRYE TO: ECRY	ECRYPT TECHNOLOGIES INC COM STK	03/03/2015			
	465790509	IVAN TO: IVANF	IVANHOE ENERGY INC COM SHS (CDA)	03/03/2015			
	636375206	NHLDD TO: NHLD	NATIONAL HLDGS CORP COM STK NEW (DE)	03/03/2015			

REORGANIZATION

DELETE	018531608	ALCEY	ALLIANCE BK JSC SPONSORED GDR REPST PFD REG S (KAZAKSTAN)	
ADD	34955X309	ALCEY	FORTEBANK JSC (KAZAKHSTAN)	03/03/2015
DELETE	62547F205	MYDR	MULTIPLAYER ONLINE DRAGON INC NEW COM STK	
ADD	63902N106	SHMP	NATURALSHRIMP INC	03/03/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com