



Important Notice
National Securities Clearing Corporation

A#:	8375
P&S #:	7950
Date:	04/07/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, April 7, 2017:
First Midwest Securities Inc. No. 6459

- The following firm will change their name only, as detailed below:
First Trade Date, April 7, 2017:
From: BNP Paribas, New York Branch/Shell Account 3 No. 4804
To: BNP Paribas, New York Branch/Miller Opportunity Fund No. 4804

- The following firm will begin participation in the Insurance Processing services, as detailed below:
First Trade Date, April 4, 2017:
VOYA Institutional Trust Company No. 2360

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:
First Trade Date, April 10, 2017:
Jefferson National Life Insurance Company No. 4573

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:
First Trade Date, April 10, 2017:
Quasar Distributors, LLC/Semper Funds No. 7088

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, April 7, 2017:

Woodfield Fund Administration, LLC

No. 8505

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Executing Broker Name Change</u>							
<u>First Trade Date, April 4, 2017</u>							
From: Foliofn Investments, Inc.	FTBR	0728	FTBR	✓			
To: Folio Investments, Inc.	FTBR	0728	FTBR	✓			
<u>Executing Broker Symbol Change</u>							
<u>First Trade Date, April 5, 2017</u>							
From: TOR Brokerage LLC	ETDP	3361	TORB	✓			
To: TOR Brokerage LLC	ETDP	3361	MAGM	✓			
<u>Clearing Broker Change</u>							
<u>First Trade Date, April 5, 2017</u>							
From: Electronic Transaction Clearing, Inc.	ETCC	0873	ETEA	✓			
To: Electronic Transaction Clearing, Inc.	ETDP	3361	ETEA	✓			
<u>Delete Last Trade Date, April 5, 2017</u>							
SVB Sec. A Non-Bank Affiliate of Silicon Valley Bk	PFCL	0158	SVBS	✓	✓	✓	✓
<u>Delete Last Trade Date, April 6, 2017</u>							
Dematteo Monness LLC	GSCO	0005	DEMA	✓			
<u>Add First Trade Date, April 10, 2017</u>							
Rosenblatt Securities Inc.	IBFS	0824	ROSN	✓			
Electronic Transaction Clearing, Inc.	ETDP	3361	ETEM	✓			
Electronic Transaction Clearing, Inc.	ETDP	3361	ETEL	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.