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| B #: | 0447-15 |
| Date: | March 30, 2015 |
| To: | All Participants |
| Category: | Operations |
| From: | Asset Services – Corporate Actions Transformation Product Management Team |
| Attention: | Operations Managers/Cashiers/Officers |
| Subject: | Retirement of PTS/PBS Functions for Corporate Actions Distribution Events Successfully Completed on March 30, 2015 |

A big milestone was reached today as DTC successfully retired the remaining six PTS/PBS functions for corporate actions Distributions events, and transitioned their functionality to the Corporate Actions Web (CA Web).

The following PTS/PBS functions have been retired and are no longer available:

- PIAR / Principal and Interest Adjustments
- DAWN / Added and Deleted Announcements, Changed Comments, Rate Changes

The following PTS/PBS functions have been retired and are no longer available for new activity. However, they are, and will continue to be active for historical events:

- **EDS / Elective Dividend Service**
- **DIVA / Dividend Announcement Inquiry**
- **DPAL / Positions and Payment Information**
- **ADJI / Adjustment Inquiries**

Note that during the parallel testing period which began on June 23, 2014, CA Web input capability for Instructions (formerly EDS) and Stock Loan Payment Orders (formerly APOs) was disabled. It is now enabled in CA Web.

From this point forward, clients must use the CA Web to perform all functionality that has been retired from the PTS/PBS legacy system.

Refer to the chart below for PTS/PBS CA Web equivalents. Note that you must be provisioned with the appropriate roles for the CA Web functionality you wish to access. Please see your Super Access Coordinator if you are not able to access the functionality you need.

| PTS Function | PBS Function | CA Web Location | CA Web Role Name | PTS Entitlement |
|--------------|---|-----------------|---|---|
| EDS | <i>Elective Dividend Service</i> Foreign Currency Payment (FCP) Tax Relief Optional Dividends Dividend Reinvestment (DRIP) Cash-in-Lieu (CIL) / Round Up | Instructions | - Distributions Instructions Access (read only) - Distributions Instructions FCP Election Update - Distributions Instructions FOR Election Update - Distributions Instructions OPS Election Update - Distributions Instructions DRP Election Update - Distributions Instructions CRS Election Update | EDS EDS1 EDS2 EDS3 EDS4 EDS5 |
| | <u><i>Standing Instructions</i></u> Standing Instructions – CUSIP Global Standing Instructions – Opt-Out Global Standing Instructions | | - Distributions Instructions Standing Instructions | EDS1, EDS4 |
| DIVA DAWN | Dividend Announcement Inquiry <hr/> Added and Deleted Announcements Changed Comments Rate Changes | Announcements | Customer DTC –or- Customer Admin DTC | DIVA / DAWN 1,2,3 |
| DPAL | Positions and Payment Information (Part of Dividend Announcement Inquiry) | Entitlements | Distributions Allocations / Entitlements | DPAL |
| PIAR | Principal and Interest Adjustments | Adjustments | Adjustments REPO Updates | PIAR |
| ADJI | <u><i>Adjustment Inquiries</i></u> Fail Adjustments Cash Adjustments Stock Adjustments Stock Loan / Repo Payment Order | Adjustments | Distributions Adjustments Access <hr/> Adjustments APO Updates | VIEW ONLY <hr/> ADJI/SLPO |

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Questions about the Corporate Actions Transformation initiative can be directed to your Relationship Manager or to the CA Transformation mailbox at CATransformation@dtcc.com.

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