



<b>B #:</b>	6136-17
<b>Date:</b>	October 3, 2017
<b>To:</b>	DTC Participants
<b>Category:</b>	Settlement
<b>From:</b>	Settlement Operations
<b>Attention:</b>	Settlement Manager / Managing Director / Cashier
<b>Subject:</b>	Settlement Web Update

Effective October 19, 2017, there will be a new reference field called “Partner Reference ID” added to the Settlement Web. This new reference field will be added to the following Settlement Web input mechanisms:

- Collateral Loan Services screen
- Day Deliver Orders screen
- Settlement Transaction File Upload for both pledges and deliver orders
- Future Dated Deliver Orders (NDO) screen

This field is for the use of Participants with respect to transactions generated by the DTCC-Euroclear Global Collateral Ltd (DEGCL) CMS Auto-Select Service.

Participants do not need to populate this field for transactions that are unrelated to the DEGCL CMS Service.

Screenshots of the screens impacted changes can be found in the appendix to this notice. Clients with further questions regarding this notice should contact their relationship manager or Settlement Operations.

# Appendix

Settlement Services

TEST/PSE

Welcome  
aperi@dtcc.com

Log Out  
Change Password

Home
Activity
Position
Cash and Balances
Transaction Submission
Commands
Profiles
Help
PBS Links
Jul 6, 2017 - 9:57:04 AM EDT

Collateral Loan Services

Home > Collateral Loan Services - Pledgor > Free/Valued > Pledge

\* = Required Field

Pledge
Release Request

Pledge Type:
☐ Free
☒ Valued

Pledgor \*
1270

Pledgee \*

Loan Date \*

Hypothecation \*
Select...

Purpose \*
Select...

Pledgor Name  
SDFS PARTICIPANT F

Pledgee Name

Associated Participant

Rec	CUSIP *	Quantity *	Loan Value *	IPO <input type="checkbox"/>	Prevent Pend <input type="checkbox"/>	Partner Ref ID ?	Comments
1				<input type="checkbox"/>	<input type="checkbox"/>		
2				<input type="checkbox"/>	<input type="checkbox"/>		
3				<input type="checkbox"/>	<input type="checkbox"/>		
4				<input type="checkbox"/>	<input type="checkbox"/>		
5				<input type="checkbox"/>	<input type="checkbox"/>		

Validate
Submit
Reset

© 2011 - 2017 The Depository Trust & Clearing Corporation | Legal Information | Privacy Policy | Menu

DTCC

Settlement Services

TEST/PSE

Welcome  
kkee@dtcc.com

Log Out

Change Password

Home

Activity

Position

Cash and Balances

Transaction Submission

Commands

Profiles

Help

PBS Links

Jul 6, 2017 - 5:05:59 PM EDT

Day Deliver Order Input

Home > Day Deliver Order

Help

Print

\* = Required Field

Deliverer Id \*

Session Totals: Items: 0 Quantity: 0 Net-Amount: \$0

Validate

Submit

Reset

Day Deliver Order 1

Order Type

☒ DO

☐ IPO-Tracked

☐ ADR

Receiver \*

CUSIP \*

Quantity \*

Amount(\$) \*

Reason Code \*

Settlement Date \*

Deliverer Account

Original Trans Date

ATP Transact ID

IMS Transact ID

Partner Ref ID

Dep Third Party

Prevent Pend

Reserve in PTA

☒ Fail Tracking

CMO Factor

Comments

Copy Down

Reset Record

Day Deliver Order 2

Order Type

☒ DO

☐ IPO-Tracked

☐ ADR

Receiver \*

CUSIP \*

Quantity \*

Amount(\$) \*

Reason Code \*

Settlement Date \*

Deliverer Account

Original Trans Date

ATP Transact ID

IMS Transact ID

Partner Ref ID

Dep Third Party

Prevent Pend

Reserve in PTA

☒ Fail Tracking

CMO Factor

Comments

**DTCC**

Settlement Services

TEST/PSE

Welcome  
aperl@dtcc.com

[Log Out](#)  
[Change Password](#)

HomeActivityPositionCash and BalancesTransaction SubmissionCommandsProfilesHelpPBS LinksJul 6, 2017 - 9:58:00 AM EDT

Settlement Transaction File Upload

Home > Settlement File Upload EntryHelpPrint Results

Settlement Transaction File Upload \* = Required Field

Transaction Type:

Select Transaction Type  
Deliver Order  
Payment Order  
Segregation/Release of Segregation  
Investment ID/Release of Investment ID  
Memo Segregations  
MA/NA Position Movement  
Free or Valued Pledge Activity  
Fed Pledge Activity  
OCC Pledge Activity  
Release Returns/Release Request Approval  
Release Returns/Release Request  
CMS - Deliver Order  
CMS - Pledge  
CMS - Pledge Returns

\*

File Upload:

Browse...

\*

File Identifier:

SubmitReset

© 2011 - 2017 The DTCC  
Privacy Policy | Menu

## Future Dated Deliver Order Input

[Home](#) > [Future Dated Deliver Order](#)[Help](#)[Print](#)

\* = Required Field

Deliverer Id \*

Session Totals: Items: 0 Quantity: 0 Net-Amount: \$0

[Validate](#)[Submit](#)[Reset](#)

## Future Dated Deliver Order 1

Order Type

☒ DO ☐ IPO-Tracked ☐ ADR

Receiver \*

CUSIP \*

Quantity \*

Amount(\$) \*

Reason Code \*

Settlement Date \*

☐ Prevent Pend  
☐ Reserve in PTA  
☒ Fail Tracking  
CMO Factor

Deliverer Account

Receiver Account

Dep Third Party

OW Control #

Partner Ref ID

Comments

[Copy Down](#)[Reset Record](#)

## Future Dated Deliver Order 2

Order Type

☒ DO ☐ IPO-Tracked ☐ ADR

Receiver \*

CUSIP \*

Quantity \*

Amount(\$) \*

Reason Code \*

Settlement Date \*

☐ Prevent Pend  
☐ Reserve in PTA  
☒ Fail Tracking  
CMO Factor

Deliverer Account

Receiver Account

Dep Third Party

OW Control #

Partner Ref ID

Comments

[Copy Down](#)[Reset Record](#)

## Future Dated Deliver Order 3

Order Type

☒ DO ☐ IPO-Tracked ☐ ADR