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Date:	APRIL 3, 2017
To:	ALL PARTICIPANTS
From:	ACATS PRODUCT MANAGEMENT
Attention:	DIRECTOR OF OPERATIONS, ACCOUNT TRANSFERS DEPARTMENT, MUTUAL FUND OPERATIONS
Subject:	ACATS PIER REPORT DECOMMISSION

Effective July 2017, NSCC's Automated Customer Account Transfer Service (ACATS) will no longer produce the Participant Information and Efficiency Report (PIER) in the traditional PDF format. The June 2017 version will be the last one produced. This notice only pertains to the ACATS PIER report. Other DTCC services that provide PIER reports will not be impacted from this change.

To obtain ACATS PIER information going forward, firms will need to access the ACATS Statistical Reporting tool currently available via the DTCC Portal (<https://portal.dtcc.com>). This tool, established back in November 2015 (See Important Notices: [a8070](#) and [a8201](#)), introduced a more flexible way to access ACATS statistics. The new reports provide the same exact data as the old PIER reports, in addition to new data not offered in the existing PDF format.

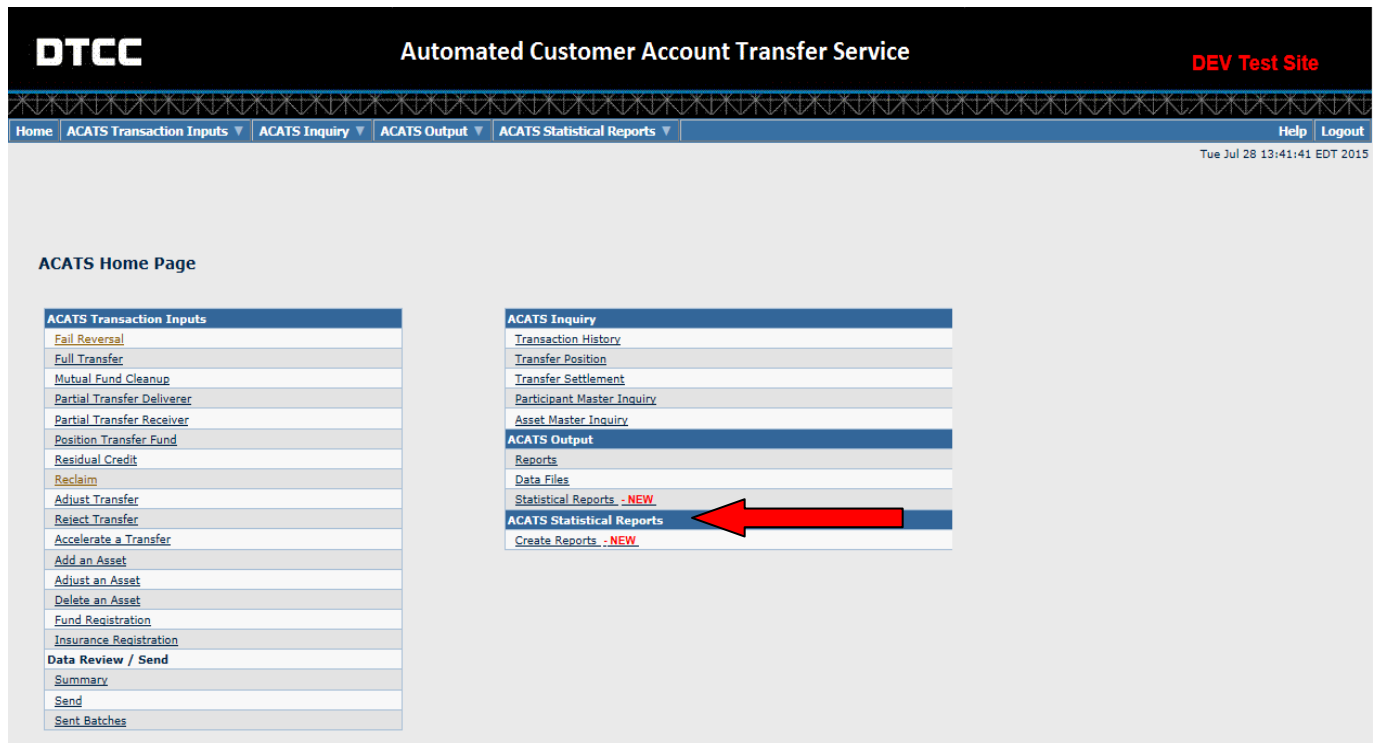
The new reports offer:

- Data aggregation by date ranges allowing for daily, monthly, and quarterly reports.
- A more flexible Excel format that enables write access to your reports.
- A rolling 2 years of data history.
- New and modified reports that include:
 - Days to Settle reporting that provides transaction breakdown by length of time to settle.
 - Dollar Value of Settled Transactions on full transfer reports.
 - Expanded reject rankings, including rejects per counterparties.
 - Aggregate industry data to compare your activity with the industry.
 - Positions Transfer Fund (PTF) reporting that is separate from ACATS-Fund/SERV statistics.

See the ACATS Statistical Reporting User Guide for more detail on all reports available.

How to Access:

The ACATS Statistical Reports are available from the ACATS Transaction Inquiry screen in the DTCC Portal.



To obtain access, the Super Access Coordinator (SAC) at your firm, will need to add the product: ACATS Transaction Inquiry and the role: Statistical Reports. See ACATS Super Access Coordinator User Guide for more detail.

The ACATS Statistical Reporting User Guide and ACATS Super Access Coordinator User Guide are available at:

<https://dtcclearing.com/products-and-services/equities-clearing/automated-customer-account-transfer-service-acats/acats-documentation.html>

Additional Information

A copy of this Important Notice can be obtained from the web site of NSCC's parent corporation, the Depository Trust & Clearing Corporation, at <http://www.dtcc.com>.

If you have any questions regarding this change or need assistance in adding the statistical reports, please contact your DTCC Relationship Manager.

Craig Gurien,
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