



Important Notice
National Securities Clearing Corporation

A#:	8431
P&S #:	8006
Date:	07/21/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 20, 2017:

First Commerical Bank of Birmingham, Alabama
MUNI Alpha Identifier:

No. 6755
FCBI

- The following firm will no longer participate in the MF – Profile service, as detailed below:

First Trade Date, July 28, 2017:

Northern Lights Distributors, LLC/Texas 529

No. 3112

- The following firms will change their name only, as detailed below:

First Trade Date, July 17, 2017:

From: The Bank of New York Mellon/BNYM ETF - UIT DTC/NSCC 0963
To: BNYMellon/RE ETF - UIT DTC/NSCC 0963

No. 0963
No. 0963

From: The Bank of New York Mellon/MIDCAP SPDRS
To: BNYMellon/RE MIDCAP SPDRS

No. 2209
No. 2209

- The following firm will begin participation in the Retirement Plan Reporting service, as detailed below:

First Trade Date, July 24, 2017:

SagePoint Financial, Inc.

No. 0144

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, July 24, 2017:

TFA Associates, LLC No. 1818

First Trade Date, July 25, 2017:

Opus Fund Services (USA) LLC No. 1928
 Opus Fund Services (USA) LLC No. 1929
 Opus Fund Services (USA) LLC No. 1930
 Opus Fund Services (USA) LLC No. 7826

First Trade Date, July 26, 2017:

Arixa Management, LLC No. 1083
 TFA Associates, LLC No. 1185
 TFA Associates, LLC No. 4846

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, Effective First Trade Date, July 24, 2017</u>							
From: BMA Securities, LLC	IBFS	0824	BMAK	✓			
To: BMA Securities, LLC	LGNT	0052	BMAK	✓			
<u>Delete Last Trade Date, July 17, 2017</u>							
Royal Securities Company	RBCD	0235	RYAL	✓	✓	✓	✓
<u>Delete Last Trade Date, July 19, 2017</u>							
America Northcoast Securities, Inc.	PERS	0443	DALE	✓	✓	✓	✓
Beard Financial SVCS	PERS	0443	BFIC		✓		✓
Mariner Investment Group	PERS	0443	PNET		✓		✓
Inverness Securities	PERS	0443	TCGA		✓		✓
Pflueger & Baerwald Inc.	PERS	0443	FLGR		✓		✓
<u>Delete Last Trade Date, July 20, 2017</u>							
Principal Securities, Inc.	NFSC	0226	PSIM	✓			
<u>Delete Last Trade Date, July 21, 2017</u>							
Greentree Brokerage Services, Inc.	WEDB	0103	GBSI	✓			

Delete Last Trade Date, July 21, 2017

Blackwall Capital Markets, Inc.

RBCD	0103	BCMI	✓	✓	✓	✓
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Add First Trade Date, July 20, 2017

Tavira Securities US LLC

LSCI	0512	TSUS	✓	✓	✓
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Add First Trade Date, July 21, 2017

BMA Securities, LLC

LGNT	0052	BMAK		✓	✓	✓
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Add First Trade Date, July 24, 2017

Stonecrest Capital Markets, Inc.

PERS	0443	SCMI	✓
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.