



Important Notice
National Securities Clearing Corporation

A#:	8427
P&S #:	8002
Date:	07/07/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 14, 2017:

Forside Distribution Services, LP/Ridgeworth Funds

No. 4920

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to VP Distributors, LLC (#5517) for the purpose of utilizing Fund/SERV, Networking, Standardized Data Reporting, Omni/SERV Activity & Position, MF – Profile, DTCC Payment aXis, ACATS, and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 17, 2017:

VP Distributors, LLC/Ridgeworth

No. 4920

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- The following firm will retire from all services, as detailed below:

Last Trade Date, July 10, 2017:

Oppenheimer Funds Dist, Inc/New Mexico 529 Plan

No. 4941

- The following firm will change their name only, as detailed below:

First Trade Date, July 10, 2017:

From: Oppenheimer Funds Dist, Inc./Oregon 529 Product

No. 5470

To: Oppenheimer Funds Dist, Inc./OFI 529 Plans

No. 5470

- The following firm will begin participation in the MF – Daily Price & Rate service, as detailed below:

First Trade Date, July 12, 2017:

Quasar Distributors, LLC/Nuance Funds No. 5369

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, July 10, 2017:

SS&C Technologies, Inc. No. 1771

SS&C Technologies, Inc. No. 1804

- The following Data Services Only member will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, July 14, 2017:

Garden State Securities, Inc. No. 7328

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Executing Broker Symbol Change Effective</u>							
<u>First Trade Date, July 10, 2017</u>							
From: Windsor Street Capital, LP	LGNT	0052	MYLG	✓	✓	✓	✓
To: Windsor Street Capital, LP	LGNT	0052	WIND	✓	✓	✓	✓
<u>Delete Last Trade Date, July 6, 2017</u>							
Regulus Advisors	PERS	0443	REGL		✓		✓
<u>Delete Last Trade Date, July 7, 2017</u>							
Bayes Capital Markets LLC	ETCC	0873	BAYZ	✓			
AST Investor Services LLC	NFSC	0226	ASTI		✓	✓	✓
<u>Add First Trade Date, July 7, 2017</u>							
Bardown Capital LLC	ETCC	0873	BDWN	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.