



Important Notice
National Securities Clearing Corporation

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| A#: | 8086 |
| P & S # | 7661 |
| DATE: | SEPTEMBER 18, 2015 |
| TO: | ALL PARTICIPANTS |
| FROM: | PRODUCT MANAGEMENT, EQUITY CLEARANCE |
| ATTENTION: | MANAGING PARTNER/OFFICER; P&S MANAGER, CASHIER; OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER |
| SUBJECT: | ETF BROWSER COMPATIBILITY ENHANCEMENTS & TESTING |

Effective Friday, November 13, 2015, National Securities Clearing Corporation (NSCC) will implement enhancements to the ETF Browser into production. The ETF Browser will provide native support for Internet Explorer (11.0.96). Migration of enhancements into production will take place after 5:00 PM Eastern on Thursday, November 12, 2015.

In preparation for the ETF Browser upgrade, all users may begin testing in the ETF Browser PSE region on Wednesday, September 30, 2015. Please see the attached summary for details.

For questions regarding testing, please contact Peter Smith, at 212-855-7621 for assistance. For general inquiries, contact NSCC Support at 888-382-2721 option 5, then option 1 or the undersigned at kkilroy@dtcc.com.

Kelly Kilroy
Equity Product Management

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ETF Browser Compatibility Enhancements Summary
(Portal users)

- Search box in component view as well as search results.
- Implementation of Search result display table using new technology to be compatible with multiple web browsers.
- Implementation of Sorting of data within the search result table.
- Implementation of Navigation to Next pages within search result table.
- Implementation of clickable row within search result table.
- Modify the logout button functionality to be compatible with multiple web browsers.
- Implementation of Calendar functionality using new technology to be compatible with multiple web browsers.
- Implementation of Identifiers/Symbol Search functionality using new technology

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