

OTC #: 028

**DATE:** 02/11/2016

TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,

MANAGER REORGANIZATION

ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,

MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE

LIST OF OTC CLEARED SECURITIES

FROM: UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	04545R104	ATEP	ASSET PROTN AMER INC	02/11/2016
ADD	05366U100	AVXS	AVEXIS INC COM STK (DE)	02/11/2016
ADD***	268437100	EDEMY	EDREAMS ODIGEO SA ADR (LUX)	02/10/2016
ADD	33937L102	FLXR	FLEXFRIDGE INC	02/11/2016
ADD	74373B109	PTI	PROTEOSTASIS THERAPEUTICS INC COM STK (DE)	02/11/2016
ADD	98884X102	ZADDF	ZADAR VENTURES LTD COM (CDA)	02/10/2016
DELETE				
DELETE	320050107	FCWT	FIRST COWETA BANK	02/11/2016
DELETE	356560102	FRMXY	FREEMAN FINANCIAL CORP LTD SPON ADR (CYM)	02/11/2016
DELETE	67113P107	OTG	OTG EXP INC CL A (DE)	02/11/2016
DELETE	67457L100	OCAT	OCATA THERAPEUTICS INC COM STK	02/11/2016
DELETE	879701100	TMCO	TEMCO SERVICE INDS INC	02/11/2016

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## **MARKET MOVE**

	33616C837*	FRCPP TO: FRCPRG	FIRST REP BK SAN FRANCISCO CALIF NEW DEP SHS REPSTG 1/40TH (XNAS TO: XNYS)	02/11/2016			
	46564T107*	ITRS TO :ITI	ITERIS, INC. (XASE TO: XNAS)	02/11/2016			
SYMBOL CHANGES							
	440694305	ZINC TO: ZINCQ	HORSEHEAD HLDG CORP COM STK (DE)	02/11/2016			
	67778A106	IOGAD TO: OICT	OICINTRA INC	02/11/2016			
REORGANIZATION							
DELETE	05106P700	AGHD	AUGRID GLOBAL HLDGS CORP COM STK NEW				
ADD	40066R107	AGHDD	GUANHUA CORP	02/11/2016			
DELETE	12571Q106	CMCXF	CMC METALS LTD ORD SHS (CDA)				
ADD	12571Q304	CMCXD	CMC METALS LTD (CDA)	02/11/2016			
DELETE	284446101	ECORF	ELCORA RES CORP COM (CDA)				
ADD	284445103	ECORF	ELCORA ADVANCED MATLS CORP COM (CDA)	02/11/2016			
DELETE	60918R105	MONK	MONARCHY VENTURES INC COM STK				
ADD	45074W105	IWMG	I-WELLNESS MARKETING	02/11/2016			
		- C					
DELETE	76303T209	RCHA	RICH PHARMACEUTICALS INC COM STK				
ADD	76303T308	RCHAD	RICH PHARMACEUTICALS	02/11/2016			

\* 19C-3 Security rule \*\*\* Foreign Netting Security ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd\_notices@dtcc.com