



Important Notice
National Securities Clearing Corporation

A#:	8430
P&S #:	8005
Date:	07/14/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, July 17, 2017:

Capital Guardian Trust Company/Emerging Markets	No.	3178
Capital Guardian Trust Company/US Bank	No.	7077

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 28, 2017:

Forside Funds Distributors LLC/Kalmar Pooled Investment Trust	No.	5716
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- The following firms will change their name only, as detailed below:

First Trade Date, July 12, 2017:

From: Ohio National Life Insurance Company/OMNI	No.	1221
To: The Ohio National Life Insurance Company/OMNI	No.	1221

First Trade Date, July 20, 2017:

From: KCG Americas LLC	No.	0295
To: Virtu Americas LLC	No.	0295

- The following firm will begin participation in the MF – Profile service, as detailed below:

First Trade Date, July 13, 2017:

Northern Lights Distributors, LLC/Swan Defined Risk Fund	No.	3357
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- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:
First Trade Date, July 14, 2017:
ALPS Distributors, Inc./Meridian Funds No. 1324
- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:
First Trade Date, July 18, 2017:
Ultimus Fund Distributors, LLC/Cincinnati Asset Management Funds No. 3395
- The following Data Services Only member will begin participation in the Insurance Processing service, as detailed below:
First Trade Date, July 24, 2017:
Summit Equities, Inc No. 1604
- The following additional number has been assigned to The Ohio National Life Insurance Company (#4526) for the purpose of utilizing Insurance Processing service, as detailed below:
First Trade Date, July 12, 2017:
The Ohio National Life Insurance Company/Ohio National Life Assurance Company No. 1221
- The following additional number has been assigned to Pacific Life Insurance Company (#4532) for the purpose of utilizing Insurance Processing services, as detailed below:
First Trade Date, July 17, 2017:
Pacific Life Insurance Company/Life Division Lynch No. 1187

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Name Change Effective</u>							
<u>First Trade Date, July 20, 2017</u>							
From: KCG Americas LLC	NITC	0295	NITC	✓		✓	
To: Virtu Americas LLC	NITC	0295	NITC	✓		✓	
<u>Executing Broker Name Change Effective</u>							
<u>First Trade Date, July 20, 2017</u>							
From: KCG Americas LLC	NITC	0295	NITE	✓	✓		✓
To: Virtu Americas LLC	NITC	0295	NITE	✓	✓		✓
From: KCG Americas LLC	NITC	0295	VABD		✓	✓	✓
To: Virtu Americas LLC	NITC	0295	VABD		✓	✓	✓
From: KCG Americas LLC	NITC	0295	DTTX	✓			
To: Virtu Americas LLC	NITC	0295	DTTX	✓			
From: KCG Americas LLC	NITC	0295	GFLO	✓			
To: Virtu Americas LLC	NITC	0295	GFLO	✓			
From: KCG Americas LLC	NITC	0295	KCGM	✓			
To: Virtu Americas LLC	NITC	0295	KCGM	✓			
From: KCG Americas LLC	NITC	0295	KCMP	✓			
To: Virtu Americas LLC	NITC	0295	KCMP	✓			
From: KCG Americas LLC	NITC	0295	KELG	✓			
To: Virtu Americas LLC	NITC	0295	KELG	✓			
From: KCG Americas LLC	NITC	0295	KELL	✓			
To: Virtu Americas LLC	NITC	0295	KELL	✓			
From: KCG Americas LLC	NITC	0295	NITD	✓			
To: Virtu Americas LLC	NITC	0295	NITD	✓			
From: KCG Americas LLC	NITC	0295	NITP	✓			
To: Virtu Americas LLC	NITC	0295	NITP	✓			
From: KCG Americas LLC	NITC	0295	NITX	✓			
To: Virtu Americas LLC	NITC	0295	NITX	✓			
From: KCG Americas LLC	NITC	0295	TRIM	✓			
To: Virtu Americas LLC	NITC	0295	TRIM	✓			

From: KCG Americas LLC	PRST	0551	PRNT		✓		
To: Virtu Americas LLC	PRST	0551	PRNT		✓		
From: KCG Americas LLC	MLCO	0161	EMCC		✓		
To: Virtu Americas LLC	MLCO	0161	EMCC		✓		
From: KCG Americas LLC	MLCO	0161	NICK		✓		
To: Virtu Americas LLC	MLCO	0161	NICK		✓		
From: KCG Americas LLC	MLCO	0161	TRMZ		✓		
To: Virtu Americas LLC	MLCO	0161	TRMZ		✓		
From: Knight Equity Markets, L.P.	MLCO	0161	NITE			✓	
To: Virtu Americas LLC	MLCO	0161	NITE			✓	
From: Knight Equity Markets, L.P.	MLCO	0161	TRIM			✓	
To: Virtu Americas LLC	MLCO	0161	TRIM			✓	
<u>Delete Last Trade Date, July 10, 2017</u>							
WFG Investments, Inc.	NFSC	0226	WMBU	✓			✓
<u>Delete Last Trade Date, July 14, 2017</u>							
Young Stovall & Company	RBCD	0235	YOST	✓	✓	✓	✓
Electronic Transaction Clearing, Inc.	ETDP	3361	ETEN	✓			
Electronic Transaction Clearing, Inc.	ETDP	3361	ETDM	✓			
<u>Delete Last Trade Date, July 17, 2017</u>							
Dinosaur Securities, L.L.C.	ETCC	0873	DINO	✓			
<u>Delete Last Trade Date, July 20, 2017</u>							
Redwine & Company, Inc.	PERS	0443	REWI		✓	✓	✓
<u>Add First Trade Date, July 12, 2017</u>							
Optiver US, LLC	GSCO	0005	OPTG	✓			
<u>Add First Trade Date, July 13, 2017</u>							
Sagetrader, LLC	WSGD	8199	SAGP	✓			
<u>Add First Trade Date, July 18, 2017</u>							
Elefant Markets	PERS	0443	LFNT		✓		
<u>Add First Trade Date, July 21, 2017</u>							
Stonecrest Capital Markets, Inc.	PERS	0443	SCMI		✓	✓	✓
<u>Add First Trade Date, July 24, 2017</u>							
Brendan E. Cryan And Company, L.L.C.	ECUT	0100	CRYN	✓	✓	✓	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.