



*Important Notice*

**National Securities Clearing Corporation**

<b>A#:</b>	8378
<b>P&amp;S #:</b>	7953
<b>Date:</b>	04/21/2017
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, April 25, 2017:**

State Street Bank & Trust Company/NRS Inc.-Intuit No. 3172  
State Street Bank And Trust Co./ACS HR Solutions/Rio Tinto No. 5329

**Last Trade Date, April 28, 2017:**

DST Market Services, LLC No. 6982  
DST Market Services, LLC No. 9395  
DST Market Services, LLC No. 9845

- The following firm will no longer participate in the MF – Profile service, as detailed below:

**First Trade Date, April 26, 2017:**

Foresters Financial Services, Inc. No. 5146

- The following firms will change their name only, as detailed below:

**First Trade Date, April 24, 2017:**

From: First MetLife Investors Insurance Company No. 4512  
To: Brighthouse Life Insurance Company of NY No. 4512

From: MetLife Insurance Company USA No. 4602  
To: Brighthouse Life Insurance Company No. 4602

From: MetLife Insurance Company USA/MLIIC 2 No. 4505  
To: Brighthouse Life Insurance Company/MLIIC2 No. 4505

From: MetLife Insurance Company USA/General American Life Insurance Company No. 4670  
 To: Brighthouse Life Insurance Company/General American Life Insurance Company No. 4670

From: MetLife Insurance Company USA/Metlife Insurance Company No. 4671  
 To: Brighthouse Life Insurance Company/Metlife Insurance Company No. 4671

From: MetLife Insurance Company USA/New England Financial Life Insurance Company No. 4672  
 To: Brighthouse Life Insurance Company/New England Financial Life Insurance Company No. 4672

**First Trade Date, April 26, 2017:**

From: Ultimus Fund Distributors, LLC/Gardner Lewis Investment Trust No. 5888  
 To: Ultimus Fund Distributors, LLC/Chesapeake Investment Trust No. 5888

- The following firm will begin participation in the MF – Daily Price and Rate service and DTCC Payment aXis services, as detailed below:

**First Trade Date, April 26, 2017:**

Fifth Third Bank No. 2116

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, April 20, 2017:**

HC Global Fund Services, LLC No. 9113

**First Trade Date, April 25, 2017:**

SS&C Technologies, Inc. No. 9114

- The following additional number has been assigned to ALPS Distributors, Inc.(#5642) for the purpose of utilizing Fund/SERV, Networking, MF – Profile, DTCC Payment aXis, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, April 28, 2017:**

ALPS Distributors, Inc./Rondure Funds No. 1150  
 Contact: Dave Nelson  
 Phone: (720) 917-0709

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Delete Last Trade Date, April 24, 2017</u></b>							
Standard Investment Chartered, Inc.	WEDB	0103	STVT	✓			
BGB Securities Inc.	WEDB	0103	BGCM	✓			
Murphy & Durieu	WEDB	0103	MURG	✓			
Direct Access Brokerage Services, Inc.	WEDB	0103	DIRW	✓			
Security Research Associates, Inc.	WEDB	0103	SRES	✓			
Title Securities, Inc.	WEDB	0103	DIRL	✓			
Title Securities, Inc.	WEDB	0103	DIRA	✓			
Newport Coast Securities	WEDB	0103	NCSI	✓		✓	
<b><u>Add First Trade Date, April 18, 2017</u></b>							
Lime Brokerage LLC	SHMR	0695	LIWM	✓			
<b><u>Add First Trade Date, April 20, 2017</u></b>							
Woodbury Financial Services, Inc.	PERS	0443	WBFS			✓	
<b><u>Add First Trade Date, April 24, 2017</u></b>							
Ustocktrade Securities, Inc.	ETDP	3361	USTA	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).