



Important Notice
National Securities Clearing Corporation

A#:	8428
P&S:	8003
Date:	July 11 th , 2017
To:	ALL PARTICIPANTS
From:	PRODUCT MANAGEMENT
Attention:	MANAGING PARTNER/OFFICER; RISK MANAGERS; OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER
Subject:	UNIVERSAL TRADE CAPTURE EXCHANGE TESTING FOR NYSE/AMERICAN

As previously announced via NSCC important notice (A#8419) NYSE MKT will transition to NYSE American on July 24th, 2017. In preparation for the launch of its new post-trade environment, the NYSE MKT has begun conducting client industry-wide weekend tests. As part of this effort DTCC will support the weekend test conducted by the exchange on July 8th, 2017.

NYSE MKT has saved the trades submitted by its clients into the NYSE American test environment on July 8th and will be replaying them into DTCC's PSE (test) environment on Thursday, July 13th, 2017. DTCC will receive these FIX- format trade submissions and then produce its customary output reporting to clients, who can subsequently pick it up for viewing.

NSCC Members are encouraged to participate in this test by submitting trades to the NYSE American test environment. Note that there will be no parallel testing for this onboarding initiative.

For questions about this Important Notice or to begin the UTC output setup process, please contact your Relationship Manager or email the undersigned at dconfessorisimmons@dtcc.com. Questions relating to NYSE American testing dates should be addressed with your exchange contacts directly.

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