



Important Notice

National Securities Clearing Corporation

P&S #:	8053
A#:	8478
Date:	November 17, 2017
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Mutual Fund Processing Manager, I&RS Participants
From:	NSCC Operations
Subject:	THANKSGIVING DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM, and all U.S. Federal Banks will be closed on Thursday, November 23rd in observance of Thanksgiving Day. The following changes in NSCC's operations and schedules will be in effect.

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Wednesday, November 22 will be accepted no later than 8:00 pm on Wednesday, November 22nd.

Trade Capture & Reporting:

No UTC processing will take place on Thursday, November 23rd.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement of Friday, November 24th will be accepted on Wednesday Evening, November 22nd.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Thanksgiving Day processing to determine their schedules in effect.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery Services or Settlement Operations will be provided on Thursday, November 23rd.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Wednesday, November 22nd for settlement Friday, November 24th.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE

No ACATS activity will be accepted on Thursday, November 23rd.

ACATS - FUND/SERV INTERFACE:

No ACATS-Fund/SERV Interface activity will be accepted on Thursday, November 23rd.

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Thursday, November 23rd.

OBLIGATION WAREHOUSE

OW will be closed for the business day Thursday, November 23rd. No input will be received or processed and no output generated for OW. Any submission after close of business Wednesday, November 22nd should be for the next business date. Normal processing will resume for business day Friday, November 24th.

DTCC Payment aXis®,

No DTCC Payment aXis activity will be accepted or distributed on Thursday, November 23rd. There will be no money settlement.

FUND/SERV®, NETWORKING & OMNI/SERV®

No Fund/SERV, Networking or OMNI/SERV activity will be accepted or distributed on Thursday, November 23rd. There will be no money settlement.

ALTERNATIVE INVESTMENT PRODUCTS

The AIP System will process transactions and create settlement data files, but there will be no money settlement on Thursday, November 23rd.

MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPSI or MFPSII activity will be accepted or distributed on Thursday, November 23rd.

INSURANCE PROCESSING

IPS files received on Thursday, November 23rd will be processed. **POV & PRP files will be transmitted on Thursday, November 23rd.** Subsequent output regarding all other files will be produced and distributed on Friday, November 24th.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or at the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.