



A#:	8433
P&S #:	8008
Date:	07/28/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following additional number has been assigned to Merrill Lynch, Pierce, Fenner & Smith Incorporated (#0161) for the purpose of utilizing Fund/SERV, Networking, MF – Profile Service, DTCC Payment aXis, Omni/SERV 529 Aggregation, NYSE Listed, OTC Comparison, Bond Comparison, Obligation Warehouse, Envelope Settlement, and Insurance processing services, as detailed below:

First Trade Date, August 2, 2017:

Merrill Lynch, Pierce, Fenner & Smith Incorporated/671 MLPF&S TS PR	No. 0671
OTC Alpha Identifier	MLWM
CORP Alpha Identifier	MLWM
MUNI Alpha Identifier	MLWM
UIT Alpha Identifier	MLWM
NYSE Alpha Identifier	MLWM

Contact: Walter Roesch
Phone: (980) 386-1314

In connection with the above account activation:

- The following firm will no longer participate in the ACATS service, as detailed below:

Last Trade Date, August 4, 2017:

Merrill Lynch, Pierce, Fenner & Smith Incorporated	No. 0161
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- The following firm will begin participation in the ACATS and ACATS Fund/SERV service, as detailed below:

First Trade Date, August 7, 2017:

Merrill Lynch, Pierce, Fenner & Smith Incorporated/671 MLPF&S TS PR No. 0671

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 27, 2017:

Fairway America, LLC. No. 9408

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, July 28, 2017:

Cortland Fund Services LLC No. 9408

- The following firm will retire from all services, as detailed below:

Last Trade Date, June 30, 2017:

J.J.B. Hilliard, W.L. Lyons, LLC No. 2647

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 31, 2017:

Arbor Court Capital LLC/Grand Prix Investors Trust No. 3030

Last Trade Date, August 1, 2017:

State Street Bank & Trust Company/NRS/Bechtel NR Program DC Master Trust No. 3647

- The following firms will no longer participate in the MF – Profile service, as detailed below:

Last Trade Date, July 28, 2017:

Forside Fund Services, LLC/Bridgeway Funds No. 5485

Last Trade Date, July 31, 2017:

Vertical Management Systems, Inc. No. 7122

- The following firm will no longer participate in the Omni/SERV Activity & Position service, as detailed below:

Last Trade Date, August 1, 2017:

Oppenheimer Funds Dist, Inc./OFI 529 Plans	No.	5470
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- The following firms will begin participation in the MF – Profile service, as detailed below:

First Trade Date, July 27, 2017:

ALPS Distributors, Inc./USCF Mutual Funds Trust	No.	7419
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First Trade Date, August 4, 2017:

Northern Lights Distributors LLC/Power Income Fund	No.	5332
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Northern Lights Distributors LLC/Sierra Funds	No.	5628
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- The following firms will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, August 1, 2017:

Horace Mann Life Insurance Company	No.	3637
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The Vanguard Group, Inc./Vanguard Investment Series PLC Funds ("VGI/VIS")	No.	4996
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, July 31, 2017:

CNL Capital Markets Corp	No.	8066
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US Bancorp Fund Services LLC	No.	8230
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US Bancorp Fund Services LLC	No.	8366
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- The following Data Services Only members will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, August 1, 2017:

EquiTrust Life Insurance Company	No.	3514
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Impact Financial Systems, Inc.	No.	2599
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- The following additional number has been assigned to Transamerica Premier Life Insurance Company (#4564) for the purpose of utilizing Insurance Processing service, as detailed below:

First Trade Date, July 28, 2017:

Transamerica Premier Life Insurance Company/Life P Plus No. 1953

- The following additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, and DTCC Payment aXis, and ACATS Fund/SERV services as detailed below:

First Trade Date, August 1, 2017:

Foreside Distribution Services, L.P./Chiron Funds ICAV No. 3485

Contact: Anthony Cooke

Phone: + 353 1 613 1172

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>		
<u>Clearing Broker Change, Effective First Trade Date, July 27, 2017</u>								
From: BATS Trading, Inc	WEDB	0103	BATS					
To: BATS Trading, Inc	WSGD	8199	BATS					
From: BATS Trading, Inc	WEDB	0103	EGAW					
To: BATS Trading, Inc	WSGD	8199	EGAW					
From: BATS Trading, Inc	WEDB	0103	EGXW					
To: BATS Trading, Inc	WSGD	8199	EGXW					
From: BATS Trading, Inc	WEDB	0103	BYXX					
To: BATS Trading, Inc	WSGD	8199	BYXX					
<u>Clearing Broker Change, Effective First Trade Date, August 2, 2017</u>								
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLMA	✓	✓	✓		
To: Merrill Lynch, Pierce, Fenner & Smith Incorporated/671 MLPF&S TS PR	MLWM	0671	MLMA	✓	✓	✓		
<u>Delete Last Trade Date, July 24, 2017</u>								
Safeguard Securities	PERS	0443	ASCZ			✓		✓

Delete Last Trade Date, July 26, 2017

Montrose Securities International	MLCO	0161	MSIT	✓	✓
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Delete Last Trade Date, July 31, 2017

RGM Securities, LLC	WSGD	8199	HBIW	✓	
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Delete Last Trade Date, August 1, 2017

Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLWM	✓	✓
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Add First Trade Date, July 25, 2017

Cowen Prime Services LLC	GSCO	0005	CCMK	✓	✓	✓	✓
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Add First Trade Date, July 26, 2017

Cobra Trading, Inc.	WSGD	8199	CBRV	✓	
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Add First Trade Date, July 31, 2017

Walleye Trading LLC	GSCO	0005	WEYE	✓	
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.