



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8203
<b>P&amp;S #:</b>	7778
<b>Date:</b>	05/27/2016
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, May 26, 2016:**

William Blair & Company LLC/William Blair Investment Funds No. 3131

**Last Trade Date, May 31, 2016:**

Forside Fund Services, LLC/Gottex Trust No. 3008

MetLife Securities Inc./NES No. 0707

- The following firm will begin participation in the Fund/SERV, Networking, DTCC Payment aXis, and MF – Daily Price & Rate services, as detailed below:

**First Trade Date, June 1, 2016:**

LEK Securities Corporation No. 0512

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, May 26, 2016:**

UMB Fund Services, Inc. No. 9428

The Bank of New York Mellon No. 9429

The Bank of New York Mellon No. 9431

**First Trade Date, June 1, 2016:**

Moody National REIT I, Inc. No. 9397

Moody National REIT II, Inc. No. 9398

Steadfast Capital Markets Group, LLC No. 9436

Steadfast Capital Markets Group, LLC No. 9437

- The following additional number has been assigned to Unified Financial Securities, LLC (#4965) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 1, 2016:**

Unified Financial Securities, LLC/Canterbury Funds No. 9470  
 Contact: Douglas K. Jones  
 Phone: (513) 587-3408

- The following additional number has been assigned to BHIL Distributors, Inc. (#6532) for the purpose of utilizing Fund/SERV, Networking, ACATS, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 1, 2016:**

BHIL Distributors, Inc./LongCap Funds No. 9480  
 Contact: Doug Jones  
 Phone: (513) 587-3408

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking, and MF – Daily Price and Rate services, as detailed below:

**First Trade Date, June 1, 2016:**

Global Trust Company/SSB-AXA No. 9484  
 Contact: Stacy Vincent  
 Phone: (617) 662-1953

- The following additional number has been assigned to U.S. Bank N.A. (#2803) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis, ACATS, ACATS Fund/SERV, and Invoicing and Fee Settlement: 12B-1, CDSC services, as detailed below:

**First Trade Date, June 1, 2016:**

U.S. Bank N.A./Quasar Distributors, LLC Subaccounting Agent No. 9487  
 Contact: Scott A Joers  
 Phone: (414) 905-5434

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 1, 2016:**

Foreside Fund Services, LLC/Moerus Funds No. 9488  
 Contact: Chris Yunker  
 Phone: (402) 896-7243

- Please update the NSCC Directory dated May 2016 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, May 24, 2016</u></b> Gordon, Haskett & Co.	NFSC	0226	GHAS	
<b><u>Delete Last Trade Date, May 25, 2016</u></b> Wang Investment Associates, Inc. First American Securities	SWST	0279	WANG	
	SWST	0279	FAMS	
<b><u>Add First Trade Date, May 31, 2016</u></b> Kalos Capital, Inc.		0235	KALS	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Executing Broker Name Change Effective First Trade Date, May 27, 2016</u></b> From: E*Trade Capital Markets/CHXL Trading To: G1 Execution Services, LLC	ECUT	0100	ETMM	
	ECUT	0100	ETMM	
<b><u>Clearing Broker Change Effective First Trade Date, June 1, 2016</u></b> From: Quattro M Securities Inc. To: Quattro M Securities Inc.	ECUT	0100	QMSR	
	IBFS	0824	QMSR	
<b><u>Add First Trade Date, May 31, 2016</u></b> MetLife Securities Inc.	NFSC	0226	MSII	
<b><u>Add First Trade Date, July 1, 2016</u></b> Flow Traders U.S. LLC	GSCO	0005	FLTG	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, May 24, 2016</u></b> Capital Securities Investment Corporation	NFSC	0226	CSIC	
<b><u>Delete Last Trade Date, May 31, 2016</u></b> Montage Securities, LLC	NFSC	0226	MTSL	

**Add First Trade Date, June 1, 2016**

Decker & Co, LLC

ECUT 0100 DECK

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).