



*Important Notice*  
**National Securities Clearing Corporation**

<b>P&amp;S #:</b>	<b>7882</b>
<b>A#:</b>	<b>8307</b>
<b>Date:</b>	<b>December 13, 2016</b>
<b>To:</b>	<b>All Participants</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants</b>
<b>From:</b>	<b>Connectivity, Activation and Production Support (CAPS)</b>
<b>Subject:</b>	<b>NEW YEAR'S DAY SCHEDULE</b>

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM and all New York Banks will be closed on Monday, January 2, 2017 in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Friday, December 30, 2016 will be accepted no later than 8:00 pm on Friday, December 30, 2016.

**Trade Capture & Reporting:**

No UTC processing will take place on Monday, January 2, 2017.

**CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement of Tuesday, January 3, 2017 will be accepted on Friday Evening, December 30, 2016 .

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding New Year's Day processing to determine their schedules in effect.*

**CENTRAL DELIVERY AND SETTLEMENT (ESS)**

No Delivery Services or Settlement Operations will be provided on Monday, January 2, 2017

**INTER-CITY DELIVERIES (IESS)**

Inter-City Deliveries will be accepted on Friday, December 30, 2016 for settlement Tuesday, January 3, 2017.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

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## **ACATS - AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE**

No ACATS activity will be accepted on Monday, January 2, 2017.

## **ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV Interface activity will be accepted on Monday, January 2, 2017

## **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 2, 2017.

## **OBLIGATION WAREHOUSE**

The Obligation Warehouse (OW) will be closed for business on Monday, January 2, 2017. No input will be received or processed and no output generated for OW. Any submission after close of business Friday, December 30, 2016 should be for the next business date of January 2, 2017.

## **DTCC Payment aXis®**

No DTCC Payment aXis activity will be accepted or distributed on Monday, January 2, 2017. There will be no money settlement

## **FUND/SERV®, NETWORKING & OMNI/SERV®**

No Fund/SERV, Networking or OMNI/SERV activity will be accepted or distributed on Monday, January 2, 2017. There will be no money settlement.

## **ALTERNATE INVESTMENT PRODUCTS**

The AIP System will **NOT** process transactions or create settlement data files. There will be no money settlement on Monday, January 2, 2017

## **MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPSI or MFPSII activity will be accepted on Monday, January 2, 2017.

## **INSURANCE PROCESSING**

IPS files received on Monday, January 2, 2017 will be processed. POV & PRP files will be transmitted on Monday, January 2, 2017. Subsequent output regarding all other files will be produced and distributed on Tuesday, January 3, 2017.

Questions regarding this Important Notice should be directed to your DTCC Relationship Manager, Account Manager, or the undersigned at (813) 470-1232.

Mark Hendrickson  
Manager  
Connectivity, Activation and Production Support