DTCC | *Important Notice*
National Securities Clearing Corporation

P&S#:	**7932**
A#:	**8357**
DATE:	**MARCH 23, 2017**
TO:	**ALL MEMBERS**
FROM:	**PRODUCT MANAGEMENT, EQUITIES CLEARANCE**
ATTENTION:	**MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER MANAGER P&S DEPARTMENT, MANAGER DATA PROCESSING DEPARTMENT**
SUBJECT:	**ROLLOUT OF NEW CLEARING DASHBOARD CONTINUOUS NET SETTLEMENT SCREENS**

In Important Notice A# 8288 dated November 11, 2016, the National Securities Clearing Corporation (NSCC) announced the introduction of a new Clearing Dashboard within the DTCC Web Portal. The Clearing Dashboard will provide Members with a centralized location to access web screens for NSCC clearing products. This will include the existing inquiry and update screens used by Member firms for Continuous Net Settlement (CNS) processing which are currently available on DTCC Web Direct, the Participant Terminal Service (PTS), the Participant Browser Service (PBS), and Settlement Web. These CNS inquiry and update screens will be migrated from those applications to the **CNS** Product on the Clearing Dashboard. A complete listing of the screens and where they will be migrated to on the **CNS** Product on the Clearing Dashboard is further outlined below.

Members are advised the new screens on the **CNS** Product on the Clearing Dashboard will be made available on **June 23, 2017**. The decommissioning of the current screens on DTCC Web Direct, the Participant Terminal Service (PTS), the Participant Browser Service (PBS), and Settlement Web will occur on **June 30, 2017**. The registration for the new screens on the **CNS** Product on the Clearing Dashboard is further outlined below.

Current Screen (To be decommissioned on June 30, 2017)	PBS Entitlement	New Screen on the **CNS** Product on the Clearing Dashboard to be made available in production on June 23, 2017	Entitlement needed for the new screens on the **CNS** Product on the Clearing Dashboard
Position Inquiry by Participant (PBS) Position Inquiry by Security (PBS)	NNEX – Participant Inquiry	Position Inquiry	CLD User CNS External User CNS Inquiry

Long Buy-in Position Inquiry (PBS)	NNII – Participant Instruction Inquiry NNBO – Long Buy-in Update	Long Buy-in	CLD User CNS External User CNS Inquiry CNS Buy-In Update
Short Buy-in Position Inquiry (PBS)	NNII – Participant Instruction Inquiry	Short Buy-in	CLD User CNS External User CNS Inquiry
Cash Reconciliation Statement (PBS)	NNEX – Participant Inquiry	Cash Reconciliation Statement	CLD User CNS External User CNS Inquiry
CNS Instructions (PBS)	NNII – Participant Instruction Inquiry NNEU – Exemption Update	Priority Instructions Exemption Instructions	CLD User CNS External User CNS Inquiry CNS Exemptions- update CNS Priorities- update
CNS Instructions Warning & Errors (PBS)	NNII – Participant Instruction Inquiry NNEU – Exemption Update	N/A - Screen has been discontinued	N/A
CNS Exemptions (PBS) PTS Function CNSE (PTS) Exemptions for Immediate Update (Settlement Web) Segregation Exemption (DTCC Web Portal)	CNSE – CNS Exemptions	Exemption Instructions	CLD User CNS External User CNS Inquiry CNS Exemptions- update

CNS Priorities (PBS)	CNSP – CNS Priorities	Priority Instructions	CLD User
PTS Function CNSP (PTS)			CNS External User
Priority Override (DTCC Web Portal)			CNS Inquiry
			CNS Priorities- update

Registration Process

Effective immediately, a Member's Super Access Coordinator ("SAC") will be able to grant users entitlements to the **Clearing Dashboard** and **CNS** Product. Although users may get access to the **Clearing Dashboard** and the **CNS** Product, no transactions can be viewed or processed within the **CNS** Product until the actual implementation on **June 23, 2017**.[1] Further instructions about the registration process are as follows:

1) If your firm has an existing SAC registered for Web Portal products under the NSCC subsidiary, such as CNS or CNR (SMART/Track for CNS Corporate Actions), the SAC can request access to the **Clearing Dashboard** by sending an email to webrsg@dtcc.com and copy T2testing@dtcc.com providing the user id information for the relevant SAC profile. The email must also specify the request is for access to the **Clearing Dashboard and the CNS Product.** Please be advised it may take up to 48 business hours to have your request approved.

2) If your firm does not have an established SAC or an SAC registered for other DTCC Web Portal products under the NSCC membership, then your firm must first submit an SAC registration form. You can download the form titled "Super Access Coordinator Authorization Form". The form must be signed by an authorized signer for your firm. Please send the completed SAC form via email to sacforms@dtcc.com and copy T2testing@dtcc.com.

Once your firm has a SAC that is registered for the **Clearing Dashboard** and the **CNS** Product, the SAC may begin to add roles for the internal users at the firm. Please be advised that all users must have access to the **"CLD USER"**, **"CNS External User", and "CNS Inquiry" roles** in order to access the **CNS** Product. Users will need additional roles to submit instructions within the **CNS** Product. Below is a description of those additional roles that can be assigned by the SAC.

Role needed for **Clearing Dashboard**:

Role	Description
CLD User	Allows user to access Clearing Dashboard from portal

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[1] CNS Fully-Paid-For Screens are currently in production. This applies to the remaining CNS screens.

Roles needed for **CNS Input**

Role	Description
CNS External User Role	Allows user to view CNS menu
CNS Inquiry	Allows user to access CNS inquiry functions
CNS Buy-In Update	Allows users to reduce and cancel previously submitted Buy- in Order instructions only ***Note: Original Buy-in order instructions are submitted via SMART/Track for Buy-ins***
CNS Exemptions- update	Allows users to add, update and delete manual exemptions
CNS Priorities- update	Allows users to add, update and delete Priority instructions

Training Materials

For the scheduled implementation on **June 23, 2017** of the remaining CNS input screens, DTCC will produce a CNS User Guide. The user guide is the further expanded version of the CNS Fully Paid-For User Guide released December 2016. The CNS User Guide can be found at the below link under the CNS section within DTCC Learning.

http://dtcclearning.com/products-and-services/equities-clearing/continuous-net-settlement-cns/cns-documentation.html

Additional Information

A copy of this Important Notice can be obtained from the web site of NSCC's parent corporation, The Depository Trust & Clearing Corporation, at http://www.dtcc.com. If you have any questions regarding these enhancements, please contact your DTCC Relationship Manager.